



# LOBBYING IN MISSISSIPPI

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*Ensuring Compliance and  
Improving Disclosure*

# 2009



**The Mississippi Secretary of State**

401 Mississippi Street ★ Jackson, Mississippi 39205  
(800) 829-6786 Elections Hotline ★ (601) 359-1499 (FAX)

STATE OF MISSISSIPPI  
SECRETARY OF STATE



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JACKSON, MISSISSIPPI 39205-1036  
TELEPHONE (601) 359-1350

401 MISSISSIPPI STREET  
JACKSON, MISSISSIPPI 39201  
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Dear Fellow Mississippian,

My staff and I are pleased to publish this edition of *Lobbying in Mississippi*, the guide for lobbyists, lobbyists' clients, and any person interested in the lobbying requirements of Mississippi. As Secretary of State, I am committed to providing public access to information. I believe we encourage citizen participation and ensure public trust in government by providing the information people need to participate in the process.

This handbook contains all the information lobbyists and lobbyists' clients need to comply with Mississippi lobbying requirements. Also, as part of our commitment to increasing access to information, I am happy to make all lobbying forms and this guide available through our website at [www.sos.ms.gov](http://www.sos.ms.gov).

I encourage you to take advantage of the resources offered by our agency, and I hope you find this guide useful and user-friendly. If my office can assist you with additional information or clarification with regard to Mississippi lobbying laws, do not hesitate to call Kenneth M. Jones at (601) 359-6353 or Teresa Hines (601) 359-9372 or (800) 829-6786.



Sincerely,

A handwritten signature in black ink that reads "Delbert Hosemann". The signature is written in a cursive style.

DELBERT HOSEMANN  
Secretary of State

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## About this Guide

*Lobbying in Mississippi* is published by the Secretary of State's Office as a handbook for registered lobbyists and lobbyists' clients. This booklet contains information necessary for compliance with the *Lobbying Law Reform Act of 1994*, Miss. Code Ann. § 5-8-1 et seq. (1972). This guide and all lobbying forms and reports are available free of charge at the Secretary of State's Office website at [http://www.sos.ms.gov/elections/Lobbying/ Elections, Lobbying, Lobbying Forms Library](http://www.sos.ms.gov/elections/Lobbying/Elections,Lobbying,LobbyingFormsLibrary).

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## For Assistance



### Mail

Mississippi Secretary of State's Office  
Attn: Lobbyist Registration and Reporting  
Post Office Box 136  
Jackson, MS 39205-0136



### Location

Mississippi Secretary of State's Office  
The Heber Ladner Building  
401 Mississippi Street  
Jackson, MS 39201



### Phone

(601) 359-6353  
(601) 576-2550  
(800) 829-6786



### Fax

(601) 359-1499  
(601) 576-2819



### E-mail

[Lobbying@sos.ms.gov](mailto:Lobbying@sos.ms.gov)

## Customer Service Standards

The Mississippi Secretary of State's Office is committed to providing superior customer service efficiently, promptly, and courteously. As a part of that commitment, suggestions, comments, and requests for information are welcome. The Secretary of State's Office does not discriminate on the basis of race, religion, national origin, sex, age, or disability in employment or the provision of services.

## Chapter 1

# Lobbying at a Glance

---

### LOBBYING SNAPSHOT

- ✓ The Secretary of State's Office monitors lobbying registration and reporting.
- ✓ A lobbyist must represent a client.
- ✓ The lobbying cycle extends from January 1 through December 31 of each year.

## The Secretary of State's Office

The State of Mississippi monitors lobbyist registration and reporting through the Elections Division of the Secretary of State's Office. The Secretary of State's Office provides all necessary forms, issues certificates of registration, and publishes reports for public inspection. Enforcement of the lobbying law is carried out through the Secretary of State's Office, along with the district attorneys, the Attorney General, and the Mississippi Ethics Commission. All forms and registration materials can be obtained from the Secretary of State's Office in the Heber Ladner Building at 401 Mississippi Street, Jackson, MS and at the New Capitol Building, Room 105. Forms may also be obtained from the Secretary of State's website at [www.sos.ms.gov](http://www.sos.ms.gov), *Elections, Lobbying, Lobbying Forms Library*.

## Lobbyist

The State of Mississippi defines a **lobbyist** as any individual who:

- is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;
- represents a legislative or public official or public employee, or who represents a person, organization, association or other group, for the purpose of lobbying; and/or
- is a sole proprietor, owner, part owner, or shareholder in a business, who has a pecuniary interest in legislative or executive action, who engages in lobbying activities.

The State of Mississippi defines **lobbying** as:

- influencing or attempting to influence legislative or executive action through oral or written communication;
- solicitation of others to influence legislative or executive action;
- paying or promising to pay *anything of value* directly or indirectly related to legislative or executive action.

## Lobbyist's Client

A **lobbyist's client** is any entity or person in whose behalf the lobbyist influences or attempts to influence legislative or executive action.

## The Lobbying Cycle: The Reports

Current lobbying reporting processes and statutes in the State of Mississippi are the result of the *Lobbying Law Reform Act of 1994*. Passed by the Mississippi Legislature, the law provided statutes and regulations for all registered lobbyists and their clients. All persons or entities who engage in lobbying activity in the State of Mississippi must register with the Secretary of State's Office. ***The Mississippi lobbying cycle begins on January 1 and ends December 31 of each calendar year, and registration is required each year.***

All lobbying reports for the cycle are filed with the Secretary of State's Office. Mississippi statute requires all registered **lobbyists** file three (3) reports during the lobbying cycle. They are:

- The Legislative **Mid-Session report (Form E)** – February 25<sup>th</sup>
- The Legislative **End-of-Session report (Form E)** – 10 days after *sine die*
- The **Annual Report** of Expenditures (**Form A**) – **January 30<sup>th</sup>**

Registered **lobbyists' clients** file one (1) report with the Secretary of State's Office during the lobbying cycle:

- The **Annual Report** of Expenditures (**Form C**)

## Enforcement

In accordance with Miss. Code Ann. § 5-8-17 (1972), the Secretary of State *must* levy civil penalties and monetary fines upon those lobbyists and lobbyists' clients who fail to file required reports by the statutory deadline. The law allows a grace period of nine (9) calendar days following the statutory filing deadlines. Beginning with the tenth calendar day after the filing deadline, the Secretary of State begins assessing a fine of \$50 per day for up to 10 calendar days for failure to file a required lobbyist or lobbyist's client report. Only because of "**unforeseeable mitigating circumstances**" is the Secretary of State allowed to waive all or part of the mandatory penalty.

Although the Secretary of State's Office attempts to notify delinquent lobbyists and lobbyists' clients of their delinquent status prior to assessing the fine, failure to receive notice by mail prior to the tenth calendar day after the deadline will not result in removal or reduction of the fine.

An intentional violation of the lobbying law is a criminal offense with a maximum penalty of \$1,000 and six (6) months imprisonment for the first offense, and \$5,000 and three (3) years imprisonment for subsequent offenses. Please see Miss. Code Ann. §§ 5-8-17 and 5-8-21 (1972), as reported in Appendix D: *Mississippi Lobbying Statutes*, beginning on page 48 of this booklet for further information regarding fines and penalties for failure to report lobbyist and lobbyist's client activities.

## Chapter 2

# Lobbying Registration

---

### LOBBYING SNAPSHOT

- ✓ Lobbyists register each year.
- ✓ Each registration fee is \$25.
- ✓ Lobbyist and lobbyist's client must sign *Form R*.
- ✓ Certain persons are exempt from registering.

---

## Who Must Register

All persons or entities engaging in lobbying activity in the State of Mississippi must register with the Secretary of State's Office. The Mississippi lobbying cycle begins *on January 1* and ends *December 31* of each calendar year. Registration is required each year.

Lobbyists and lobbyists' clients who lobby on an ongoing basis should register with the Secretary of State's Office by **January 1** of each year. Persons who have not lobbied the previous year, or who begin lobbying later in the year, must register within five calendar days of being employed as a lobbyist, or, in the case of the lobbyist's client, within five (5) calendar days of employing a lobbyist. Holidays and weekends are counted in the five (5) days. For example, a lobbyist who becomes employed on February 5, 2009 must register with the Secretary of State's Office no later than February 10, 2009. Registration forms and materials are available at the Heber Ladner Building, the Secretary of State's Capitol Office, or the Secretary of State's web site at [www.sos.ms.gov](http://www.sos.ms.gov).

During the registration process, safeguards have been established to help ensure the validity of the registration. The law requires that the lobbyist's client verify with his/her signature that the lobbyist is indeed his/her representative. This means that *both* the signature of the lobbyist *and* the signature of the lobbyist's client must appear on the *same* form in order for the lobbyist registration to be valid.

Lobbyists who represent more than one client must fill out separate registration forms for *each* client, and each form must bear all appropriate signatures.

Each registration is only valid for one (1) calendar year, beginning January 1 and ending December 31. In addition, all lobbyists who will be lobbying in 2009 are encouraged to re-register as soon as possible, in order to keep their registration current.

## Exemptions

Exemption from filing lobbying registrations is granted, but not limited to, the following:

- Elected officials acting in an official capacity.
- Individuals who:
  - Represent or purport to represent only him/herself;
  - Receive no compensation or *anything of value* for lobbying;
  - Have no **pecuniary interest** in the action for which he or she is lobbying; and/or
  - Do not pay, or give, or promise to pay or give *anything of value* more than \$200 aggregately per year to public officials.

The full explanation of lobbying exemptions can be found in Miss. Code Ann. § 5-8-7 (1972) as reprinted in Appendix D: *Mississippi Lobbying Statutes* on page 48 of this booklet. Lobbyists and clients are encouraged to become familiar with all regulations and statutes.

After reading the exemptions, if you still have doubt as to whether or not you qualify as a lobbyist, please consult Appendix C: *Frequently Asked Questions* on page 46 of this booklet, or call the Secretary of State's Elections Division at **(601) 359-1350** or **(800) 829-6786** to discuss your status.

A \$25 annual registration fee must accompany each registration form. Checks should be made out to the *Secretary of State's Office*. You may either hand deliver or mail your registration form(s) and fee(s) so they are received by the Secretary of State's Office within five (5) calendar days of any lobbying activity. The Secretary of State's Office makes every effort to process the registration form within one (1) week of receipt.

## Completing the Registration Form

The line-by-line instructions are a guideline for completing the Registration Form (Form R). Remembering the following tips will facilitate the registration process:

- Forms are designed for electronic data entry. Information should be typed or printed in black ink.
- Each registration form must be signed and filed jointly by *both* the lobbyist *and* the lobbyist's client. This joint signature verifies the lobbyist - client relationship.
- A check for \$25, made payable to the *Secretary of State's Office*, must accompany each registration form.
- Upon completion of the registration process, the Secretary of State will mail each lobbyist a Certification Card valid for the remainder of the lobbyist registration year. Included with the Certification Card will be a copy of this booklet for the first lobbying registration filed. All subsequent lobbying registration will not include lobbying handbook.

# LOBBYIST'S REGISTRATION

## Line by Line Instructions (FORM R)

*This form must be filed by January 1 or within five (5) calendar days of beginning lobbying activity, Miss. Code Ann. § 5-8-5 (1972).*



OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr. **Form R**

**Lobbyist's Registration Form**

*Faxed reports must be followed by originals.*

**INSTRUCTIONS:** Please - no copies and no staples.

1. Complete all information.
2. Lobbyist and lobbyist's client must sign form.
3. Mail or deliver completed form and \$25.00 fee to:

Mississippi Secretary of State  
Attn: LOBBYING  
Physical : 401 Mississippi Street  
Jackson, Mississippi 39201  
Mailing: Post Office Box 136  
Jackson, Mississippi 39205-0136  
(601) 355-6353 [phone] (601) 358-1459 [fax]

How to locate forms on our website  
<http://www.sos.state.ms.us>  
Elections  
Lobbying  
Lobbying Forms Library  
"R" - Registration  
"E" - Mid Session  
"E" - End-of-Session  
"A" - Lobbyist Annual Report  
"C" - Client Annual Report  
Follow directions for attachments

(1) Lobbyists who register on or before February, 25<sup>th</sup> must file a Mid-Session "E" and End-of-Session "E". (2) Lobbyists who register from February, 26<sup>th</sup>, through *some die* must file an End-of-Session "E". (3) All Lobbyists and Clients must file an Annual Report - "A" Lobbyist "C" Client.  
Lobbyists/Clients must notify our office if there are changes of addresses or phone numbers. Write name of client out in full. Do not abbreviate.

For office use only. Do not write in this space.

|                                                    |               |
|----------------------------------------------------|---------------|
| Logged in by / Date                                |               |
| Lobbying Year                                      |               |
| Lobbying Beginning (No Earlier -Jan. 1)            | <b>Begin:</b> |
| Lobbying Ending (No Later- Dec. 31 <sup>st</sup> ) | <b>End:</b>   |
| Certificate No. #                                  |               |

**SECTION A: Lobbyist Information**

|                                |                   |       |     |
|--------------------------------|-------------------|-------|-----|
| 1. Lobbyist's Full Name        | 2. Occupation     |       |     |
| 3. Physical Address (required) | City/Country      | State | Zip |
| 4. Mailing Address             | City/Country      | State | Zip |
| 5. Daytime Phone ( )           | 6. Cell Phone ( ) |       |     |
| 7. Fax ( )                     | 8. E-mail         |       |     |

**SECTION B: Lobbyist's Client Information**

|                                                                                                                  |                              |             |       |
|------------------------------------------------------------------------------------------------------------------|------------------------------|-------------|-------|
| 9. Full Name of Lobbyist's Client                                                                                |                              |             |       |
| 10. Physical Address (required)                                                                                  |                              | City        | State |
| 11. Mailing Address                                                                                              |                              | City        | State |
| 12. Phone ( )                                                                                                    | 13. Fax ( )                  | 14. E-mail  |       |
| 15. Federal Tax ID Number                                                                                        | 16. Contact Person and Title |             |       |
| 17. Is client an agency of the state? <input type="checkbox"/> Yes <input type="checkbox"/> No                   |                              |             |       |
| 18. Full name and title of Principals (Officers, Partners and/or Directors). Attach separate sheet if necessary. |                              |             |       |
| Name _____                                                                                                       |                              | Title _____ |       |
| 19. State the exact nature of business for this lobbyist's client.                                               |                              |             |       |

Page 1 of 2
Form R [rev. Dec-08]

### Section A: Lobbyist Information

#### A1. Lobbyist's Full Name -

Enter the full name of the person employed as a lobbyist. This must be an individual. If the lobbyist is a firm, the person assigned to the task of lobbying must be shown as the lobbyist.

#### A2. Occupation-

Enter the title of lobbyist. This is to be the official title of the lobbyist listed in A1.

#### A3-4. Physical and Mailing Address -

List the *physical address* of the person or firm employed as a lobbyist. No P.O. Boxes. The *mailing address* should disclose where mail and all other correspondence should be directed.

A5-A8. Phone, Fax and Email/Other Phone - List the lobbyist's telephone number, fax number, E-mail address, and any other applicable *telecommunication* number.

## Section B: Lobbyist's Client Information

### B9. Lobbyist Client Full Name -

Indicate the *full name* of the lobbyist's client.

### B10. Physical Address -

Include the principal place of business. Provide the permanent business *physical address*.

| OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hoosmann Jr. Form <b>R</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------|--|---------------|--|-------------------------------------------|--|-------------|--|-----------------------------------------|--|
| Lobbyist's Registration Form                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| <p>Flaxed reports must be followed by originals. Please - no copies and no staples.</p> <p><b>INSTRUCTIONS:</b></p> <p>1. Complete all information. How to locate forms on our website:<br/>           2. Lobbyist and lobbyist client must sign form. <a href="http://www.sos.ms.gov">http://www.sos.ms.gov</a><br/>           3. Mail or deliver completed form and \$25.00 fee to:<br/>           Mississippi Secretary of State<br/>           ATTN: LOBBYING<br/>           Physical: 421 Mississippi Street<br/>           Jackson, Mississippi 39201<br/>           Mailing: Post Office Box 136<br/>           Jackson, Mississippi 39202-0136<br/>           (601) 359-6353 (phone) (601) 359-1499 (fax)</p> <p>Follow directions for submission</p> |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| <p>For office use only. Do not write in this space.</p> <table border="1"> <tr> <td>Logged in by / Date</td> <td></td> </tr> <tr> <td>Lobbyist Name</td> <td></td> </tr> <tr> <td>Lobbyist Registration No. (Last 4 Digits)</td> <td></td> </tr> <tr> <td>Client Name</td> <td></td> </tr> <tr> <td>Client Registration No. (Last 4 Digits)</td> <td></td> </tr> </table>                                                                                                                                                                                                                                                                                                                                                                                     |                              | Logged in by / Date |  | Lobbyist Name |  | Lobbyist Registration No. (Last 4 Digits) |  | Client Name |  | Client Registration No. (Last 4 Digits) |  |
| Logged in by / Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| Lobbyist Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| Lobbyist Registration No. (Last 4 Digits)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| Client Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| Client Registration No. (Last 4 Digits)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| <p>(1) Lobbyists who register on or before February 28<sup>th</sup> must file a Mid-Session "M" and End-of-Session "E". (2) Lobbyists who register from February 29<sup>th</sup> through June 30<sup>th</sup> must file an End-of-Session "E". (3) All Lobbyists and Clients must file an Annual Report - "A" Lobbyist "C" Client. Lobbyist/Clients must notify our office if there are changes of addresses or phone numbers. Write name of Client out in full. Do not abbreviate.</p>                                                                                                                                                                                                                                                                       |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| SECTION A: Lobbyist Information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| 1. Lobbyist's Full Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 2. Occupation                |                     |  |               |  |                                           |  |             |  |                                         |  |
| 3. Physical Address (required)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | City/Country State Zip       |                     |  |               |  |                                           |  |             |  |                                         |  |
| 4. Mailing Address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | City/Country State Zip       |                     |  |               |  |                                           |  |             |  |                                         |  |
| 5. Daytime Phone ( )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 6. Cell Phone ( )            |                     |  |               |  |                                           |  |             |  |                                         |  |
| 7. Fax ( )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 8. E-mail                    |                     |  |               |  |                                           |  |             |  |                                         |  |
| SECTION B: Lobbyist's Client Information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| 9. Full name of Lobbyist's Client                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| 10. Physical Address (required)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | City State Zip               |                     |  |               |  |                                           |  |             |  |                                         |  |
| 11. Mailing Address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | City State Zip               |                     |  |               |  |                                           |  |             |  |                                         |  |
| 12. Phone ( )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 13. Fax ( )                  | 14. E-mail          |  |               |  |                                           |  |             |  |                                         |  |
| 15. Federal Tax ID Number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 16. Contact Person and Title |                     |  |               |  |                                           |  |             |  |                                         |  |
| 17. Is client an agency of the state? <input type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| 18. Full name and title of Principals (Officers, Partners and/or Directors). Attach separate sheet if necessary.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Title                        |                     |  |               |  |                                           |  |             |  |                                         |  |
| 19. State the exact nature of business for this lobbyist's client.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                              |                     |  |               |  |                                           |  |             |  |                                         |  |
| Page 1 of 2 Form R (rev. Dec-08)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                              |                     |  |               |  |                                           |  |             |  |                                         |  |

### B11. Mailing Address -

Provide the permanent business *mailing address*.

### B12-B14. Phone, Fax, Email/Other Phone -

Provide the lobbyist's client telephone number, fax number, E-mail address and any other applicable *telecommunication* number.

### B15. Federal Tax ID Number -

Provide the Federal Tax ID Number for the Lobbyist Client.

### B16. Contact Person and Title -

Indicate the *contact person* for the lobbyist's client. This person will receive all official correspondence from the Secretary of State's Office to the lobbyist's client, including annual report forms. If the contact person changes, the Secretary of State's Office should be notified immediately.

### B17. Client an agency of the state-

This refers to whether a client is a state agency.

### B18. Full Name and Title of Principals -

If the lobbyist's client's business is:

- **Corporation** – list the full names of all officers and all directors;
- **Firm** – list the full names of the officers/partners, whichever is appropriate;
- **Partnership** – list the full names of the partners;

- **Association** – attach a list showing the full names of the association members, or alternatively, the names of all association officers.

**B19. Nature of Client’s Business -**

Describe the nature of the lobbyist’s client’s business, occupation, or employment.

**Section C: Lobbyist Employment and Issues**

**FORM R** page 2 of 2

**SECTION C: Lobbyist Employment and Issues**

20. Lobbying BEGINS (No earlier than January 1)

|       |     |      |                                                                                                              |
|-------|-----|------|--------------------------------------------------------------------------------------------------------------|
| Month | Day | Year | Identify the level of government you will lobby on behalf of this lobbyist's client. Mark each that applies. |
|       |     |      | <input type="checkbox"/> State <input type="checkbox"/> County <input type="checkbox"/> Municipality         |

21. Lobbying ENDS (No later than December 31)

|       |     |      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|-------|-----|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Month | Day | Year | From the list below, identify the issue you will lobby for or against on behalf of this lobbyist's client.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|       |     |      | <input type="checkbox"/> Public, Livestock, & Poultry Industry - Production & Sales<br><input type="checkbox"/> Construction/Building Construction/Other Related Bus.<br><input type="checkbox"/> Utilities, Labor Issues, & Special Advisory Groups<br><input type="checkbox"/> Oil & Gas Industry, Mining, Coal/Power, Refining, Petroleum, etc.<br><input type="checkbox"/> Medical/Pharmaceutical<br><input type="checkbox"/> Alcohol & Other Beverage/Tobacco Industry<br><input type="checkbox"/> Educational Services, Colleges, Technical Schools & Trade Schools<br><input type="checkbox"/> Government International, Federal, State, County, & Municipal<br><input type="checkbox"/> Computer Hardware, Software, and Data Processing<br><input type="checkbox"/> Telephone Services & Support<br><input type="checkbox"/> Transportation - Trucking, Buses, Airplanes, & Rails<br><input type="checkbox"/> Agriculture, Forestry, Trade, & Fishing - Production, Sales, & Lease<br><input type="checkbox"/> Insurance Industry - Health, Auto, Health & Life, Services and Laws<br><input type="checkbox"/> Manufacturing, Retail & Wholesale Industry<br><input type="checkbox"/> Financial Institutions, Investment Firms & Related Services<br><input type="checkbox"/> Utilities (Electric, Gas, Water, Steam)<br><input type="checkbox"/> Chemicals & Social Services, Health & Care, related Subsectors<br><input type="checkbox"/> Health Care - Nursing Homes, Hospice, Elder/Longterm Care<br><input type="checkbox"/> Corrections, Public Safety, & Security Services<br><input type="checkbox"/> Business - Private & Commercial Organizations<br><input type="checkbox"/> Scientific/Medical Research & Dev.<br><input type="checkbox"/> Mining, Oil, Development, Metals, Recycled, & Miscellaneous Products<br><input type="checkbox"/> Environmental Industry - Research, Production, & Sales<br><input type="checkbox"/> Environmental Quality, Health, Preservation, Land Conservation, & Wildlife & Fisheries<br><input type="checkbox"/> Homeland Security<br><input type="checkbox"/> Aerospace/Space Technology<br><input type="checkbox"/> Telecommunications - Satellite Systems, Related Services<br><input type="checkbox"/> Military/Space Issues<br><input type="checkbox"/> International Trade<br><input type="checkbox"/> Identify "Other" Lobbying Issues not shown above |

22. Identify all other lobbyists in Mississippi employed by this client. Attach separate sheet if needed.

| Lobbyist's Name | Certificate No. | Address | Telephone |
|-----------------|-----------------|---------|-----------|
|                 |                 |         |           |

**IMPORTANT**

- Miss. Code Ann. § 5-8-5 (b) (1972) states every lobbyist registration shall be filed with the Secretary of State within five (5) calendar days after becoming a lobbyist, becoming a lobbyist's client or beginning to lobby for a new client.
- Miss. Code Ann. § 5-8-37 (b)(3) (1972) states beginning with the fourth (4) day after which any report is due, "the Secretary of State shall assess the delinquent lobbyist and delinquent lobbyist's client a civil penalty of Fifty Dollars (\$50.00) per day and part of any day until a valid report is delivered to the Secretary of State, up to a maximum of ten (10) days."
- Miss. Code Ann. § 5-8-24 (1972) provides a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000), and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000).

**IMPORTANT DATES TO REMEMBER**

- MID-SESSION REPORTS (FORM E) DUE FEBRUARY 28<sup>th</sup>
- END-OF-SESSION REPORTS (FORM F) DUE TEN (10) DAYS AFTER THE LEGISLATURE'S ADJOURNMENT, SAME DAY.
- LOBBYIST'S ANNUAL REPORTS (FORM A) AND LOBBYIST'S CLIENT ANNUAL REPORTS (FORM C) DUE JANUARY 30<sup>th</sup>

**Signatures**

|                            |                                   |
|----------------------------|-----------------------------------|
| Print LOBBYIST'S Name Here | Print LOBBYIST'S CLIENT Name Here |
| Signature                  | Signature                         |
| Date                       | Date                              |

RETURN SIGNED, COMPLETED FORM AND \$25.00 FEE TO:  
 Mississippi Secretary of State's Office  
 Attn: Lobbyist Registration  
 480 Mississippi Street Jackson, Mississippi 39201  
 Fax Office Box 136 Jackson, Mississippi 39205-0136

Page 2 of 2 Form R (rev. Dec 07)

**Level of Government Lobbied -**

Check the box that applies to the areas of government which will be lobbied. If lobbying state government exclusively, which includes the legislature and executive offices, then check “State.” If lobbying municipal and/or county governments exclusively, then check “Municipal/County.” If the lobbyist’s activities will, or may, include lobbying state government bodies and municipal and/or county governments, check “Both.”

**C20-C21. Lobbying Cycle -**

Indicate the period of employment, no earlier than January 1, and no later than December 31, of the calendar year.

**C22. Identify Issues to be Advocated -**

From this list, identify those issues the lobbyist is employed to lobby for or against on behalf of the lobbyist’s client.

**C23. Identify all other lobbyists in Mississippi employed/associated with this lobbyist’s client -**

List all other lobbyists who are currently employed by this lobbyist’s client. Each lobbyist’s client registration must show every lobbyist employed by the lobbyist’s client. If a lobbyist’s

client is filing all registrations at the same time for lobbyists employed, each name should be included on the registration.

**(IMPORTANT) Signing and Dating the Form -**

Both the lobbyist and the lobbyist's client must sign and date the registration form.

**(IMPORTANT) Filing the Form -**

Mail or hand deliver the registration form and fee to the Secretary of State's Office. (See page four (4) of this guide for the mailing address to our office.) The \$25 registration fee, made payable by check, must accompany the form before registration can be completed.

page 2 of 2

**FORM R**

**SECTION C: Lobbyist Employment and Issues**

20. Lobbying BEGINNING (No later than January 1) Month Day Year Identify the level of government you will lobby on behalf of this lobbyist's client. Mark each that applies.  
 State  County  Municipality

21. Lobbying ENDING (No later than December 31) Month Day Year

22. From the list below, identify the issue you will lobby for or against on behalf of this lobbyist's client.

|                                                                                                      |                                                                                             |                                                                                          |                                                                                                                      |                                                                              |
|------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|
| <input type="checkbox"/> Poultry, Livestock, & Fishing Industry - Production & Sales                 | <input type="checkbox"/> Construction/Building/Contracting/Real Estate Dev.                 | <input type="checkbox"/> Unions, Labor Issues, & Special Advocacy Groups                 | <input type="checkbox"/> Oil & Gas Industry, Mining Exploration, Refining - Production, Sales, & Services            | <input type="checkbox"/> Medicare/Medicaid                                   |
| <input type="checkbox"/> Alcohol & Other Beverages Tobacco Industry                                  | <input type="checkbox"/> Education of Services, Colleges, Technical Schools & Trade Schools | <input type="checkbox"/> Government (International, Federal, State, County, & Municipal) | <input type="checkbox"/> Computers (Hardware, Software), Multi Media Technology Technical Services & Support         | <input type="checkbox"/> Transportation - Trucking, Boats, Airplanes, & Rats |
| <input type="checkbox"/> Agriculture, Forestry, Timber, & Hunting - Production, Sales, & Leases      | <input type="checkbox"/> Insurance Industry - Home, Auto, Health & Life, Services and Sales | <input type="checkbox"/> Manufacturing - Retail & Wholesale Industry                     | <input type="checkbox"/> Financial Institutions, Investment Firms & Related Services                                 | <input type="checkbox"/> Utilities (Electric, Gas, Water, Nuclear)           |
| <input type="checkbox"/> Charities & Social Services, Church & Con. related Outreach.                | <input type="checkbox"/> Health Care Nursing Homes/ Hospice/ Elderly/Hospital Care          | <input type="checkbox"/> Corrections, Public Safety, & Security Services                 | <input type="checkbox"/> Business - Private & Commercial Enterprises                                                 | <input type="checkbox"/> Scientific/Medical Research & Dev.                  |
| <input type="checkbox"/> Gaming, Arts, Entertainment, Hotels, Restaurants, & Recreational Facilities | <input type="checkbox"/> Alternative Health Care/Holistic Medicine                          | <input type="checkbox"/> Pharmaceutical Industry - Research, Production, & Sales         | <input type="checkbox"/> Environmental Quality, Wildlife Preservation, Land Conservation, & Historical Preservation. | <input type="checkbox"/> Waste (Solid & Hazardous)                           |
| <input type="checkbox"/> Homeland Security                                                           | <input type="checkbox"/> Aerospace/Space Technology                                         | <input type="checkbox"/> Telecommunications/ Satellite Systems/ Related Services         | <input type="checkbox"/> Military/Veterans Issues                                                                    | <input type="checkbox"/> International Trade                                 |

Identify "Other" Lobbying Issues not shown above:

23. Identify all other lobbyists in Mississippi employed by this client. Attach separate sheet if needed.

| Lobbyist's Name | Certificate No. | Address | Telephone |
|-----------------|-----------------|---------|-----------|
| _____           | _____           | _____   | _____     |
| _____           | _____           | _____   | _____     |

**IMPORTANT**

- Miss. Code Ann. § 5-8-5 (1) (1972) states every lobbyist registration shall be filed "with the Secretary of State within five (5) calendar days after becoming a lobbyist, becoming a lobbyist's client or beginning to lobby for a new client."
- Miss. Code Ann. § 5-8-17 (1)(b) (1972) states beginning with the tenth (10) day after which any report is due, "the Secretary of State shall assess the delinquent lobbyist and delinquent lobbyist's client a civil penalty of Fifty Dollars (\$50.00) per day and part of any day until a valid report is delivered to the Secretary of State, up to a maximum of ten (10) days.
- Miss. Code Ann. § 5-8-21(1972) provides a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000); and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000).

**IMPORTANT DATES TO REMEMBER**

- MID-SESSION REPORTS (FORM E) DUE FEBRUARY 25<sup>th</sup>
- END-OF-SESSION REPORTS (FORM E) DUE TEN (10) DAYS AFTER THE LEGISLATURE'S ADJOURNMENT, *SINE DIE*.
- LOBBYIST'S ANNUAL REPORTS (FORM A) AND LOBBYIST'S CLIENT ANNUAL REPORTS (FORM C) DUE JANUARY 30<sup>th</sup>

**Signatures**

|                             |                                    |
|-----------------------------|------------------------------------|
| Print LOBBYIST'S Name Here. | Print LOBBYIST'S CLIENT Name Here. |
| Signature                   | Signature                          |
| Date                        | Date                               |

**RETURN SIGNED, COMPLETED FORM AND \$25.00 FEE TO:**  
 Mississippi Secretary of State's Office  
 Attn: Lobbyist Registration  
 401 Mississippi Street Post Office Box 136  
 Jackson, Mississippi 39201 Jackson, Mississippi 39205-0136

Page 2 of 2 Form R (rev. Dec-07)

## Chapter 3

# Reporting Lobbying Activity

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### LOBBYING SNAPSHOT

- ✓ Lobbyists must file two (2) Legislative Expenditure Reports (Form E).
- ✓ Both the lobbyist and client must file Annual Reports (Form A and Form C respectively).
- ✓ Civil Penalties will be assessed if lobbying reports are filed after the statutory nine (9) day grace period following the deadline date.

## Overview

Reporting lobbyist activity is a mandatory part of the lobbying process. *The Lobbying Law Reform Act of 1994* requires that lobbyists file two (2) **Legislative Expenditure Reports** and one (1) **Annual Report** each year. **Lobbyists' clients** are required to file an **Annual Report** each year.

These requirements are found in Miss. Code Ann. § 5-8-11(5)(6) (1972). Further descriptions of these reporting requirements are in Miss. Code Ann. § 5-8-11(7)(8) (1972). Please refer to Appendix D: *Mississippi Lobbying Statutes*, for a complete copy of the law. Failure to file by the statutory deadline results in fines being assessed by the Secretary of State's Office.

Each report requires the filer's **Certificate Number**. This number can be found on the lobbyist certificate card issued by the Secretary of State's Office upon registration. This number must be included on each report filed by the lobbyist and the lobbyist's client. Persons who have misplaced their certificate numbers should call the Secretary of State's Office Elections Division at (601) 576-2550 or (800) 829-6786.

The law provides a **grace period** following all filing deadlines. Beginning with the tenth calendar day after which any report is due, the Secretary of State must begin assessing fines totaling \$50 per day for up to 10 days for failure to file a required lobbyist or lobbyist's client report. The only provision for leniency is when "*unforeseeable mitigating circumstances*" have prevented the lobbyist or lobbyist's client from filing timely. The example of "*unforeseeable mitigating circumstances*" stated in the law is "such as the health of the lobbyist." Please refer to Miss. Code Ann. § 5-8-17 (1972) on page 57 of this booklet for the statute regarding penalties for late filing. See also the term "*unforeseeable mitigating circumstances*" in the "Frequently Used Terms" section of this booklet.

**IMPORTANT: Failure to file these reports within the specified time may result in civil fines being assessed by the Secretary of State.**

## 2009 Lobbyist Reporting Deadlines

Reports may be faxed to (601) 359-1499. Remember, it is the lobbyist's / client's responsibility to *make certain* his or her mailed, hand delivered, e-mailed, or faxed report is received in our office *no later than 5:00 P.M. on the day of the deadline*. Please keep a confirmation of faxed report for your records. Originals of all reports must follow within **three business days**.

### **Friday, JANUARY 30, 2009** ..... **2008 Annual Reports Due**

Lobbyist's Annual Report (**FORM A**)  
Lobbyist's Client Annual Report (**FORM C**)  
(Fines begin Monday, February 9, 2009)

### **Wednesday, FEBRUARY 25, 2009** ..... **2009 Mid-Session Reports Due**

Legislative Session Report (**FORM E**)  
(Fines begin Saturday, March 7, 2009)

### **Tuesday, April 14, 2009** ..... **2009 End-of-Session Reports Due**

(Sine die April 4, 2009)

Legislative Session Report (**FORM E**)  
(Fines begin Friday April 24, 2009)

### **Friday, JANUARY 29, 2010** ..... **2009 Annual Reports Due**

Lobbyist's Annual Report (**FORM A**)  
Lobbyist's Client Annual Report (**FORM C**)  
(Fines begin Monday, February 8, 2010)

**Statutory Deadlines** - The date on which a report must be filed, according to law. There are three main statutory deadlines: January 30 (Annual Report), February 25 (Mid-Session Report), and ten (10) days after *sine die* (End-of-Session Report). Failure to file Annual Reports and Legislative Session Reports by their respective statutory deadlines results in lobbyists and lobbyists' clients being assessed fines. All registered lobbyists and clients must file an Annual "A" (lobbyist) or "C" (client) report.

The first report, the **Mid-Session Legislative Expenditure Report**, is due at the mid-point of the legislative session. The Mid-Session Legislative Expenditure Report filing deadline is set by statute on **February 25** of each calendar year. All registered lobbyists (not clients) must file a Mid-Session report.

The second report, or **End-of-Session Legislative Expenditure Report**, is due ten (10) days after sine die (*sine die* is the last day the legislature is in session – legislative adjournment – and is subject to change if the legislative session is extended). End-of-Session filing deadline is dependent on the calendar date of *sine die*.

Call (601) 576-2550 or (800) 829-6786 if you need help, or go to: [www.sos.ms.gov/elections/Lobbying](http://www.sos.ms.gov/elections/Lobbying) for lobbying forms, lobbying deadlines, 2007 Lobbying Handbook, and information.

**Mailing address:**

Mississippi Secretary of State  
Lobbying Division  
P.O. Box 136  
Jackson, Mississippi 39205-0136

**Physical address:**

Mississippi Secretary of State  
Lobbying Division  
401 Mississippi Street  
Jackson, Mississippi 39201

The End-of-Session Report filing date will depend on *sine die*. All lobbyists will be notified if this date changes. If you need help please call (601) 576-2550 or (800) 829-6786, or go to:

[www.sos.ms.gov](http://www.sos.ms.gov), **Elections**, then **Lobbying**, for forms and information.

## Chapter 4

# The Legislative Session Report

### LOBBYING SNAPSHOT

- ✓ Only lobbyists file Legislative Session Reports.
- ✓ The Mid-Session Report is due February 25.
- ✓ The End-of-Session Report is due ten (10) days after *sine die*.
- ✓ All lobbyists who are registered during the legislative session must file the Legislative Session Reports.

## Who Must File

The first two (2) reports of the lobbying cycle are the Legislative Session Reports (Form E). *All lobbyists* must file these two Legislative Session Reports. Both of these reports are filed for **every client** a lobbyist represents. Lobbyists must file these reports even if there are no reportable expenditures.

Both Legislative Session reports are abbreviated reports of lobbyist expenditures during the legislative session. These reports do not require disclosure of any overhead costs; instead, they require reportable disclosure of any “things of value” *given or promised* to public officials. Disclosure of reportable things of value given to public officials by lobbyists after the legislative session ends or during special sessions of the Legislature is reported in the Lobbyist’s Annual Report (Form A).

The first report, the **Mid-Session Legislative Expenditure Report**, is due at the mid-point of the legislative session. The filing deadline is set by statute on **February 25** of each calendar year, with fines beginning in the year 2009 on Saturday, March 7, 2009. All lobbyists who registered before the Mid-Session due date must file a Mid-Session report.

The second report, or **End-of-Session Legislative Expenditure Report**, is due 10 days after *sine die* (legislative adjournment). The filing deadline is dependent on the calendar date of *sine die*. In 2009, *sine die* is currently scheduled for April 4, 2009. The report is therefore tentatively due **April 14, 2009**, with fines beginning Friday, April 24, 2009; however, the April 4, 2009, date is subject to change due to a change of *sine die*. You will be notified if this date changes. All lobbyists who registered on or after the Mid-Session due date and before the End-of-Session due date must file a End-of-Session report.

## Points to Remember

- Forms are designed for electronic data entry. Information should be typed or printed in black ink.
- Only lobbyists file Legislative Session Reports (Form E).
- All lobbyists must file Form E, even if no reportable expenditures are disclosed.
- The lobbyist must fill out a separate report for each client he or she represents.
- Failure to file Form E by the statutory deadline may result in the imposition of a fine by the Secretary of State as defined in Miss. Code Ann. § 5-8-17 (1972).
- Any “*thing of value*” given toward food and beverages served at a reception as defined in Miss. Code Ann. §§ 5-8-9(8) and 5-8-11(7) (1972) is not required to be reported on Form E. These expenditures should be reported on the lobbyist and lobbyist’s client Annual Reports (Form A and Form C, respectively).
- All lobbyists are encouraged to familiarize themselves with the code sections cited above to determine whether such expenditures are exempt from reporting under the law.

# FORM E

## THE LEGISLATIVE SESSION REPORT

*The Mid-Session Legislative Expenditure Report must be filed by February 25 and the End-of-Session Legislative Expenditure Report must be filed ten (10) calendar days after sine die. Miss. Code Ann. § 5-8-11(6) (1972).*

| OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr. FORM E                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------|---------------|-------------|-------------------------------------------|-------------|-----------------------------------------------------------|------|-------------------|--|--|--|--|----|----|--|--|--|--|--|----|----|--|--|--|--|--|----|------------------|--|--|--|--|--|----|
| Lobbyist's Mid-Session/End-of-Session Expenditure Report <span style="float: right;">page 1 of 1</span>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| <p><i>Fixed reports must be followed by originals.<br/>Please - no copies and no staples.</i></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| <p><b>INSTRUCTIONS:</b></p> <ol style="list-style-type: none"> <li>Complete all information.</li> <li>Only Lobbyists file a Mid-Session "E", or End-of-Session "E" Report.</li> </ol> <p><b>Mississippi Secretary of State</b><br/> <b>Attn: LOBBYING</b><br/> <b>Physical:</b><br/>           401 Mississippi Street<br/>           Jackson, Mississippi 39201<br/> <b>Mailing:</b><br/>           Post Office Box 136<br/>           Jackson, Mississippi 39205-0136<br/>           (601) 359-6355 (phone) (601) 359-1439 (fax)</p> <p><small>(1) Lobbyists who register on or before February, 25<sup>th</sup> must file a Mid-Session "E" and End-of-Session "E". (2) Lobbyists who register from February, 26<sup>th</sup>, through sine die must file an End-of-Session "E". (3) All Lobbyists and clients must file an Annual Report - "A", Lobbyist "C" Client.<br/>           Lobbyists/Clients must notify our office if there are changes of addresses or phone numbers.</small></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <p><small>For office use only. Do not write in this space.</small></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Logged in By/Date</td> <td></td> </tr> <tr> <td>Lobbying Year</td> <td></td> </tr> <tr> <td>Lobbying Duration<br/>(No Earlier -Jan. 1)</td> <td>END:</td> </tr> <tr> <td>Lobbying Expiration<br/>(No Later -Dec. 31<sup>st</sup>)</td> <td>END:</td> </tr> <tr> <td>Certificate No. #</td> <td></td> </tr> </table> | Logged in By/Date |                          | Lobbying Year |             | Lobbying Duration<br>(No Earlier -Jan. 1) | END:        | Lobbying Expiration<br>(No Later -Dec. 31 <sup>st</sup> ) | END: | Certificate No. # |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| Logged in By/Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| Lobbying Year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| Lobbying Duration<br>(No Earlier -Jan. 1)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | END:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| Lobbying Expiration<br>(No Later -Dec. 31 <sup>st</sup> )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | END:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| Certificate No. #                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| <p><b>SECTION A. Registration Information</b></p> <ol style="list-style-type: none"> <li>LOBBYIST</li> <li>LOBBYIST'S CLIENT (do not abbreviate)</li> <li>Certificate Number    4. Cycle Year    5. Type of Report    <input type="checkbox"/> Mid-Session    <input type="checkbox"/> End-of-Session    <input type="checkbox"/> Amended Report<br/> <small>February 25<sup>th</sup>    10 days after sine die</small></li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| <p><b>SECTION B. Itemized Expenditures</b></p> <p><small>TO COMPLETE THIS SECTION:</small></p> <ol style="list-style-type: none"> <li>In the column marked "Recipient," disclose the public official or employee receiving anything of value or other payment during the period of the report. If someone other than the public official or employee is given or promised the thing of value on behalf of the official or employee, report that person's name also. <u>DO NOT INCLUDE REPORTS AS DEFINED IN Miss. Code Ann. §5-8-11, 7(2)(b), (1972).</u></li> <li>In the column "Recipient's Office Title," disclose the public office, position, or title the recipient holds. Disclose the "Date" and "Place" the item was given, the "Provider," a "Description" of the item, and its "Value/Cost."</li> <li>If additional space is required for itemized expenditures, complete and attach Form XI, (Itemized Legislative Expenditures).</li> </ol> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Recipient</th> <th>Recipient's Office Title</th> <th>Date</th> <th>Place</th> <th>Provider</th> <th>Description</th> <th>Value/Cost</th> </tr> </thead> <tbody> <tr> <td>a.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>\$</td> </tr> <tr> <td>b.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>\$</td> </tr> <tr> <td>c.</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>\$</td> </tr> <tr> <td colspan="6" style="text-align: right;">TOTAL, this page</td> <td>\$</td> </tr> </tbody> </table> <p style="text-align: right;"><small>Attached Form XI</small></p> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Recipient         | Recipient's Office Title | Date          | Place       | Provider                                  | Description | Value/Cost                                                | a.   |                   |  |  |  |  | \$ | b. |  |  |  |  |  | \$ | c. |  |  |  |  |  | \$ | TOTAL, this page |  |  |  |  |  | \$ |
| Recipient                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Recipient's Office Title                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Date              | Place                    | Provider      | Description | Value/Cost                                |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| a.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             | \$                                        |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| b.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             | \$                                        |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| c.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             | \$                                        |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| TOTAL, this page                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             | \$                                        |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| <p><b>Lobbyist's Signature</b></p> <p>I certify that the above information is true and correct, according to my knowledge, information, and belief</p> <p>Print name here _____ Signature _____</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |
| <p><b>PENALTY NOTIFICATION:</b> Miss. Code Ann. §5-8-11(1) (1972).</p> <p style="text-align: left;"><small>Page 1 of ____</small></p> <p style="text-align: right;"><small>Form E (rev. Jan-08)</small></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                   |                          |               |             |                                           |             |                                                           |      |                   |  |  |  |  |    |    |  |  |  |  |  |    |    |  |  |  |  |  |    |                  |  |  |  |  |  |    |

## Line by Line Instructions

### Section A. Registration Information

#### A1. Lobbyist's Full Name -

Provide the full name of the registered lobbyist. This must be an individual.

#### A2. Lobbyist's Client Full Name -

Provide the full name of the lobbyist's client as shown on the registration form and the registration certificate.

#### A3. Certificate Number -

Provide the certificate number of the lobbyist for this particular client. This certificate number can be found in the bottom left corner of the registration certificate issued by the Secretary of State.

#### A4. Cycle Year -

The calendar year that lobbying activity takes place for the period reported.

## A5. Type of Report -

Indicate the reporting period for which you are filing; *Mid-Session* (– Feb. 25, 2009)  
*End-of-Session* (April 14, 2009), or an *Amended Report* (correcting a previously filed report).

\*End-of-Session Report date is dependent on *sine die* which is subject to change in the event the Legislative Session is extended.

**OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr. FORM E**  
**Lobbyist's Mid-Session/End-of-Session Expenditure Report** page 1 of 1

Filed reports must be followed by originals.  
Please - no copies and no staples.

**INSTRUCTIONS:**  
1. Complete all information.  
2. Only Lobbyists file a Mid-Session "M" or End-of-Session "E" report.  
Mississippi Secretary of State  
Attn: LOBBYST  
401 Mississippi Street  
Jackson, Mississippi 39201  
Mailing: Post Office Box 126  
Jackson, Mississippi 39202-0126  
(601) 359-4333 (phone); (601) 359-1433 (fax)

How to locate forms on our website:  
http://www.sos.ms.gov  
Lobbying Process Library  
"M" - Mid-Session  
"E" - End-of-Session  
"A" - Lobbyist Annual Report  
"C" - Client Annual Report  
Follow directions for releases.

For office use only. Do not write in these areas.

Assessed by Date  
Lobbyist Date  
Lobbyist Business (For Entries can. 1)  
Lobbyist Business (For Entries can. 2)  
Lobbyist Business (For Entries can. 3)  
Lobbyist Business (For Entries can. 4)

**SECTION A: Registration Information**  
1. LOBBYIST  
2. LOBBYIST'S CLIENT (do not abbreviate)  
3. Calendar Number 4. Cycle Year 5. Type of Report  Mid-Session  End-of-Session  Amended Report  
February 2009 10 days after last day

**SECTION B: Itemized Expenditures**  
**TO COMPLETE THIS SECTION:**  
1. In the column "Recipient," disclose the public official or employee receiving anything of value or other payment during the period of the report. If someone other than the public official or employee is given or promised the thing of value or value of benefit of the office or employee, report that person's name in the "Recipient," a "beneficiary" of the gift, and the "provider."  
2. In the column "Recipient's Office Title," disclose the public office, position, or title the recipient holds. Disclose the "State" and "Place" for the item and give the "Recipient," a "beneficiary" of the gift, and the "provider."  
3. A separate report is required for limited expenditures, complete and attach Form XL, *Itemized Legislative Expenditures*.

| Recipient        | Recipient's Office Title | Date | Place | Provider | Description | Value/Cost |
|------------------|--------------------------|------|-------|----------|-------------|------------|
| a.               |                          |      |       |          |             | \$         |
| b.               |                          |      |       |          |             | \$         |
| c.               |                          |      |       |          |             | \$         |
| TOTAL, this page |                          |      |       |          |             | \$         |

Lobbyist's Signature  
I certify that the above information is true and correct, according to my knowledge, information, and belief.  
Print name here \_\_\_\_\_ Signature \_\_\_\_\_  
PENALTY NOTIFICATION: Miss. Code Ann. § 5-6-1(1)(b) (1975)  
Page 1 of \_\_\_\_\_ Form E (rev. Jan-08)

## Section B: Itemized Expenditures

Seven items of information are required to be disclosed for each reportable lobbying expenditure. The lobbyist should list this information in the columns provided. Additional *Itemized Legislative Expenditures* forms (*Form XL*) may be attached as needed. The required information is:

**Recipient's name** - The government official receiving or being promised the *thing of value* or other payment.

**Recipient's Office Title** - The office title of the government official receiving the *thing of value*. Senator, Representative, and Governor are examples of office titles.

**Date** - The date the *thing of value* or other payment was given or promised.

**Place** - The name of the place or location the lobbying activity occurred.

**Description** - A description of the gift, payment or *thing of value*.

**Provider's Name** - The person promising or providing the *thing of value* or other payment to the government official. For Form E, this is usually the name of the lobbyist or the name of the lobbyist's client.

**Value or Cost** - The value or the cost of the *thing of value* that has been provided or promised to the recipient.

**Signing and Dating the Form** - Sign and date Form E prior to filing.

**Filing the Form** - After signing, mail or hand-deliver the original to the Secretary of State's Office by **5:00 p.m. on the deadline date**. If the deadline day is during the weekend, the report should be received by the Secretary of State's Office by the Friday *preceding* the deadline. See page four (4) of this guide for the mailing address to our office. You may fax your form by the deadline and follow with the original by mail.



OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr

**Lobbyist's Mid-Session/End-of-Session Expenditure Report**

**FORM E**

*page 1 of 1*

*Faxed reports must be followed by originals.  
Please - no copies and no staples.*

**INSTRUCTIONS:**

- Complete all information.
- Only Lobbyists file a Mid-Session "E", or End-of-Session "E" Report.

Mississippi Secretary of State  
Attn: LOBBYING  
Physical:  
401 Mississippi Street  
Jackson, Mississippi 39201  
Mailing:  
Post Office Box 136  
Jackson, Mississippi 39205-0136  
(601) 359-6353 [phone] (601) 359-1495 [fax]

How to locate forms on our website  
http://www.sos.state.ms.us  
Elections  
Lobbying  
Lobbying Forms Library  
"R" - Registration  
"E" - Mid-Session  
"E" - End-of-Session  
"A" - Lobbyist Annual Report  
"C" - Client Annual Report  
Follow directions for extensions

(1) Lobbyists who register on or before February, 25<sup>th</sup> must file a Mid-Session "E" and End-of-Session "E". (2) Lobbyists who register from February, 26<sup>th</sup>, through sine die must file an End-of-Session "E". (3) All Lobbyists and Clients must file an Annual Report - "A" Lobbyist/ "C" Client.  
Lobbyists/Clients must notify our office if there are changes of addresses or phone numbers.

*For office use only. Do not write in this space.*

|                                                              |               |
|--------------------------------------------------------------|---------------|
| <i>Logged in by / Date</i>                                   |               |
| <i>Lobbying Year</i>                                         |               |
| <i>Lobbying Beginning</i><br>(No Earlier -Jan. 1)            | <i>Begin:</i> |
| <i>Lobbying Ending</i><br>(No Later -Dec. 31 <sup>st</sup> ) | <i>End:</i>   |
| <i>Certificate No. #</i>                                     |               |

**SECTION A. Registration Information**

1. LOBBYIST \_\_\_\_\_

2. LOBBYIST'S CLIENT (Do not abbreviate) \_\_\_\_\_

3. Certificate Number \_\_\_\_\_ 4. Cycle Year \_\_\_\_\_ 5. Type of Report  Mid-Session  End-of-Session  Amended Report  
February 25<sup>th</sup> 10 days after sine die

**SECTION B. Itemized Expenditures**

**TO COMPLETE THIS SECTION:**

- In the column marked "Recipient," disclose the public official or employee receiving anything of value or other payment during the period of the report. If someone other than the public official or employee is given or promised the thing of value on behalf of the official or employee, report that person's name also. **DO NOT INCLUDE RECIPIENTS AS DEFINED IN MISS. CODE ANN. §5-8-11 (7)(a) (1972).**
- In the column "Recipient's Office Title," disclose the public office, position, or title the recipient holds. Disclose the "Date" and "Place" the item was given, the "Provider," a "Description" of the item, and its "Value/Cost."
- If additional space is required for itemized expenditures, complete and attach Form XL. (Itemized Legislative Expenditures).

| Recipient        | Recipient's Office Title | Date | Place | Provider | Description | Value/Cost |
|------------------|--------------------------|------|-------|----------|-------------|------------|
| a.               |                          |      |       |          |             | \$         |
| b.               |                          |      |       |          |             | \$         |
| c.               |                          |      |       |          |             | \$         |
| TOTAL, this page |                          |      |       |          |             | \$         |

Attached Form XL

**Lobbyist's Signature**

*I certify that the above information is true and correct, according to my knowledge, information, and belief.*

Print name here \_\_\_\_\_ Signature \_\_\_\_\_

**PENALTY NOTIFICATION:** Miss. Code Ann. §5-8-17(1) (1972).

Page 1 of \_\_\_\_

Form E [rev. Jan-08]

## Chapter 5

# The Annual Reports of Expenditures

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### LOBBYING SNAPSHOT

- ✓ Annual Reports are due January 30 of each year.
- ✓ Lobbyists and Lobbyists' Clients must both file annual reports.
- ✓ Lobbyists file FORM A.
- ✓ Lobbyist's Client file FORM C.

## Who Must File

The final statutory report of each lobbying cycle is the Annual Report of Expenditures. Both the lobbyist and the lobbyist's client *must* file individual annual reports with the Secretary of State's Office on or before **January 30** of each year. These reports cover activities from *January 1* through *December 31* of the year for which the lobbyist is registered.

The Lobbyist's Annual Report is **Form A**.

The Lobbyist's Client's Annual Report is **Form C**.

Lobbyists must file a separate report (Form A) for each client he or she represents.

Annual Report forms will be mailed to the lobbyist and lobbyist's client upon completion of the registration process described in Chapter 2. The Elections Division of the Secretary of State's Office distributes blank forms and receives completed reports. Blank forms may also be downloaded from the Secretary of State's website at [www.sos.ms.gov](http://www.sos.ms.gov).

All lobbying forms can be downloaded:

- Go to [www.sos.ms.gov](http://www.sos.ms.gov)
- Go to "Elections" icon
- Go to "Lobbying"
- Click on "Lobbying Forms Library" below in "Options"
- Download form needed

# FORM A

## THE LOBBYIST'S ANNUAL REPORT

The purpose of the Lobbyist's Annual Report (Form A) is to disclose the lobbying expenditures and receipts of each registered lobbyist from January 1 through December 31 of the year lobbying occurred. The annual report must be filed at the Secretary of State's Office on or before **5:00 p.m., January 29, 2010.** (January 30, 2010 falls on a Saturday)

### Points to Remember – Annual Form “A”

- Forms are designed for electronic data entry. Information should be typed or printed in black ink.
- The lobbyist files Form A.
- Each lobbyist must file Form A, even if more than one lobbyist is representing a single client.
- The original Form A should always follow a fax or copy.
- If an item on the report is not applicable, indicate with *N/A* in the appropriate place.
- The original Form A should be **notarized**.

## FORM A THE LOBBYIST'S ANNUAL REPORT

### Line by Line Instructions

*Form A must be filed by January 30 of each calendar year Miss Code Ann. § 5-8-11 et seq. (1972). A separate report must be filed for each client represented Miss Code Ann. § 5-8-9 et seq. (1972).*

### GENERAL INFORMATION

**1. Certificate Number** - Provide the certificate number of the lobbyist for this particular client. This certificate number can be found in the bottom left corner of the registration certificate issued by the Secretary of State.

**2. Cycle Year** - Indicate the calendar year for which the lobbyist is reporting.

**3. Report Type** - Indicate if this report is:

The image shows the top portion of the 'Lobbyist's Annual Report' form. It includes the title 'FORM A' and 'Lobbyist's Annual Report'. There are instructions at the top regarding original filing. The form is divided into several sections: 'General Information' with fields for Certificate Number, Cycle Year, and Report Type; 'SECTION A: Identification' with fields for Lobbyist Name, Physical Address, Mailing Address, Phone, Fax, Email, Cell Phone, and Client Name; and 'SECTION B: Lobbyist's Compensation' with a table for reporting fees (14. Fee, 15. Salary, 16. Retainer, 17. Reimbursement, 18. Other) and a total amount. There are also checkboxes for 'AMENDED Report' and 'RETRACTED Report'.

- An original report, for annual activity;
- An amended report, correcting or adding to an original report; and/or
- A terminating report, ending the lobbyist's client activity prior to December 31.

## Section A: Identification

**A4. Lobbyist's Full Name** - Provide the full name of the registered lobbyist as shown on the Certificate from the Secretary of State's Office. **This must be an individual.**

**A5. Occupation-** Provide the official title of lobbyist

**A6. Physical Address-** Provide the physical location of the lobbyist. **No P.O. boxes.**

**A7. Permanent Mailing Address** - List the *permanent mailing address*

of the person or firm employed as a lobbyist. The *permanent mailing address* should disclose where mail and other correspondence should be sent.

**A8-A10. Phone, Fax and Email/Other Phone** -

Provide the lobbyist's telephone number, fax number, E-mail address, and any other applicable *telecommunication* number.

**A13. Lobbyist's Client Full Name** - Indicate the full name of the lobbyist's client. Use the same client name that was listed on the Registration Form (Form R).

**OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. DuBert Hootman Jr. FORM A**  
**Lobbyist's Annual Report**

Filed reports must be followed by originals. Please use copies of NO STAMPS.

**INSTRUCTIONS:**

1. Complete all information.
2. Annual Reports "A" for Lobbyists must be signed by lobbyist and retained.
3. Lobbyists who register on or before February 1st must file a 2008 Decision "B" and Final Decision "C". Lobbyists who register from February 2nd through June 30th must file an Initial Decision "B". All lobbyists must file their 2008 Lobbyist's Annual Report, and all clients must file a "C", Lobbyist's Client Annual Report or a letter January 30th for the preceding lobbying year.
4. Lobbyists' firms must notify our office if there are changes of addresses or phone numbers. Please use the same on your client exactly as it was written on your registration form. Do not abbreviate.

**General Information**

1. Cycle Certificate Number: \_\_\_\_\_ 2. Cycle Year: \_\_\_\_\_ 3. Type of Report:  ORIGINAL Report (report due on January 30th for the previous year's activity)  AMENDED Report (Orig. report amended due to corrections or omissions)  TERMINATING Report (has been terminating prior to Dec. 31 - include "T")

**SECTION A: Identification**

4. Full Name of LOBBYIST: \_\_\_\_\_ 5. Occupation: \_\_\_\_\_

6. Physical Address of LOBBYIST (required): \_\_\_\_\_ City (or Country): \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

7. Mailing Address of LOBBYIST: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

8. Permanent Phone: ( ) \_\_\_\_\_ 9. Fax: ( ) \_\_\_\_\_ 10. E-mail: \_\_\_\_\_

11. Cell Phone: ( ) \_\_\_\_\_ 12. Is Client a State Agency?  Yes  No

13. Full Name of Lobbyist's Client: \_\_\_\_\_

**SECTION B: Lobbyist's Compensation**

14. Fee: \$ \_\_\_\_\_ 15. Salary: \$ \_\_\_\_\_ 16. Retainer: \$ \_\_\_\_\_ 17. Reimbursement: \$ \_\_\_\_\_ 18. Other: \$ \_\_\_\_\_ 19. TOTAL SECTION B: \$ \_\_\_\_\_

Description of other compensation from item 18: \_\_\_\_\_

FORM A (REV. 05/07)

## Section B: Lobbyist Compensation

Disclose all compensation you received for lobbying activity during the lobbying cycle. Indicate the manner in which you were compensated. For each category enter the *total* amount of financial compensation. Miss. Code Ann.

§ 5-8-11(2)(a) (1972).

**If you were paid by:**

**B13. Fee** - Fee or contractual arrangement, report the entire amount.

**B15. Salary** - If paid by salary and the lobbyist's primary duty is lobbying, the lobbyist should report the entire salary. If the lobbyist's primary duty during a substantial part of the year is lobbying, such as only during the legislative session, the entire salary during the legislative session should be reported. If the lobbyist's primary duty is not lobbying, pro-rate the amount of salary earned performing lobbying activities. Miss. Code Ann. § 5-8-11(2)(a) (1972).

**B16. Retainer** - Disclose any retainer exchanged for lobbying services.

**B17. Reimbursement** - Disclose any expenses related to lobbying which were reimbursed by the lobbyist's client.

**B18. Other** - Itemize anything else of value received as a result of lobbying activities. This includes, but is not limited to items such as automobiles, cellular telephones, apartments, tickets to sporting events, etc.

**B19. Total Compensation** - Please provide the total compensation by adding B10-B14.

**Section C: Administrative Operation Costs**



OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr. **FORM A**  
**Lobbyist's Annual Report**

page 1 of 1

*Faxed reports must be followed by originals.  
Please - no copies - no staples.*

**INSTRUCTIONS:**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>1. Complete all information.</p> <p>2. Annual Reports "A", for Lobbyists, must be signed by lobbyist and notarized.</p> <p>Mississippi Secretary of State<br/>                 Attn: LOBBYING<br/>                 Physical: 401 Mississippi Street,<br/>                 Jackson, Mississippi 39201<br/>                 Mailing: Post Office Box 106<br/>                 Jackson, Mississippi 39205-0136<br/>                 (601) 359-6353 [phone] (601) 359-1499 [fax]</p> | <p>How to locate forms on our website<br/> <a href="http://www.sos.state.ms.us">http://www.sos.state.ms.us</a><br/>                 Elections<br/>                 Lobbying<br/>                 Lobbying Forms Library<br/>                 "R" - Registration<br/>                 "E" - Mid Session<br/>                 "E" - End-of-Session<br/>                 "A" - Lobbyist Annual Report<br/>                 "C" - Client Annual Report<br/>                 Follow directions for extensions</p> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

(1) Lobbyists who register on or before February, 29<sup>th</sup> must file a Mid-Session "E" and End-of-Session "E". (2) Lobbyists who register from February 28<sup>th</sup> through sine die must file an End-of-Session "E". (3) All Lobbyists must file an "A", Lobbyist's Annual Report, and all Clients must file a "C", Lobbyist's Client Annual Report on or before January 30<sup>th</sup> for the preceding lobbying year.

Lobbyist/Clients must notify our office if there are changes of addresses or phone numbers. Please write the name on your client exactly as it was written on your registration form. Do not abbreviate.

For office use only. Do not write in this space.

|                                                                     |                       |
|---------------------------------------------------------------------|-----------------------|
| <small>Logged in by / Date</small>                                  |                       |
| <small>Lobbying Year</small>                                        |                       |
| <small>Lobbying Beginning<br/>(No Earlier -Jan. 1)</small>          | <small>Begin:</small> |
| <small>Lobbying Ending<br/>(No Later -Dec. 31<sup>st</sup>)</small> | <small>End:</small>   |
| <small>Certificate No. #</small>                                    |                       |

**General Information**

|                              |               |                   |                                                                                                                                                                                                                                                                                                                             |
|------------------------------|---------------|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Client Certificate Number | 2. Cycle Year | 3. Type of Report | <input type="checkbox"/> ORIGINAL Report (report due on January 30 <sup>th</sup> for the previous year's activity)<br><input type="checkbox"/> AMENDED Report (Orig. report amended due to corrections or omissions)<br><input type="checkbox"/> TERMINATING Report (for filers terminating prior to Dec. 31 - include "T") |
|------------------------------|---------------|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**SECTION A: Identification**

|                                            |  |                   |               |                                           |  |
|--------------------------------------------|--|-------------------|---------------|-------------------------------------------|--|
| 4. Full name of LOBBYIST                   |  |                   | 5. Occupation |                                           |  |
| 6. Physical Address of LOBBYIST (required) |  | City (or/Country) | State         | Zip                                       |  |
| 7. Mailing Address of LOBBYIST             |  | City              | State         | Zip                                       |  |
| 8. Permanent Phone ( )                     |  | 9. Fax ( )        |               | 10. E-mail                                |  |
| 11. Cell Phone ( )                         |  |                   |               | 12. Is Client a State Agency?<br>Yes: No: |  |
| 13. Full Name of Lobbyist's Client         |  |                   |               |                                           |  |

**SECTION B: Lobbyist's Compensation**

Disclose amount of compensation for each category.

|                                                 |            |              |                   |           |                           |
|-------------------------------------------------|------------|--------------|-------------------|-----------|---------------------------|
| 14. Fee                                         | 15. Salary | 16. Retainer | 17. Reimbursement | 18. Other |                           |
| \$                                              | \$         | \$           | \$                | \$        |                           |
| Description of other compensation from item 18: |            |              |                   |           | 19. TOTAL SECTION B<br>\$ |

Form A [rev. Dec-06]

**C20. Overhead** - Indicate total expenditures for overhead expenses (*office rent, utilities, supplies, and compensation of support personnel*). Miss. Code Ann. § 5-8-11(2)(b) (1972).

**C21. Support** - Indicate total payments by another person (other than the lobbyist's client) in support of the lobbyist's lobbying activities, including those made at the suggestion or request of the lobbyist. For example, a lobbyist requests or suggests that an associate or a non-lobbyist employee of the lobbyist's provides something of value to a public official. Miss. Code Ann. § 5-8-11(2)(c) (1972).

**C22. Employees who communicate with public officials** - Indicate total expenditures for employees entering into direct communication with government officials for the purpose of lobbying. Miss. Code Ann. § 5-8-11(2)(e) (1972).

**C23. Urging others to communicate** - Indicate total expenditures for urging persons other than employees of the lobbyist to enter into direct communication with government officials, i.e. *Lobbyist for an association requests association members to contact legislative officials to urge support or defeat of particular legislation*. Miss. Code Ann. § 5-8-11(2)(d) (1972).

**C24. TOTAL, Section C** - Enter the total of lines C20-C23.

| SECTION C: Administrative Operation Costs                                     |    | SECTION D: Payments to Public Officials / Employees                                                                                                                     |    |
|-------------------------------------------------------------------------------|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Disclose expenditure TOTALS for each category (from January 1 – December 31). |    | Disclose expenditure TOTALS for each category from January 1 through December 31 of Lobbying year. Any amount other than zero (0) must be itemized in Section E, below. |    |
| 20. Overhead                                                                  | \$ | 25. Food and beverages, travel and lodging. DO NOT include Receptions – See Section E.                                                                                  | \$ |
| 21. Support                                                                   | \$ | 26. Entertainment, gifts, anything of value, loans, honorariums                                                                                                         | \$ |
| 22. Employees who communicate with public officials                           | \$ | 27. TOTAL, SECTION D                                                                                                                                                    | \$ |
| 23. Urging others to communicate                                              | \$ |                                                                                                                                                                         |    |
| 24. TOTAL, SECTION C                                                          | \$ |                                                                                                                                                                         |    |

  

| SECTION E: Itemized Expenditures and Receptions                                                                                                                                 |                          |                           |                       |                      |                  |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------|-----------------------|----------------------|------------------|------------|
| 28. Itemize information from totals listed in Section D. If additional space is needed, attach FORM X – Itemized Lobbying Expenditures.                                         |                          |                           |                       |                      |                  |            |
| Recipient                                                                                                                                                                       | Recipient's Office Title | Date                      | Place                 | Provider             | Item Description | Value/Cost |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
| <input type="checkbox"/> Attached Form X                                                                                                                                        |                          |                           |                       |                      |                  |            |
| 29. Itemize information for all receptions as defined in §5-8-11 (7) (a), Miss. Code Ann. (1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions. |                          |                           |                       |                      |                  |            |
| Date                                                                                                                                                                            | Place/Location           | Est. No. Public Officials | Est. Total Attendance | Total Reception Cost |                  |            |
|                                                                                                                                                                                 |                          |                           |                       | \$                   |                  |            |
| <input type="checkbox"/> Attached Form XP                                                                                                                                       |                          |                           |                       |                      |                  |            |

  

| Lobbyist Signature                                                                                           |  | Notary Information                         |  |
|--------------------------------------------------------------------------------------------------------------|--|--------------------------------------------|--|
| I certify that the above information is true and correct, according to my knowledge, information and belief. |  | State of _____ Sworn and subscribed before |  |
| Print name here _____                                                                                        |  | me this _____ day of _____                 |  |
| Signature _____ Date _____                                                                                   |  | Country _____ Notary Public _____          |  |
|                                                                                                              |  | My Commission expires _____                |  |

**IMPORTANT**

- Miss. Code Ann. §5-8-21 (1972) provides that a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000), and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000).
- Miss. Code Ann. § 5-8-11(5) (1972) provides that Form A "shall contain information on all expenditures paid or initiated by the lobbyist on behalf of each lobbyist's client during the preceding twelve (12) calendar months, and it shall include a cumulative total for the calendar year of all reportable categories."

Form A [rev. Sep-07]

**D26. Entertainment, gifts, anything of value, loans, honorariums** - Indicate total payments for any payment for a gift, loan, or *anything of value* given or promised to any public official or public employee. Miss. Code Ann. § 5-8-11(2)(g) (1972).

**NOTE:** Campaign finance contributions are not reported on this form. They must be reported separately in accordance with Miss. Code Ann. § 23-15-801 et seq. (1972).

**D27. TOTAL, Section D** - Enter the total of lines D21 and D22.

| SECTION C: Administrative Operation Costs                                     |           | SECTION D: Payments to Public Officials / Employees                                                                                                                     |           |
|-------------------------------------------------------------------------------|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Disclose expenditure TOTALS for each category (from January 1 – December 31). |           | Disclose expenditure TOTALS for each category from January 1 through December 31 of Lobbying year. Any amount other than zero (0) must be itemized in Section E, below. |           |
| 20. Overhead                                                                  | \$        | 25. Food and beverages, travel and lodging. <b>DO NOT</b> include Receptions – See Section E.                                                                           | \$        |
| 21. Support                                                                   | \$        | 26. Entertainment, gifts, anything of value, loans, honorariums                                                                                                         | \$        |
| 22. Employees who communicate with public officials                           | \$        |                                                                                                                                                                         |           |
| 23. Urging others to communicate                                              | \$        |                                                                                                                                                                         |           |
| 24. <b>TOTAL, SECTION C</b>                                                   | <b>\$</b> | 27. <b>TOTAL, SECTION D</b>                                                                                                                                             | <b>\$</b> |

  

| SECTION E: Itemized Expenditures and Receptions                                                                                                                                 |                          |                           |                       |                      |                  |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------|-----------------------|----------------------|------------------|------------|
| 28. Itemize information from totals listed in Section D. If additional space is needed, attach FORM X – Itemized Lobbying Expenditures.                                         |                          |                           |                       |                      |                  |            |
| Recipient                                                                                                                                                                       | Recipient's Office Title | Date                      | Place                 | Provider             | Item Description | Value/Cost |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
| <input type="checkbox"/> Attached Form X                                                                                                                                        |                          |                           |                       |                      |                  |            |
| 29. Itemize information for all receptions as defined in §5-8-11 (7) (a), Miss. Code Ann. (1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions. |                          |                           |                       |                      |                  |            |
| Date                                                                                                                                                                            | Place/Location           | Est. No. Public Officials | Est. Total Attendance | Total Reception Cost |                  |            |
|                                                                                                                                                                                 |                          |                           |                       | \$                   |                  |            |
| <input type="checkbox"/> Attached Form XP                                                                                                                                       |                          |                           |                       |                      |                  |            |

  

| Lobbyist Signature                                                                                                  |  | Notary Information                         |  |
|---------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------|--|
| <i>I certify that the above information is true and correct, according to my knowledge, information and belief.</i> |  | State of _____ Sworn and subscribed before |  |
| Print name here                                                                                                     |  | County of _____ me this _____ day of _____ |  |
| Signature _____ Date _____                                                                                          |  | Country _____ Notary Public                |  |
|                                                                                                                     |  | My Commission expires _____                |  |

**IMPORTANT**

- Miss. Code Ann. §5-8-21 (1972) provides that a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000); and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000).
- Miss. Code Ann. § 5-8-11(5) (1972) provides that Form A "shall contain information on all expenditures paid or initiated by the lobbyist on behalf of each lobbyist's client during the preceding twelve (12) calendar months, and it shall include a cumulative total for the calendar year of all reportable categories."

Form A [rev. Sep-07]

| SECTION C: Administrative Operation Costs                                     |    | SECTION D: Payments to Public Officials / Employees                                                                                                                     |    |
|-------------------------------------------------------------------------------|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Disclose expenditure TOTALS for each category (from January 1 - December 31). |    | Disclose expenditure TOTALS for each category from January 1 through December 31 of Lobbying year. Any amount other than zero (0) must be itemized in Section E, below. |    |
| 20. Overhead                                                                  | \$ | 25. Food and beverages, travel and lodging. DO NOT include Receptions - See Section E.                                                                                  | \$ |
| 21. Support                                                                   | \$ | 26. Entertainment, gifts, angling of value, loans, honorariums                                                                                                          | \$ |
| 22. Employees who communicate with public officials                           | \$ | 27. TOTAL, SECTION D                                                                                                                                                    | \$ |
| 23. Urging others to communicate                                              | \$ |                                                                                                                                                                         |    |
| 24. TOTAL, SECTION C                                                          | \$ |                                                                                                                                                                         |    |

  

| SECTION E: Itemized Expenditures and Receptions                                                                                                                                 |                          |                           |                       |                      |                  |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------|-----------------------|----------------------|------------------|------------|
| 28. Itemize information from totals listed in Section D. If additional space is needed, attach FORM X - Itemized Lobbying Expenditures.                                         |                          |                           |                       |                      |                  |            |
| Recipient                                                                                                                                                                       | Recipient's Office Title | Date                      | Place                 | Provider             | Item Description | Value/Cost |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
| ☐ Attached Form X                                                                                                                                                               |                          |                           |                       |                      |                  |            |
| 29. Itemize information for all receptions as defined in §5-8-11 (7) (a), Miss. Code Ann. (1972). If additional space is needed, attach FORM XP - Itemized Lobbying Receptions. |                          |                           |                       |                      |                  |            |
| Date                                                                                                                                                                            | Place/Location           | Est. No. Public Officials | Est. Total Attendance | Total Reception Cost |                  |            |
|                                                                                                                                                                                 |                          |                           |                       | \$                   |                  |            |
| ☐ Attached Form XP                                                                                                                                                              |                          |                           |                       |                      |                  |            |

  

| Lobbyist Signature                                                                                           |            | Notary Information                          |                             |
|--------------------------------------------------------------------------------------------------------------|------------|---------------------------------------------|-----------------------------|
| I certify that the above information is true and correct, according to my knowledge, information and belief. |            | State of _____ sworn and subscribed before  |                             |
| Print name here _____                                                                                        |            | County of _____ the this _____ day of _____ |                             |
| Signature _____                                                                                              | Date _____ | Country _____                               | Notary Public               |
|                                                                                                              |            |                                             | My Commission expires _____ |

**IMPORTANT**

- Miss. Code Ann. §5-8-21 (1972) provides that a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000), and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000).
- Miss. Code Ann. §5-8-11(5) (1972) provides that Form A "shall contain information on all expenditures paid or initiated by the lobbyist on behalf of each lobbyist's client during the preceding twelve (12) calendar months, and it shall include a cumulative total for the calendar year of all reportable categories."

Form A [rev. Sep-07]

## Section E: Itemized Expenses and Receptions

### E28. Itemize information from totals listed in Section D. If additional space is needed, attach Form X – Itemized Lobbying Expenditures.

Seven items of information must be disclosed for each reportable lobbying expenditure. The lobbyist should list this information in the columns provided. Additional sheets may be attached as needed. The required information is as follows:

**Recipient** - The name of the government official being promised or receiving *anything of value* or other payment to the government official.

**Recipient's Office Title** - The office title of the government official receiving *anything of value*. Senator, Representative, and Governor are examples of office titles.

**Date** - When *anything of value* or other payment was given or promised.

**Place** - The location where *anything of value* or other payment was given or promised.

**Provider** - The person promising or providing *anything of value* or other payment to the government official. For Form A, this is usually the lobbyist.

**Item Description** - A description of the gift, payment of *anything of value*.

**Value or Cost** - The real value or cost of *anything of value*. Or the promised value or cost of *anything of value*.

**E 29. Itemize information for all receptions as defined in Miss. Code Ann. § 5-8-11 (7)(a)(b)**

| SECTION C: Administrative Operation Costs                                     |    | SECTION D: Payments to Public Officials / Employees                                                                                                                     |    |
|-------------------------------------------------------------------------------|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Disclose expenditure TOTALS for each category (from January 1 – December 31). |    | Disclose expenditure TOTALS for each category from January 1 through December 31 of Lobbying year. Any amount other than zero (0) must be itemized in Section E, below. |    |
| 20. Overhead                                                                  | \$ | 25. Food and beverages, travel and lodging. <b>DO NOT</b> include Receptions – See Section E.                                                                           | \$ |
| 21. Support                                                                   | \$ | 26. Entertainment, gifts, anything of value, loans, honorariums                                                                                                         | \$ |
| 22. Employees who communicate with public officials                           | \$ | 27. TOTAL, SECTION D                                                                                                                                                    | \$ |
| 23. Urging others to communicate                                              | \$ |                                                                                                                                                                         |    |
| 24. TOTAL, SECTION C                                                          | \$ |                                                                                                                                                                         |    |

  

| SECTION E: Itemized Expenditures and Receptions                                                                                                                                 |                          |                           |                       |                      |                  |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------|-----------------------|----------------------|------------------|------------|
| 28. Itemize information from totals listed in Section D. If additional space is needed, attach FORM X – Itemized Lobbying Expenditures.                                         |                          |                           |                       |                      |                  |            |
| Recipient                                                                                                                                                                       | Recipient's Office Title | Date                      | Place                 | Provider             | Item Description | Value/Cost |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
|                                                                                                                                                                                 |                          |                           |                       |                      |                  | \$         |
| <input type="checkbox"/> Attached Form X                                                                                                                                        |                          |                           |                       |                      |                  |            |
| 29. Itemize information for all receptions as defined in §5-8-11 (7) (a), Miss. Code Ann. (1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions. |                          |                           |                       |                      |                  |            |
| Date                                                                                                                                                                            | Place/Location           | Est. No. Public Officials | Est. Total Attendance | Total Reception Cost |                  |            |
|                                                                                                                                                                                 |                          |                           |                       | \$                   |                  |            |
| <input type="checkbox"/> Attached Form XP                                                                                                                                       |                          |                           |                       |                      |                  |            |

  

| Lobbyist Signature                                                                                           |  | Notary Information                                                    |  |
|--------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------|--|
| I certify that the above information is true and correct, according to my knowledge, information and belief. |  | State of _____ Sworn and subscribed before me this _____ day of _____ |  |
| Print name here _____                                                                                        |  | County of _____                                                       |  |
| Signature _____ Date _____                                                                                   |  | Country _____ Notary Public                                           |  |
|                                                                                                              |  | My Commission expires _____                                           |  |

**IMPORTANT**

- Miss. Code Ann. §5-8-21 (1972) provides that a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000), and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000).
- Miss. Code Ann. § 5-8-11(5) (1972) provides that Form A "shall contain information on all expenditures paid or initiated by the lobbyist on behalf of each lobbyist's client during the preceding twelve (12) calendar months, and it shall include a cumulative total for the calendar year of all reportable categories."

Form A [rev. Sep-07]

**(1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions -** Miss. Code Ann. § 5-8-11(7) (1972), states (in summary) that: if all legislators and all statewide elected officials are individually invited to a single function which begins and ends during one day, the itemization required in Item 24 above is not required for food and beverages provided at such functions. However, the following information for such functions must be reported:

1. The *date* of the reception.
2. The *place/location* of the reception.
3. The *total number of public officials* in attendance at the function.
4. The *total number of attendees* at the function.
5. The *total cost* of the function.

*NOTE: Any reception or function that does not meet the requirements of the code section cited above must be fully itemized in E24.*

**Signing and Dating the Form**

After the form is completed, it must be signed and dated before a notary public who shall affix his/her seal after witnessing the lobbyist sign the document. Form A must be notarized.

**Filing the Form**

After signing, mail or hand deliver the original to the Secretary of State's Office by **5:00 p.m. on January 30** (for the year 2009 the Annual Reports should be in the Secretary of State's Office by or before 5:00 p.m. on Friday, January 30, 2010). See page four (4) for the address. You may fax your form by the deadline and follow with the original by mail. *Be certain to retain a confirmation of your fax*

# FORM C

## THE LOBBYIST'S CLIENT ANNUAL REPORT

The purpose of the 2009 Lobbyist's Client Annual Report (Form C) is to disclose lobbying expenditures of lobbyist's clients from January 1 through December 31 of the year lobbying occurred. The report must be filed at the Secretary of State's Office on or before **5:00 p.m. on January 29, 2010** (for the year 2009 the Annual Reports should be in the Secretary of State's Office by 5:00 p.m. on Friday, January 29, 2010 because January 30, 2010 is on a Saturday). The lobbyist's client files one annual report (Form C) for all lobbyists employed to represent that client.

### Points to Remember - Client Annual Report Form "C"

- Forms are designed for electronic data entry. Information should be typed or printed in black ink.
- The lobbyist's client files one Form C for all lobbyists registered to represent this client.
- Each lobbyist's client must file Form C.
- One (1) original and two (2) copies should be filed with the Secretary of State's Office.
- If an item on the report is not applicable, indicate with *N/A* in the appropriate place.
- Original Form C must be **notarized**.


OFFICE OF THE MISSISSIPPI SECRETARY OF STATE
FORM **C**

**Lobbyist's Client Annual Report** page 1 of 2

---

*Faxed reports must be followed by originals  
Please – no copies and no staples*

**INSTRUCTIONS:**

- Complete all information.
- Annual Reports "C", for Clients, must be signed by Client and notarized.

Mississippi Secretary of State  
Attn: LOBBYING  
Physical: 401 Mississippi Street,  
Jackson, Mississippi 39201  
Mailing: Post Office Box 136  
Jackson, Mississippi 39205-0136  
(601) 359-4255 (phone) (601) 359-1499 (fax)

(1) Lobbyists who register on or before February 23<sup>rd</sup> must file a Mid-Session "E" and End-of-Session "E". (2) Lobbyists who register from February 26<sup>th</sup> through same day must file an End-of-Session "E". (3) All Lobbyists must file an "A", Lobbyist's Annual Report, and all Clients must file a "C", Lobbyist's Client Annual Report on, or before, January 30<sup>th</sup> for the preceding lobbying year.

Clients must notify our office if there are changes of addresses or phone numbers.

*For office use only. Do not write in this space.*

|                                                      |         |
|------------------------------------------------------|---------|
| Logged In by / Date                                  |         |
| Lobbyist Year                                        |         |
| Lobbying Expenses (No Earlier -Jan. 1)               | Rebill: |
| Lobbying Expenses (No Later -Dec. 31 <sup>st</sup> ) | Eng:    |
| Certificate No. & Iss                                |         |

---

**SECTION A: Identification**

|                                  |                   |                                                                                                                                                                                                                                                                                                        |           |
|----------------------------------|-------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| 1. Lobbyist's Client (Full Name) |                   |                                                                                                                                                                                                                                                                                                        |           |
| 2. Physical Address (required)   |                   | City (or Country)                                                                                                                                                                                                                                                                                      | State Zip |
| 3. Mailing Address               |                   | City (or Country)                                                                                                                                                                                                                                                                                      | State Zip |
| 4. Permanent Phone #             | Fax #             | E-mail                                                                                                                                                                                                                                                                                                 |           |
| 5. Cycle Year                    | 6. Type of Report | <input type="checkbox"/> TERMINATING Report (ending activity prior to December 31)<br><input type="checkbox"/> ORIGINAL Annual Report (report due January 30 <sup>th</sup> for the previous year's activity)<br><input type="checkbox"/> AMENDED Annual Report (report returned to you for correction) |           |

---

**SECTION B: Administrative Operation Costs**

|                                                                                                                                                                                                          |                 |                  |              |                                                                    |    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|------------------|--------------|--------------------------------------------------------------------|----|
| 7. List below the names of all LOBBYISTS registered by you during this calendar year and total compensation for each lobbyist. If activity terminated before December 31, indicate the termination date. |                 |                  |              | 8. Total compensation for all Lobbyists as identified in Item 7-B. | \$ |
| Lobbyist Name                                                                                                                                                                                            | Certificate No. | Early Term. Date | Compensation | 9. Reimbursement(s) to Lobbyists                                   | \$ |
|                                                                                                                                                                                                          |                 |                  | \$           | 10. Overhead                                                       | \$ |
|                                                                                                                                                                                                          |                 |                  | \$           | 11. Direct Payments                                                | \$ |
|                                                                                                                                                                                                          |                 |                  | \$           | 12. Lobbying Expenses                                              | \$ |
|                                                                                                                                                                                                          |                 |                  | \$           | 13. Urging others to communicate (advertising, direct mail, etc.)  | \$ |
|                                                                                                                                                                                                          |                 |                  | \$           | 14. TOTAL (8-13)                                                   | \$ |
| 7-B Total                                                                                                                                                                                                |                 |                  |              |                                                                    | \$ |

Form C [rev. Sep 07]

# FORM C

## LOBBYIST'S CLIENT ANNUAL REPORT

*Must be filed by January 30 of each calendar year. Miss. Code Ann. § 5-8-11(5) (1972).*

### Line by Line Instructions

#### Section A: Identification

##### A1. Lobbyist's Client Full Name -

Provide the full name of lobbyist's client as it appears on the Registration Form.

##### A2. Permanent Mailing Address -

List the permanent mailing address of the lobbyist's client. The permanent mailing address should disclose where mail or other correspondence for the client should be sent.

##### A3-A5. Phone, Fax and Email Other Phone -

Provide the lobbyist client's telephone number, fax number, E-mail address, or cell phone number.

##### A6. Cycle Year -

Indicate the calendar year for which the lobbyist's client is filing.

##### A7. Report Type -

Indicate if this report is:

- An original report, for annual activity;
- An amended report, correcting or adding to an original report; and/or
- A terminating report, ending the lobbyist's client activity prior to December 31 (must be included with the "A" form – Annual Report for the lobbyist).



OFFICE OF THE MISSISSIPPI SECRETARY OF STATE

**Lobbyist's Client Annual Report**

**FORM C**

page 1 of 2

*Faxed reports must be followed by originals  
Please – no copies and no staples*

**INSTRUCTIONS:**

- Complete all information.
- Annual Reports "C", for Clients, must be signed by Client and notarized.

Mississippi Secretary of State  
Attn: LOBBYING  
Physical: 401 Mississippi Street,  
Jackson, Mississippi 39201  
Mailing: Post Office Box 136  
Jackson, Mississippi 39205-0136  
(601) 359-6353 (phone) (601) 359-1499 (fax)

(1) Lobbyists who register on or before February, 29<sup>th</sup> must file a Mid-Session "E" and End-of-Session "E". (2) Lobbyists who register from February 28<sup>th</sup> through sine die must file an End-of-Session "E". (3) All Lobbyists must file an "A", Lobbyist's Annual Report, and all Clients must file a "C", Lobbyist's Client Annual Report on, or before, January 30<sup>th</sup> for the preceding lobbying year.

Clients must notify our office if there are changes of addresses or phone numbers.

How to locate forms on our website  
http://www.sos.ms.gov

Electronic  
Lobbying  
Lobbying Forms Library  
"R" – Registration  
"E" – Mid Session  
"E" – End-of-Session  
"A" – Lobbyist Annual Report  
"C" – Client Annual Report  
Follow directions for attachments

For office use only. Do not write in this space.

|                                                    |        |
|----------------------------------------------------|--------|
| Logged in by/ Date                                 |        |
| Lobbying Year                                      |        |
| Lobbying Beginning (No Earlier -Jan. 1)            | Start: |
| Lobbying Ending (No Later- Dec. 31 <sup>st</sup> ) | End:   |
| Certificate No. & fee                              |        |

**SECTION A: Identification**

|                                   |                                                                                                                                                                                                                                                                                                                     |                   |           |
|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------|
| 1. Lobbyist's Client ( Full Name) |                                                                                                                                                                                                                                                                                                                     |                   |           |
| 2. Physical Address (required)    |                                                                                                                                                                                                                                                                                                                     | City (or Country) | State Zip |
| 3. Mailing Address                |                                                                                                                                                                                                                                                                                                                     | City (or Country) | State Zip |
| 4. Permanent Phone #              | Fax #                                                                                                                                                                                                                                                                                                               | E-mail            |           |
| 5. Cycle Year                     | 6. Type of Report: <input type="checkbox"/> TERMINATING Report (ending activity prior to December 31) <input type="checkbox"/> ORIGINAL Annual Report (report due January 30 <sup>th</sup> for the previous year's activity) <input type="checkbox"/> AMENDED Annual Report (report returned to you for correction) |                   |           |

**SECTION B: Administrative Operation Costs**

7. List below the names of all LOBBYISTS registered by you during this calendar year and total compensation for each lobbyist. If activity terminated before December 31, indicate the termination date.

| Lobbyist Name | Certificate No. | Early Term. Date | Compensation |
|---------------|-----------------|------------------|--------------|
|               |                 |                  | \$           |
|               |                 |                  | \$           |
|               |                 |                  | \$           |
|               |                 |                  | \$           |
|               |                 |                  | \$           |
|               |                 |                  | \$           |
|               |                 |                  | \$           |
| 7-B Total     |                 |                  | \$           |

|                                                                    |    |
|--------------------------------------------------------------------|----|
| 8. Total compensation for all Lobbyists as Identified in Item 7-B. | \$ |
| 9. Reimbursement(s) to Lobbyists                                   | \$ |
| 10. Overhead                                                       | \$ |
| 11. Direct Payments                                                | \$ |
| 12. Lobbying Expenses                                              | \$ |
| 13. Urging others to communicate (advertising, direct mail, etc.)  | \$ |
| 14. TOTAL (8-13)                                                   | \$ |

Form C [rev. Sep 07]

Lobbying in Mississippi - 2009

## Section B: Administrative Operation Costs

### B8. Listing of all Lobbyists Registered

List the names of all lobbyists registered by the client during the calendar year, their certificate number, and total compensation for each lobbyist. If activity terminated before December 31, attach a Lobbyist's Termination Report, (Form T).

#### B8A. Total Compensation -

Total all compensation paid to lobbyists listed in item B8.

#### B9. Total Compensation -

List total compensation for all lobbyists as identified in Item 8a.

#### B10. Reimbursement(s) to Lobbyists -

Indicate the total reimbursement cost to lobbyists.

**B11. Overhead** - Indicate total expenditures for overhead expenses (office rent, utilities, supplies, and compensation of support personnel). These activities may be pro-rated in relation to the amount of time spent conducting lobbying or lobbying activities. Miss. Code Ann. § 5-8-9(2)(b) (1972).

**B12. Direct Payments** - Indicate total payments made at the request or suggestion of lobbyists. Miss. Code Ann. § 5-8-9(2)(c) (1972).

**B13. Lobbying Expenses** - Indicate total expenditures by lobbyist/client/employees entering into direct communication with government officials for the purpose of lobbying. Miss. Code Ann. § 5-8-9(2)(d) (1972).

**B14. Urging others to communicate (advertising, direct mail, etc.)** - Indicate total expenditures for urging any person(s) other than employees of the lobbyist to enter into direct communication with government officials (i.e. requesting association members to contact legislative officials to urge support or defeat of particular legislation). Miss. Code Ann. § 5-8-9(2)(e) (1972).

**B15. Total, Section B** - Enter the total of lines B9 – B14.

| SECTION A: Identification                                                                                                                                                                                |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 1. Lobbyist's Client (Full Name)                                                                                                                                                                         |  |
| 2. Physical Address (required)                                                                                                                                                                           |  |
| 3. Mailing Address                                                                                                                                                                                       |  |
| 4. Permanent Phone #                                                                                                                                                                                     |  |
| 5. Cycle Year                                                                                                                                                                                            |  |
| 6. Type of Report                                                                                                                                                                                        |  |
| 7. List below the names of all LOBBYISTS registered by you during this calendar year and total compensation for each lobbyist. If activity terminates before December 31, indicate the termination date. |  |
| 8. Total compensation for all Lobbyists as identified in Item 7-b.                                                                                                                                       |  |
| 9. Reimbursement(s) to Lobbyists                                                                                                                                                                         |  |
| 10. Overhead                                                                                                                                                                                             |  |
| 11. Direct Payments                                                                                                                                                                                      |  |
| 12. Lobbying Expenses                                                                                                                                                                                    |  |
| 13. Urging others to communicate (advertising, direct mail, etc.)                                                                                                                                        |  |
| 14. TOTAL (8-13)                                                                                                                                                                                         |  |

**Section C: Payments to Public Officials / Employees**  
**(Payments listed in this section must be *itemized* in Section D.)**

**C16. Food or Beverage, (do not include receptions), Travel and Lodging, Entertainment -**

Indicate total payments or reimbursement for food, beverages, travel, lodging, entertainment, or sporting activities provided to any public official or public employee. Miss. Code Ann. § 5-8-9(2)(f) (1972).

- Food and beverage expenses disclosed under the “Receptions” category of payment shall not be disclosed here. However, food and beverage expenses made at functions not meeting the definition of *reception* found in Miss. Code Ann. § 5-8-9(8) (1972) shall be reported here.
- If travel is not by common carrier (for example, it is by private automobile or private aircraft), the fair market value of the transportation provided shall be used.
- The actual cost of lodging shall be used when available. Otherwise, the fair market value of the lodging shall be used.

| FORM C                                                                                                                                                                                                                                                                                                                                                                              |                                                         | page 2 of 2               |                                                                                                                                                                                |                      |                  |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|------------------|------------|
| <b>SECTION C: Payments to Public Officials / Employees</b>                                                                                                                                                                                                                                                                                                                          |                                                         |                           |                                                                                                                                                                                |                      |                  |            |
| Disclose expense TOTALS for each category. Any amount other than zero “0” must be itemized in Section D, Item 18.                                                                                                                                                                                                                                                                   |                                                         |                           |                                                                                                                                                                                |                      |                  |            |
| 16. Food or Beverages - <b>DO NOT</b> include receptions; Travel and Lodging, Entertainment                                                                                                                                                                                                                                                                                         | 17. Gifts, loans, honorariums, Any other thing of value | 18. TOTAL, SECTION C      |                                                                                                                                                                                |                      |                  |            |
| \$                                                                                                                                                                                                                                                                                                                                                                                  | \$                                                      | \$                        |                                                                                                                                                                                |                      |                  |            |
| <b>SECTION D: Itemized Expenditures and Receptions</b>                                                                                                                                                                                                                                                                                                                              |                                                         |                           |                                                                                                                                                                                |                      |                  |            |
| 19. Itemize information from totals listed in Section C. If additional space is needed, attach FORM X – Itemized Lobbying Expenditures.                                                                                                                                                                                                                                             |                                                         |                           |                                                                                                                                                                                |                      |                  |            |
| Recipient                                                                                                                                                                                                                                                                                                                                                                           | Recipient's Office Title                                | Date                      | Place                                                                                                                                                                          | Provider             | Item Description | Value/Cost |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                |                      |                  | \$         |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                |                      |                  | \$         |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                |                      |                  | \$         |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                |                      |                  | \$         |
| TOTAL, this page                                                                                                                                                                                                                                                                                                                                                                    |                                                         |                           |                                                                                                                                                                                |                      |                  | \$         |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           | <input type="checkbox"/> Attached Form X                                                                                                                                       |                      |                  |            |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           | 20. Itemize information for all receptions as defined in §5-8-11 (7)(a), Miss. Code Ann. (1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions. |                      |                  |            |
| Date                                                                                                                                                                                                                                                                                                                                                                                | Place/Location                                          | Est. No. Public Officials | Est. Total Attendance                                                                                                                                                          | Total Reception Cost |                  |            |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                | \$                   |                  |            |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                | \$                   |                  |            |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                | \$                   |                  |            |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                                                                                                                                | \$                   |                  |            |
| TOTAL, Reception Costs                                                                                                                                                                                                                                                                                                                                                              |                                                         |                           |                                                                                                                                                                                | \$                   |                  |            |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           | <input type="checkbox"/> Attached Form XP                                                                                                                                      |                      |                  |            |
| <b>Lobbyist's Client Signature</b>                                                                                                                                                                                                                                                                                                                                                  |                                                         |                           | <b>Notary Information</b>                                                                                                                                                      |                      |                  |            |
| I certify that the above information is true and correct, according to my knowledge, information and belief.                                                                                                                                                                                                                                                                        |                                                         |                           | State of _____ Sworn and subscribed before                                                                                                                                     |                      |                  |            |
| Print name here                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           | County of _____ me this _____ day of _____                                                                                                                                     |                      |                  |            |
| Signature                                                                                                                                                                                                                                                                                                                                                                           |                                                         |                           | Notary Public                                                                                                                                                                  |                      |                  |            |
| Date                                                                                                                                                                                                                                                                                                                                                                                |                                                         |                           | My Commission expires _____                                                                                                                                                    |                      |                  |            |
| <b>PENALTY NOTIFICATION:</b> Miss. Code Ann. §5-8-21 (1972) provides that a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000); and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000). |                                                         |                           |                                                                                                                                                                                |                      |                  |            |

**C17. Gifts, loans, honorariums, any other thing of value -**

The report must indicate: “A purchase, payment, distribution, loan, forgiveness of loan or payment of loan by a third party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or anything of value for any purpose.” Miss. Code Ann. § 5-8-9(2)(g) (1972). This includes *anything of value* from any public official or public employee. *NOTE: Campaign finance contributions are not reported on this form. They must be reported separately in accordance with Miss. Code Ann. § 23-15-801 et seq. (1972).*

**C18. TOTAL, Section C** - Enter the total of lines C16 and C17.

## Section D: Itemized Expenditures and Receptions

### D19. Itemize information from totals listed in Section C. If additional space is needed, attach Form X – Itemized Lobbying Expenditures -

Seven (7) items of information must be disclosed for each reportable lobbying expenditure. The lobbyist's client should enter this information in the columns provided. Additional sheets may be attached as needed. The required information is as follows:

**Recipient's name** - The public official being promised or receiving the *thing of value* or other payment.

**Recipient's Office Title** - The office title of the government official receiving the *thing of value*. "Senator," "Representative," and "Governor" are examples of office titles.

**Date** - When the *thing of value* or other payment was given or promised.

**Place** - The location where the *thing of value* or other payment was given or promised.

**Provider** - The person promising or providing the *thing of value* or other payment to the government official. For Form C this is usually the name of the lobbyist's client.

**Item Description** - A description of the gift, payment, or *thing of value*.

**Value/Cost** - The value or the cost of the promised or provided *thing of value* or payment expressed in U.S. dollars. Enter the *total* amount for itemized expenditures.

| FORM C                                                                                                                                                                                                                                                                                                                                                                              |                                                         | page 2 of 2               |                       |                                            |                  |            |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|---------------------------|-----------------------|--------------------------------------------|------------------|------------|--|
| <b>SECTION C: Payments to Public Officials / Employees</b>                                                                                                                                                                                                                                                                                                                          |                                                         |                           |                       |                                            |                  |            |  |
| Disclose expense TOTALS for each category. Any amount other than zero "0" must be itemized in Section D, Item 18.                                                                                                                                                                                                                                                                   |                                                         |                           |                       |                                            |                  |            |  |
| 16. Food or Beverages - <u>DO NOT</u> include receptions; Travel and Lodging, Entertainment                                                                                                                                                                                                                                                                                         | 17. Gifts, loans, honorariums, Any other thing of value | 18. TOTAL, SECTION C      |                       |                                            |                  |            |  |
| \$                                                                                                                                                                                                                                                                                                                                                                                  | \$                                                      | \$                        |                       |                                            |                  |            |  |
| <b>SECTION D: Itemized Expenditures and Receptions</b>                                                                                                                                                                                                                                                                                                                              |                                                         |                           |                       |                                            |                  |            |  |
| 19. Itemize information from totals listed in Section D. If additional space is needed, attach FORM X – Itemized Lobbying Expenditures.                                                                                                                                                                                                                                             |                                                         |                           |                       |                                            |                  |            |  |
| Recipient                                                                                                                                                                                                                                                                                                                                                                           | Recipient's Office Title                                | Date                      | Place                 | Provider                                   | Item Description | Value/Cost |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       |                                            |                  | \$         |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       |                                            |                  | \$         |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       |                                            |                  | \$         |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       |                                            |                  | \$         |  |
| TOTAL, this page                                                                                                                                                                                                                                                                                                                                                                    |                                                         |                           |                       |                                            |                  | \$         |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       | <input type="checkbox"/> Attached Form X   |                  |            |  |
| 20. Itemize information for all receptions as defined in §5-8-11 (7)(a), Miss. Code Ann. (1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions.                                                                                                                                                                                                      |                                                         |                           |                       |                                            |                  |            |  |
| Date                                                                                                                                                                                                                                                                                                                                                                                | Place/Location                                          | Est. No. Public Officials | Est. Total Attendance | Total Reception Cost                       |                  |            |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       | \$                                         |                  |            |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       | \$                                         |                  |            |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       | \$                                         |                  |            |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       | \$                                         |                  |            |  |
| TOTAL, Reception Costs                                                                                                                                                                                                                                                                                                                                                              |                                                         |                           |                       | \$                                         |                  |            |  |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       | <input type="checkbox"/> Attached Form XP  |                  |            |  |
| <b>Lobbyist's Client Signature</b>                                                                                                                                                                                                                                                                                                                                                  |                                                         |                           |                       | <b>Notary Information</b>                  |                  |            |  |
| I certify that the above information is true and correct, according to my knowledge, information and belief.                                                                                                                                                                                                                                                                        |                                                         |                           |                       | State of _____ Sworn and subscribed before |                  |            |  |
| Print name here                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                       | me this _____ day of _____                 |                  |            |  |
| Signature                                                                                                                                                                                                                                                                                                                                                                           |                                                         |                           |                       | Notary Public                              |                  |            |  |
| Date                                                                                                                                                                                                                                                                                                                                                                                |                                                         |                           |                       | My Commission expires _____                |                  |            |  |
| <b>PENALTY NOTIFICATION:</b> Miss. Code Ann. §5-8-21 (1972) provides that a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000); and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000). |                                                         |                           |                       |                                            |                  |            |  |

## Section D: Itemized Expenditures and Receptions (cont.)

### **D20. Itemize information for all receptions as defined in Miss. Code Ann. § 5-8-9(8)(a) (1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions -**

D20 is reserved for **receptions** as defined in Miss. Code Ann. § 5-8-9(8) (1972), which states (in summary) that if all legislators and all eight statewide elected officials are individually invited to a single function which begins and ends during one day, the itemization required in Item 19 above is not required for food and beverages provided at such functions. However, the following information for such functions **must be reported:**

- A. The **date** of the reception
- B. The **place/location** of the reception
- C. The **estimated number of public officials** in attendance at the reception
- D. The **estimated number of attendees** at the reception
- E. The **total cost** of the reception

*NOTE: Any reception or function that does not meet the requirements of the code section cited above must be fully itemized in D19.*

### **Signing and Dating the Form -**

After the form is completed, it must be dated and signed before a notary public, who shall affix his/her seal after witnessing the lobbyist's client sign the document. **Form C must be notarized.**

### **Filing the Form -**

After signing, mail the report so that it will be received by the Secretary of State's Office *no later than 5:00 p.m. on January 30*. The report may be hand-delivered or faxed by the deadline and followed with the original by mail. See page 4 (four) of this guide for the mailing address to our office.

| FORM C                                                                                                                                                                                                                                                                                                                                                                              |                                                         | page 2 of 2               |                                                                       |                                           |                  |                                          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|---------------------------|-----------------------------------------------------------------------|-------------------------------------------|------------------|------------------------------------------|
| <b>SECTION C: Payments to Public Officials / Employees</b>                                                                                                                                                                                                                                                                                                                          |                                                         |                           |                                                                       |                                           |                  |                                          |
| Disclose expense TOTALS for each category. Any amount other than zero "0" must be itemized in Section D, Item 18.                                                                                                                                                                                                                                                                   |                                                         |                           |                                                                       |                                           |                  |                                          |
| 16. Food or Beverages - <b>DO NOT</b> include receptions; Travel and Lodging, Entertainment                                                                                                                                                                                                                                                                                         | 17. Gifts, loans, honorariums, Any other thing of value | 18. TOTAL, SECTION C      |                                                                       |                                           |                  |                                          |
| \$                                                                                                                                                                                                                                                                                                                                                                                  | \$                                                      | \$                        |                                                                       |                                           |                  |                                          |
| <b>SECTION D: Itemized Expenditures and Receptions</b>                                                                                                                                                                                                                                                                                                                              |                                                         |                           |                                                                       |                                           |                  |                                          |
| 19. Itemize information from totals listed in Section D. If additional space is needed, attach FORM X – Itemized Lobbying Expenditures.                                                                                                                                                                                                                                             |                                                         |                           |                                                                       |                                           |                  |                                          |
| Recipient                                                                                                                                                                                                                                                                                                                                                                           | Recipient's Office Title                                | Date                      | Place                                                                 | Provider                                  | Item Description | Value/Cost                               |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       |                                           |                  | \$                                       |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       |                                           |                  | \$                                       |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       |                                           |                  | \$                                       |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       |                                           |                  | \$                                       |
| TOTAL, this page                                                                                                                                                                                                                                                                                                                                                                    |                                                         |                           |                                                                       |                                           |                  | \$                                       |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       |                                           |                  | <input type="checkbox"/> Attached Form X |
| 20. Itemize information for all receptions as defined in §5-8-11 (7)(a), Miss. Code Ann. (1972). If additional space is needed, attach FORM XP – Itemized Lobbying Receptions.                                                                                                                                                                                                      |                                                         |                           |                                                                       |                                           |                  |                                          |
| Date                                                                                                                                                                                                                                                                                                                                                                                | Place/Location                                          | Est. No. Public Officials | Est. Total Attendance                                                 | Total Reception Cost                      |                  |                                          |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       | \$                                        |                  |                                          |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       | \$                                        |                  |                                          |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       | \$                                        |                  |                                          |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       | \$                                        |                  |                                          |
| TOTAL, Reception Costs                                                                                                                                                                                                                                                                                                                                                              |                                                         |                           |                                                                       | \$                                        |                  |                                          |
|                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           |                                                                       | <input type="checkbox"/> Attached Form XP |                  |                                          |
| <b>Lobbyist's Client Signature</b>                                                                                                                                                                                                                                                                                                                                                  |                                                         |                           | <b>Notary Information</b>                                             |                                           |                  |                                          |
| I certify that the above information is true and correct, according to my knowledge, information and belief.                                                                                                                                                                                                                                                                        |                                                         |                           | State of _____ Sworn and subscribed before me this _____ day of _____ |                                           |                  |                                          |
| Print name here                                                                                                                                                                                                                                                                                                                                                                     |                                                         |                           | _____                                                                 |                                           |                  |                                          |
| Signature                                                                                                                                                                                                                                                                                                                                                                           |                                                         |                           | Notary Public                                                         |                                           |                  |                                          |
| Date                                                                                                                                                                                                                                                                                                                                                                                |                                                         |                           | My Commission expires _____                                           |                                           |                  |                                          |
| <b>PENALTY NOTIFICATION:</b> Miss. Code Ann. §5-8-21 (1972) provides that a conviction for violation of the lobbying law, for the first offense, carries a penalty of a maximum of six (6) months in jail and a fine of One Thousand Dollars (\$1,000); and for subsequent offenses a maximum of three (3) years in the penitentiary and a fine of Five Thousand Dollars (\$5,000). |                                                         |                           |                                                                       |                                           |                  |                                          |

## Chapter 6

# Supplemental Lobbying Reporting Forms

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### LOBBYING SNAPSHOT

- ✓ Supplemental Forms are used when more space for itemized entries is needed than that provided on Form E, Form A, and Form C.
- ✓ Form XMC is used when more than one client benefited from an itemized entry.

There are four (4) supplemental lobbying reporting forms that lobbyists can use to provide itemized information for their report filings. The supplemental lobbying reporting forms are:

| <u>FORM</u> | <u>DESCRIPTION</u>                                |
|-------------|---------------------------------------------------|
| ➤ FORM X    | Itemized Expenditures                             |
| ➤ FORM XL   | Itemized Legislative Expenditures                 |
| ➤ FORM XP   | Itemized Receptions                               |
| ➤ FORM XMC  | Itemized Expenditures Benefiting Multiple Clients |

Lobbyists should also note that a **FORM T: *Termination of Lobbyist Registration***, may be filed as an official notice of termination of a lobbyist or lobbyist's client registration. The FORM T form should be filed along with the Lobbyist's Annual Report (Form A) at the time of termination.

Supplemental reporting forms will be mailed to the lobbyist and lobbyist's client upon completion of the registration process described in Chapter 2. The Elections Division of the Secretary of State's Office distributes blank forms and receives completed reports. See page four (4) of this guide for the address and telephone number. Blank forms may also be downloaded from the Secretary of State's web site at [www.sos.ms.gov](http://www.sos.ms.gov). Then go to: *Elections*, then *Lobbying*, and then to *Lobbying Forms Library*.

# FORM X

## ITEMIZED EXPENDITURES

The purpose of Form X is to disclose any additional itemized expenditures on the **Lobbyist's Annual Report (Form A)** or **Lobbyist's Client Annual Report (Form C)**.

### Line by Line Instructions

#### Registration Information -

1. Lobbyist Name
2. Lobbyist Client
3. Certificate Number
4. Cycle Year
5. Type of Report

Seven (7) items of information must be disclosed for each reportable lobbying expenditure. The lobbyist should report this information in the columns provided. Additional sheets may attached as needed. The required information includes:

**Recipient's name** - The government official being promised or receiving the *thing of value* or other payment to the government official.

**Recipient's Office Title** - The office title of the government official receiving the *thing*

*of value*. "Senator," "Representative," and "Governor" are examples of office titles.

**Date** - When the *thing of value* or other payment was given or promised.

**Place** - The location where the *thing of value* or other payment was given or promised.

**Provider** - The person promising or providing the *thing of value* or other payment to the government official.

**Description** - A description of the gift, payment, or *thing of value*.

**Value or Cost** - The value or the cost of the promised or provided *thing of value* or payment.



OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr. **FORM E**

**Lobbyist's Mid-Session/End-of-Session Expenditure Report** page 1 of 1

*Faxed reports must be followed by originals. Please - no copies and no staples.*

**INSTRUCTIONS:**

1. Complete all information.
2. Only Lobbyists file a Mid-Session "E", or End-of-Session "E" Report.

Mississippi Secretary of State  
Attn: LOBBYING

Physical:  
401 Mississippi Street  
Jackson, Mississippi 39201

Mailing:  
Post Office Box 106  
Jackson, Mississippi 39205-0106  
(601) 359-6350 (phone) (601) 359-1495 (fax)

(1) Lobbyists who register on or before February, 28<sup>th</sup> must file a Mid-Session "E" and End-of-Session "E". (2) Lobbyists who register from February, 28<sup>th</sup>, through same day must file an End-of-Session "E". (3) All Lobbyists and Clients must file an Annual Report - "A" Lobbyist "C" Client.  
**Lobbyists/Clients must notify our office if there are changes of addresses or phone numbers.**

How to locate forms on our website  
<http://www.sos.ms.gov>

Electron:  
Lobbying  
Lobbying Forms Library  
"E" - Registration  
"E" - Mid-Session  
"E" - End-of-Session  
"A" - Lobbyist Annual Report  
"C" - Client Annual Report  
Follow directions for extensions.

For office use only. Do not write in this space.

|                                                     |        |
|-----------------------------------------------------|--------|
| Logged in by / Date                                 |        |
| Lobbying Year                                       |        |
| Lobbying Registration (No Earlier - Jan. 1)         | REGID: |
| Lobbying Ending (No Later - Dec. 31 <sup>st</sup> ) | END:   |
| Certificate No. #                                   |        |

**SECTION A. Registration Information**

1. LOBBYIST \_\_\_\_\_

2. LOBBYIST'S CLIENT (Do not abbreviate) \_\_\_\_\_

3. Certificate Number \_\_\_\_\_ 4. Cycle Year \_\_\_\_\_ 5. Type of Report  Mid-Session  End-of-Session  Amended Report  
February 28<sup>th</sup> 10 days after size due

**SECTION B. Itemized Expenditures**

**TO COMPLETE THIS SECTION:**

1. In the column marked "Recipient," disclose the public official or employee receiving anything of value or other payment during the period of the report. If someone other than the public official or employee is given or promised the thing of value on behalf of the official or employee, report that person's name also. **DO NOT INCLUDE RECEPTIONS AS DEFINED IN Miss. Code Ann. §5-8-1(1) (1972).**
2. In the column "Recipient's Office Title," disclose the public office, position, or title the recipient holds. Disclose the "Date" and "Place" the item was given, the "Provider," a "Description" of the item, and its "Value/Cost."
3. If additional space is required for itemized expenditures, complete and attach Form XL (Itemized Legislative Expenditures).

| Recipient        | Recipient's Office Title | Date | Place | Provider | Description | Value/Cost |
|------------------|--------------------------|------|-------|----------|-------------|------------|
| a.               |                          |      |       |          |             | \$         |
| b.               |                          |      |       |          |             | \$         |
| c.               |                          |      |       |          |             | \$         |
| TOTAL, this page |                          |      |       |          |             | \$         |

Attached Form XL

**Lobbyist's Signature**

I certify that the above information is true and correct, according to my knowledge, information, and belief.

Print name here: \_\_\_\_\_ Signature \_\_\_\_\_

**PENALTY NOTIFICATION:** Miss. Code Ann. §5-8-1(1) (1972).

Page 1 of \_\_\_\_
Form E (rev. Jan-08)

# FORM XL ITEMIZED LEGISLATIVE EXPENDITURES

The purpose of Form XL is to disclose any additional itemized legislative expenditures on Form E (Mid-Session or End-of-Session). **If itemized legislative expenditure will benefit more than one client, please complete FORM XMC.**

## Line by Line Instructions Registration Information -

1. Lobbyist Name
2. Lobbyist's Client
3. Certificate Number
4. Cycle Year
5. Type of Report: Mark the report that reflects when the itemized expenditure activity took place.

Seven items of information must be disclosed for each reportable lobbying expenditure. The lobbyist should provide this information in the columns provided. Additional sheets may attached as needed. The required information includes:

**Recipient's name** - The public official being promised or receiving the *thing of value* or other payment.

**Recipient's Office Title** - The office title of the government official receiving the *thing of value*. "Senator," "Representative," and "Governor" are examples of office titles.

**Date** - When the *thing of value* or other payment was given or promised.

**Place** - The location where the *thing of value* or other payment was given or promised.

**Provider** - The person providing the *thing of value* to the government official.

**Description** - A description of the gift, payment, or *thing of value*.

**Value or Cost** - The value or the cost of the *thing of value* promised or provided.

OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. DELBERT HOSSAMANN JR. **FORM XL**  
Mid-Session/End-of-Session Itemized Expenditures

Faxed reports must be followed by originals.  
Please - no copies and no staples.

**INSTRUCTIONS:**

1. Complete all information.  
2. Only Lobbyists file a Mid-Session "E" or End-of-Session "E" Report.

How to locate forms on our website  
<http://www.sos.ms.gov>

Electronic  
Lobbying  
Lobbying Expense Library  
"E" - Registration  
"E" - Mid-Session  
"E" - End-of-Session  
"A" - Lobbyist Annual Report  
"C" - Client Annual Report  
Follow directions for submission.

Mississippi Secretary of State  
Attn: LOBBYING  
Physical  
401 Mississippi Street  
Jackson, Mississippi 39201  
Mailing  
Post Office Box 136  
Jackson, Mississippi 39205-0136  
(601) 359-6333 (phone) (601) 358-1499 (fax)  
Lobbyists/Clients must notify our office if there are changes of addresses or phone numbers.

For office use only. Do not write in this space.

|                                                         |        |
|---------------------------------------------------------|--------|
| Assigned in by / Date                                   |        |
| Lobbying Year                                           |        |
| Lobbying Registration (NO LATER - JAN. 1)               | Begin: |
| Lobbying Expiration (NO LATER - DEC. 31 <sup>st</sup> ) | End:   |
| Certificate No. #                                       |        |

Registration Information

1. LOBBYIST

2. LOBBYIST'S CLIENT

|                       |               |                   |                                                                                                                                                                                                               |
|-----------------------|---------------|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 3. Certificate Number | 4. Cycle Year | 5. Type of Report | <input type="checkbox"/> Mid-Session (Legislative covering date through February 25, due February 25)<br><input type="checkbox"/> End of Session (February 26th through line due, due 10 days after sine die) |
|-----------------------|---------------|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

| Recipient               | Recipient's Office Title | Date | Place | Provider | Description | Value/Cost |
|-------------------------|--------------------------|------|-------|----------|-------------|------------|
| a.                      |                          |      |       |          |             | \$         |
| b.                      |                          |      |       |          |             | \$         |
| c.                      |                          |      |       |          |             | \$         |
| d.                      |                          |      |       |          |             | \$         |
| e.                      |                          |      |       |          |             | \$         |
| f.                      |                          |      |       |          |             | \$         |
| g.                      |                          |      |       |          |             | \$         |
| h.                      |                          |      |       |          |             | \$         |
| i.                      |                          |      |       |          |             | \$         |
| j.                      |                          |      |       |          |             | \$         |
| k.                      |                          |      |       |          |             | \$         |
| l.                      |                          |      |       |          |             | \$         |
| m.                      |                          |      |       |          |             | \$         |
| n.                      |                          |      |       |          |             | \$         |
| o.                      |                          |      |       |          |             | \$         |
| <b>TOTAL, this page</b> |                          |      |       |          |             | \$         |

Page \_\_\_\_ of \_\_\_\_ Form XL (rev. Jan-08)

## FORM XP ITEMIZED RECEPTIONS

The purpose of Form XP is to disclose any additional functions that meet the following criteria:

- All legislators and each statewide elected official receive an individual invitation.
- The function begins and ends in one day.
- All food and beverages are offered equally and are consumed in one day.

Disclosure of receptions are filed on the Lobbyist's Annual Report (Form A) or the Lobbyist's Client Annual Report (Form C).

### Line by Line Instructions

#### Registration Information -

1. Lobbyist Name
2. Lobbyist's Client
3. Certificate Number
4. Cycle Year
5. Type of Report

Five (5) items of information must be disclosed for reception. The lobbyist should provide this information in the columns provided. Additional sheets may attached as needed. The required information includes:

The **Date** of the reception.

The **Place/Location** the reception took place.

The **Estimated Number of Public Officials** who attended the reception.

The **Estimated Total Attendance** of the reception.

The **Total Cost** of the reception.


**OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr. FORM XP**  
**Itemized Expenditures for Receptions – Extension for "A" or "C"**

Fixed reports must be followed by originals.  
Please - no copies and no staples.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>INSTRUCTIONS:</b></p> <ol style="list-style-type: none"> <li>1. Complete all information.</li> <li>2. Form "XP" is to be used with the Lobbyist's Form "A", or the Client's Form "C", to itemized reception expenditures.</li> </ol> <p><b>Mississippi Secretary of State</b><br/> <b>Attn: LOBBYING</b><br/> <b>Physical:</b><br/> <b>451 Mississippi Street</b><br/> <b>Jackson, Mississippi 39201</b><br/> <b>Mailing:</b><br/> <b>Postal Office Box 136</b><br/> <b>Jackson, Mississippi 39205-0136</b><br/> <b>(601) 359-6353 (phone) (601) 359-1499 (fax)</b></p> <p style="font-size: x-small;">Lobbyists/Clients must notify our office if there are changes of addresses or phone numbers.</p> | <p><b>How to locate forms on our website</b><br/> <a href="http://www.sos.ms.gov">http://www.sos.ms.gov</a><br/>         Elections<br/>         Lobbying<br/>         Lobbying Forms Library<br/>         "R" - Registration<br/>         "E" - EOL Sessions<br/>         "E" - End-of-Session<br/>         "A" - Lobbyist Annual Report<br/>         "C" - Client Annual Report<br/>         Follow directions for attachments.</p> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                  |         |
|--------------------------------------------------|---------|
| For office use only. Do not write in this space. |         |
| Lobbyist Name/Date                               |         |
| Lobbying Year                                    |         |
| Lobbying Expenses (No earlier than 1)            | Receipt |
| Lobbying Budget (No later than 31)               | Exp.    |
| Certificate No.                                  |         |

**Registration Information**

1. LOBBYIST \_\_\_\_\_

2. LOBBYIST'S CLIENT \_\_\_\_\_

3. Certificate Number \_\_\_\_\_ 4. Cycle Year \_\_\_\_\_ 5. Type of Report  Lobbyist's Annual Report (Form A)  Lobbyist's Client Annual Report (Form C)

| Date             | Place/Location | Estimated No. of Public Officials | Estimated Total Attendance | Total Cost |
|------------------|----------------|-----------------------------------|----------------------------|------------|
| a.               |                |                                   |                            | \$         |
| b.               |                |                                   |                            | \$         |
| c.               |                |                                   |                            | \$         |
| d.               |                |                                   |                            | \$         |
| e.               |                |                                   |                            | \$         |
| f.               |                |                                   |                            | \$         |
| g.               |                |                                   |                            | \$         |
| h.               |                |                                   |                            | \$         |
| i.               |                |                                   |                            | \$         |
| j.               |                |                                   |                            | \$         |
| k.               |                |                                   |                            | \$         |
| l.               |                |                                   |                            | \$         |
| m.               |                |                                   |                            | \$         |
| n.               |                |                                   |                            | \$         |
| o.               |                |                                   |                            | \$         |
| TOTAL, this page |                |                                   |                            | \$         |

Page \_\_\_\_ of \_\_\_\_ Form XP (rev. Jan-06)

# FORM XMC

## ITEMIZED EXPENDITURES BENEFITING MULTIPLE CLIENTS

The purpose of Form XMC is to report an itemized expenditure that benefits multiple clients. Instead of “breaking down” the total cost of an expenditure over multiple clients, the lobbyist will provide the total value/cost per recipient per event after listing the benefiting clients.

### Line by Line Instructions

#### Section A: Registration Information -

- A1. Lobbyist Name
- A2. Cycle Year
- A3. Type of Report

#### Section B: Benefiting Clients/ Certificate Numbers -

Identify the clients, with respective certificate numbers, which benefit from ALL listed recipients. EACH listed client must benefit from *every* listed recipient.

#### Section C: Itemized Expenditures

Seven (7) items of information must be disclosed for each reportable lobbying expenditure. The lobbyist should provide this information in the columns provided. Additional sheets may attached as needed. The required information includes:

**C1. Recipient** - The government official being promised or receiving the *thing of value* or other payment to the government official.

**C2. Recipient’s Office Title** - The office title of the government official receiving the *thing of value*. “Senator,” “Representative,” and “Governor” are examples of office titles.

**C3. Date** - When the *thing of value* or other payment was given or promised.

**C4. Place** - Where the *thing of value* or other payment was given or promised

**C5. Provider** - The person providing the *thing of value* to the government official.

**C6. Description** - A description of the gift, payment, or *thing of value*.

**C7. Value or Cost** - The total value or cost of the promised or provided *thing of value* or payment to the individual recipient.



OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Hosemann Jr. **FORM XMC**

Itemized Expenditures Benefiting Multiple Clients – Extension for “A” or “E”

**INSTRUCTIONS:**

1. Complete all information.

2. “XMC” can be used to benefit multiple Clients.

3. “XMC” can be used as an extension to the Mid-Session “E”, the End-of-Session “E”, or the Lobbyist’s Annual Report “A”.

Mississippi Secretary of State  
Attn: LOBBYING

Physical:  
401 Mississippi Street  
Jackson, Mississippi 39201

Mailing:  
Post Office Box 136  
Jackson, Mississippi 39205-0136  
(601) 359-6333 [phone] (601) 359-1499 [fax]

Lobbyists/Clients must notify our office if there are changes of addresses or phone numbers. Write name of client as it appears on “E” Registration Form. Do not abbreviate.

Fixed reports must be followed by originals. Please - no copies and no staples.

For office use only. Do not write in this space.

|                                                                             |  |
|-----------------------------------------------------------------------------|--|
| <small>How to locate forms on our website<br/>http://www.sos.ms.gov</small> |  |
| <small>Electronic Lobbying</small>                                          |  |
| <small>Lobbying Public Library</small>                                      |  |
| <small>E - Registration</small>                                             |  |
| <small>E - Mid Session</small>                                              |  |
| <small>E - End-of-Session</small>                                           |  |
| <small>A - Lobbyist Annual Report</small>                                   |  |
| <small>C - Client Annual Report</small>                                     |  |
| <small>Follow directions for extensions</small>                             |  |

**SECTION A: Registration Information**

1. LOBBYIST: \_\_\_\_\_

2. Cycle Year: \_\_\_\_\_ 3. Type of Report:  Mid-Session Report (Form E)  End of Session Report (Form E)  Lobbyist’s Annual Report (Form A)

**SECTION B: Benefiting Clients / Certificate Numbers**

| Client Name | Cert. No. |
|-------------|-----------|-------------|-----------|-------------|-----------|-------------|-----------|
| a.          |           | a.          |           | a.          |           | a.          |           |
| b.          |           | b.          |           | b.          |           | b.          |           |
| c.          |           | c.          |           | c.          |           | c.          |           |
| d.          |           | d.          |           | d.          |           | d.          |           |

**SECTION C: Itemized Expenditures**

| Recipient        | Recipient’s Office Title | Date | Place | Provider | Description | Value/Cost |
|------------------|--------------------------|------|-------|----------|-------------|------------|
| a.               |                          |      |       |          |             | \$         |
| b.               |                          |      |       |          |             | \$         |
| c.               |                          |      |       |          |             | \$         |
| d.               |                          |      |       |          |             | \$         |
| e.               |                          |      |       |          |             | \$         |
| f.               |                          |      |       |          |             | \$         |
| g.               |                          |      |       |          |             | \$         |
| h.               |                          |      |       |          |             | \$         |
| TOTAL, this page |                          |      |       |          |             | \$         |

page \_\_\_\_ of \_\_\_\_ Form XMC rev. Jan-09

# FORM T

## TERMINATION OF LOBBYIST REGISTRATION

The purpose of Form T (Termination of Lobbyist Registration) is to certify that the lobbyist and the lobbyist's client have ceased to engage in lobbying activity prior to December 31. Form T must be filed along with the Lobbyist's Annual Report and the Lobbyist's Client Annual Report.

### Line by Line Instructions

#### Section A: Identification

##### A1. Lobbyist's Full Name -

Enter the full name of the person employed as a lobbyist. If the lobbyist is a firm, the person assigned to the task of lobbying must be shown as the lobbyist.

**A2. Certificate Number** - Indicate the lobbyist's certificate number for the terminating lobbyist's client.

**A3. Permanent Mailing Address** - List the permanent mailing address of the person or firm employed as a lobbyist.

**A4-5. Phone & Fax** - Give the lobbyist's telephone number and fax number.

**A6. Lobbyist Client Full Name** - Indicate the full name of the lobbyist's client.

**A7. Permanent Mailing Address** - Give the permanent business address.

**A8-A9. Phone and Fax** - Give the lobbyist's client telephone and fax number.

**A10. Date of Termination** - Indicate the date the termination is effective.

#### Statement of Termination -

To facilitate the termination of the lobbyist registration, the lobbyist and lobbyist's client should date, print, and sign their respective names in the appropriate boxes.

| OFFICE OF THE MISSISSIPPI SECRETARY OF STATE, C. Delbert Rosemann Jr. T                                                                                                                                                                                                                                                                                                                                                                         |                   |                                                                                                                                                                                                                                                                                                                                |      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Termination of Lobbyist Registration - (Accompany with "A" or "C" or both) FORM                                                                                                                                                                                                                                                                                                                                                                 |                   |                                                                                                                                                                                                                                                                                                                                |      |
| Faxed reports must be followed by originals. Please - no copies and no staples.<br><b>INSTRUCTIONS:</b><br>1. Complete all information.<br>2. Lobbyist and lobbyist's client must sign form.<br>3. Terminating "T" - Lobbyist's Annual Report "A" must accompany the Terminating "T" form.<br>4. If the Client has only one Lobbyist, and that Lobbyist is Terminating, then the "A" and "T" form must also accompany the terminating "C" form. |                   | How to locate forms on our website<br><a href="http://www.jcs.state.ms.us">http://www.jcs.state.ms.us</a><br>Electronic Lobbying<br>Lobbying Forms Library<br>"E" - Registration<br>"T" - Mid Session<br>"C" - End-of-Session<br>"A" - Lobbyist Annual Report<br>"C" - Client Annual Report<br>Follow directions for exercises |      |
| Mississippi Secretary of State<br>Attn: LOBBYING<br>Physical: 401 Mississippi Street<br>Jackson, Mississippi 39201<br>Mailing: Post Office Box 155<br>Jackson, MS 39205-0156<br>Contact by phone:<br>1-800-825-4756<br>(601) 359-6350 (phone) (601) 359-1459 (fax)                                                                                                                                                                              |                   | Lobbyists/Clients must notify our office if there are changes of address or phone numbers.<br>Write name of Client as it appears on "R" Registration Form. Do not abbreviate.                                                                                                                                                  |      |
| <b>SECTION A: Identification</b>                                                                                                                                                                                                                                                                                                                                                                                                                |                   |                                                                                                                                                                                                                                                                                                                                |      |
| 1. LOBBYIST'S FULL NAME                                                                                                                                                                                                                                                                                                                                                                                                                         | 2. Occupation     |                                                                                                                                                                                                                                                                                                                                |      |
| 3. Physical Address(required)                                                                                                                                                                                                                                                                                                                                                                                                                   | City (or Country) | State                                                                                                                                                                                                                                                                                                                          | Zip  |
| 4. Mailing Address                                                                                                                                                                                                                                                                                                                                                                                                                              | City (or Country) | State                                                                                                                                                                                                                                                                                                                          | Zip  |
| 5. Permanent Phone ( )                                                                                                                                                                                                                                                                                                                                                                                                                          | 6. Fax ( )        |                                                                                                                                                                                                                                                                                                                                |      |
| 7. LOBBYIST'S CLIENT (Do not abbreviate)                                                                                                                                                                                                                                                                                                                                                                                                        |                   |                                                                                                                                                                                                                                                                                                                                |      |
| 8. Physical Address(required)                                                                                                                                                                                                                                                                                                                                                                                                                   | City (or Country) | State                                                                                                                                                                                                                                                                                                                          | Zip  |
| 9. Mailing Address                                                                                                                                                                                                                                                                                                                                                                                                                              | City (or Country) | State                                                                                                                                                                                                                                                                                                                          | Zip  |
| 10. Permanent Phone ( )                                                                                                                                                                                                                                                                                                                                                                                                                         | 11. Fax ( )       |                                                                                                                                                                                                                                                                                                                                |      |
| <b>Statement of Termination</b>                                                                                                                                                                                                                                                                                                                                                                                                                 |                   |                                                                                                                                                                                                                                                                                                                                |      |
| This is to certify that the undersigned lobbyist ceases to engage in lobbying activity for the above noted lobbyist's client.                                                                                                                                                                                                                                                                                                                   |                   | This is to certify that the lobbyist noted above ceased to engage in lobbying activity for the undersigned lobbyist's client.                                                                                                                                                                                                  |      |
| <input type="checkbox"/> Terminating Annual Report (Form A) MUST be attached.                                                                                                                                                                                                                                                                                                                                                                   |                   | <input type="checkbox"/> Client employs only this one lobbyist, so terminating Lobbyist's Client Annual Report (Form C) is attached.                                                                                                                                                                                           |      |
| <input type="checkbox"/> Lobbyist's client has other lobbyists, and no Client Annual Report "C" is due at this time.                                                                                                                                                                                                                                                                                                                            |                   |                                                                                                                                                                                                                                                                                                                                |      |
| Print LOBBYIST'S name here.                                                                                                                                                                                                                                                                                                                                                                                                                     |                   | Print LOBBYIST'S CLIENT name here.                                                                                                                                                                                                                                                                                             |      |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                       | Date              | Signature                                                                                                                                                                                                                                                                                                                      | Date |
| Page 1 of 1                                                                                                                                                                                                                                                                                                                                                                                                                                     |                   | Form T (rev. Jan-08)                                                                                                                                                                                                                                                                                                           |      |

## Chapter 7

# Delinquencies, Fines, and Penalties

---

### LOBBYING SNAPSHOT

- ✓ Civil fine assessment begins ten (10) days after all reporting deadlines.
  - ✓ Fines are assessed at the rate of \$50 per day.
  - ✓ Fines are assessed each reporting deadline.
- 

## Civil Penalties

In accordance with Miss. Code Ann. § 5-8-17 (1972), the Secretary of State *must* levy civil penalties and monetary fines upon those lobbyists and lobbyists' clients who fail to file required reports by the statutory deadline. To avoid penalty, all reports must be **received** in the Secretary of State's Office no later than **5:00 p.m.** on the report deadline date. These dates are found on page 13, and the back page of this booklet.

The law allows *a grace period of nine (9) calendar days following the statutory filing deadlines*. Beginning with the **tenth calendar day** after the filing deadline, the Secretary of State must begin assessing a fine of \$50 per day for up to 10 days for failure to file a required lobbyist or lobbyist's client report. This fine is per day and part of any day until a valid report is delivered to the Secretary of State. Only because of **unforeseeable mitigating circumstances** is the Secretary of State allowed to waive all or part of the mandatory penalty. See page 42 for definition of "unforeseeable mitigating circumstances."

Although the Secretary of State's Office attempts to notify delinquent lobbyists and lobbyists' clients of their delinquent status prior to assessing the fine, failure to receive notice by mail prior to the tenth calendar day after the deadline will not result in removal or reduction of the fine. Filing of the required report and paying the fine within 10 calendar days of notice by the Secretary of State that a required statement has not been filed constitutes statutory compliance. **Payment of the fine without filing the report does not constitute compliance.**

## Criminal Penalties

An intentional violation of the lobbying law is a criminal offense with a maximum penalty of \$1,000 and six (6) months imprisonment for the first offense and \$5,000 and three years imprisonment for subsequent offenses. Please see Miss. Code Ann. §§ 5-8-17 and 5-8-21 (1972), in Appendix D: *Mississippi Lobbying Statutes* beginning on page 54 of this booklet for further information regarding fines and penalties for failure to report lobbying and lobbyist's client activities.

## Appendix A:

### **Lobbying Law – General Interpretations**

#### Sales

Payments or expenses associated with sales to state and local governments that are subject to the purchasing laws of the State of Mississippi are generally not subject to the lobbying law registration or reporting requirements. See Mississippi Attorney General’s Official Opinion to the Honorable Tim Ford dated February 17, 1995. Copies of this opinion may be obtained from the Attorney General’s Office at: [www.ago.state.ms.us](http://www.ago.state.ms.us) - Docket Number 1994-0837.

#### Executive Action

Miss. Code Ann. § 5-8-3(d) (1972) defines *executive action* as:

(d) “the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection or postponement by a state or local governmental entity of a rule, regulation, order, decision, determination or other quasi-legislative action or proceeding.”

Accordingly, routine matters by agencies and local governments which do not require an official order or other official action described above do not generally fall within the definition of “*executive action*.”

#### Quasi-Judicial Action

While the definition of *executive action* in Miss. Code Ann. § 5-8-3(d) (1972) includes references to various executive and quasi-legislative actions, no reference is made to quasi-judicial proceedings.

Accordingly, the position of the Secretary of State is that payments or expenses associated solely with a purely quasi-judicial forum or proceeding are not subject to the requirements of the lobbying law.

#### Community Economic Development Agencies

As a general rule, the Secretary of State’s Office does not regard as influence on executive or legislative action the traditional activities of government-funded community economic development agencies — including the promotion of location and expansion of businesses in a specific community or geographic area.

Thus, such bona-fide economic development activities undertaken by community economic development agencies which are funded in whole or in part by government funds (including chambers of commerce which receive government funding) may generally be undertaken without being subject to the lobbying law.

However, the agency or chamber should register and report lobbying activity if it undertakes a lobbying effort unrelated to its economic development mission within its particular community or geographic area.

Acknowledging that government-funded community economic development agencies frequently enlist the support of existing business enterprising in assisting their bona-fide economic development activities, the position of the Secretary of State's Office is that such assistance is likewise generally not subject to the lobbying law if the community economic development agency makes a specific request for a particular form of assistance. Contemporaneous records should be kept of all such requests.

### **Training For Community Health, Safety, and Welfare**

The Secretary of State's Office generally does not regard as lobbying activity expenditures made strictly in the context of training offered for the general health, safety, and welfare of the community. For example, training provided to local government employees on utility line safety is not considered lobbying.

## Appendix B: Terms and Definitions

**Annual Report** - Annual reports are filed separately by lobbyists (Form A) and lobbyist's clients (Form C). Annual reports are detailed financial reports of lobbying activities conducted during the previous calendar year; due no later than January 30 of the year following lobbying activity.

**Certificate Number** - The number assigned to each lobbyist's client the lobbyist is registered to represent. The certificate number is found on the lobbyist's certificate card issued at registration (also referred to as *registration number*).

**Civil Fines** - Civil fines are monetary fines. Lobbyists and lobbyists' clients are fined \$50 per day if they do not file annual reports or legislative session reports by the statutory deadline.

**End-of-Session Report** - The second, and final, legislative session report (Form E). Each lobbyist must file an End-of-Session report with the Secretary of State within ten (10) days of *sine die*.

**Form A** - Form A is the annual report filed by each lobbyist. If a lobbyist has multiple clients, a separate Form A is filed for each client. These are due in the Secretary of State's Office no later than **5:00 p.m. on January 30** of each year.

**Form C** - The annual report filed by each lobbyist's client. Lobbyists' clients file a single Form C for all lobbyists employed by that client; due in the Secretary of State's Office no later

than **5:00 p.m. on January 30** of each year.

**Form E** - Form E is the Legislative Session Report. **It is filed only by lobbyists.** Two are filed each year; due in the Secretary of State's Office no later than **5:00 p.m. on February 25** and **ten (10) days after *sine die*.**

**Form R** - Form R is the Lobbyist's Registration Form that establishes the relationship between the lobbyist and the lobbyist's client during the lobbying cycle. A lobbyist must file a registration form *each* calendar year.

**Form T** - Form T is the Lobbyist's Termination Report that dissolves the relationship between the lobbyist and the lobbyist's client. Form T should only be filed if the lobbyist terminates services before the end of the lobbying cycle.

**Form X** - Form X is the supplemental Itemized Expenditure Report. It can be used as an attachment for Form A and Form C.

**Form XL** - Form XL is the supplemental Itemized Legislative Expenditure Report. It can be used as an attachment to Form E.

**Form XMC** - Form XMC is the supplemental Itemized Expenditures Benefiting Multiple Clients Report. It can be used as an attachment for Form E and Form A.

**Form XP** - Form XP is the supplemental Itemized Reception Report. It can be

used as an attachment for Form A and Form C.

**Grace Period** - The first nine (9) calendar days following a reporting deadline before civil fines are assessed. Fine assessment begins ten (10) days following a reporting deadline.

**Legislative Session Reports** - Two Legislative Session Reports (Form E) are filed by each lobbyist. Lobbyists' clients do not file Legislative Session Reports. However, lobbyists must file a separate Form E for each client the lobbyist represents. In 2003, Legislative Session Reports are due in the Secretary of State's Office no later than **5:00 p.m. on February 25** and **ten (10) days after *sine die***.

**Lobbyist** - (i) An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying

(ii) An individual who represents a legislative or public official or public employee, or who represents a person, organization, association, or other group for the purpose of lobbying

(iii) A sole proprietor, owner, part owner, or shareholder in a business who has a pecuniary interest in legislative or executive action, who engages in lobbying activities

**Lobbyist's Client** - The person in whose behalf the lobbyist influences or attempts to influence legislative or executive action.

**Lobbying Cycle** - The Mississippi lobbying cycle begins on January 1 and ends December 31 of *each* calendar year.

**Mid-Session Report** - The first Legislative Session Expenditure Report, *due February 25*, that all lobbyists file.

**Pecuniary Interest** - Pecuniary interest is any degree of direct or indirect financial interest.

**Reception** - A reception is a function sponsored by a lobbyist or lobbyist's client that begins and ends within one day, to which all 174 legislative members and the eight (8) statewide elected officials are individually invited.

*sine die* - Legislative adjournment; may change during the course of the session; determines the due date of the Legislative End-of-Session Report.

**Statutory deadlines** - The date on which a report must be filed, according to law. There are three main statutory deadlines: **January 30, February 25, and ten (10) days after *sine die***. Failure to file Annual Reports and Legislative Session Reports by their respective statutory deadlines results in lobbyists and lobbyists' clients being assessed fines.

**Unforeseeable Mitigating Circumstances** - The only circumstance in which a lobbying fine can be waived by the Secretary of State. The statute, Miss. Code Ann. § 5-8-17(1)(b) (1972) cites as an example "such as the health of the lobbyist." This standard is interpreted strictly, thus few fines are waived. For instance, failure to receive mailed notice of a fine or other mailings is not an "unforeseeable mitigating circumstance."

## Appendix C: Frequently Asked Questions

- Q. The grace period for filing reports ends on a Saturday. If I turn my report in the following Monday, am I still fined?**
- A.** *Yes. The grace period allows nine (9) days to file after the filing deadline without penalty. Fines are assessed beginning 10 days after each filing deadline.*
- Q. My report was postmarked on the day of the penalty deadline; why am I still being fined?**
- A.** *By statute, reports must be received in the Secretary of State's Office by **5:00 p.m.** by the deadline day.*
- Q. Are fines assessed by working days or calendar days?**
- A.** *Calendar days, including Saturday, Sunday, and holidays, if they fall within the penalty period.*
- Q. Are campaign contributions reported on lobbying reports?**
- A.** *No. Lawful campaign contributions are not reported on lobbying disclosure reports if they are subject to the campaign finance disclosure laws of Mississippi.*
- Q. I am a public official. When one of my constituents buys me a cup of coffee, is that supposed to be reported?**
- A.** *As long as the constituent is not lobbying on behalf of a client, and not spending more than \$200 in a calendar year on public officials, the constituent would be exempt.*
- Q. Is lobbying of federal officials, elected or appointed, subject to Mississippi's lobbying laws?**
- A.** *No. However, lobbying of state, county, municipal, and other local officials is covered by the lobbying law.*
- Q. If something of value is given to a judicial official, is that required to be reported?**
- A.** *No. Judges are not under the Mississippi lobbying law.*
- Q. I am a private citizen who wants to contact my local legislators about a bill I'm supporting. Must I register as a lobbyist?**
- A.** *Generally, if no more than \$200 is spent on influencing legislators, the citizen will be exempt.*
- Q. If my company pays for "educational" or "recreational" trips for public officials, are they exempt from reporting?**
- A.** *No. Reports must be made for travel, lodging, and/or entertainment expenses.*
- Q. I'm an association member, and I took a couple of legislators to dinner before an important committee vote. Must I register as a lobbyist?**
- A.** *You must register as a lobbyist if you spend more than \$200 per year on a public officials.*

**Q. I'm an association member, and I come to Jackson one week each year, usually spending about \$2,000 meeting with state officials on decisions their agency makes. Must I register as a lobbyist?**

*A. Yes. You spent more than \$200 per year to influence decision-making by public officials.*

**Q. I am an attorney arguing a case before a Mississippi court. Must I register as a lobbyist?**

*A. No. The lobbying law applies only to legislative and executive bodies, and boards and commissions, including boards of supervisors.*

**Q. I am a state agency employee, whose job involves working with legislators to promote or defeat legislation of interest to my agency. Must I register as a lobbyist?**

*A. Yes, if lobbying is a primary or regular function of your job or if you give the legislators more than \$200 worth of things of value in a calendar year.*

**Q. I own a few shares in a company that employs lobbyists. If I take a legislator to dinner about a bill affecting that company, must I register as a lobbyist?**

*A. No, unless you spend more than \$200 per year to influence decisions by public officials.*

**Q. I am a registered lobbyist. If I buy a public official a \$12 lunch, what must I report?**

*A. When buying lunch for a public official, you must report the lunch, the value of the lunch, where the lunch occurred, your name, and the name of the official.*

**Q. I work for a "good government" organization that telephones or writes its members to contact legislators in favor or against specific legislation. Should we list this expense on our periodic reports?**

*A. Yes.*

**Q. Our company, which is registered as a lobbyist's client, invites the entire Legislature and all statewide officials to a reception each year. Must we itemize the names of all attendees?**

*A. No. The law specifically exempts from itemization requirements receptions held for the entire Legislature and all statewide officials. This statute refers to food at such an event as "for immediate consumption," which means it is eaten within the span of one day.*

**Q. Because of errors by the post office (or misrouting of mail in my office, or similar delay), I never received my fine warning letter until after the fines began being assessed. Will I still be fined?**

*A. Yes. The Secretary of State's Office supplies a calendar of statutory deadlines to each registered lobbyist and lobbyist's client.*

*Lobbyists and lobbyists' clients are advised to familiarize themselves with the statutory requirements and dates. The law requires the final fine warning notice to be mailed but does not condition assessment of the fine on receipt prior to the fine assessment date*

## Appendix D: Mississippi Lobbying Statutes

**Sec. 5-8-1. Short Title.**

**Sec. 5-8-3. Definitions.**

**Sec. 5-8-5. Registration statements; filing; contents; length of registration period; effective date of registration; termination of registration; forms.**

**Sec. 5-8-7. Persons excluded from definition of “lobbyist” and “lobbyist’s client.”**

**Sec. 5-8-9. Report of expenditures of lobbyist’s client; exceptions.**

**Sec. 5-8-11. Report of payments received by lobbyist from each lobbyist’s client; exceptions.**

**Sec. 5-8-13. Prohibited acts; required acts.**

**Sec. 5-8-15. Investigations of violations of chapter.**

**Sec. 5-8-17. Penalties; Ethics Commission hearings; appeals; investigation by Attorney General of continued non-compliance.**

**Sec. 5-8-19. Duties of Secretary of State.**

**Sec. 5-8-21. Penalties for intentional violations; prosecution of corporation or association not barred.**

**Sec. 5-8-23. Severability clause.**

### **Editor’s Note**

Effective upon effectuation under Sec. 5 of the Voting Rights Act of 1965. Provisions similar to those found in this chapter were formerly found in Title 5, Chapter 7.

### **Sec. 5-8-1. Short Title.**

This chapter shall be cited as the “Lobbying Law Reform Act of 1994.”

### **Sec. 5-8-3. Definitions.**

The following words and phrases shall have the meanings ascribed herein unless the context clearly indicates otherwise:

(a) (i) “Anything of value” means:

1. A pecuniary item, including money, or a bank bill or note;

2. A promissory note, bill of exchange, order, draft, warrant, check or bond given for the payment of money;

3. A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge or transfer of money;

4. A stock, bond, note or other investment interest in an entity;

5. A receipt given for the payment of money or other property;

6. A right in action;

7. A gift, tangible good, chattel or an interest in a gift, tangible good or chattel;

8. A loan or forgiveness of indebtedness;

9. A work of art, antique or collectible;

10. An automobile or other means of personal transportation;

11. Real property or an interest in real property, including title to realty, a fee simple or partial interest, present or future, contingent or vested within realty, a leasehold interest, or other beneficial interest in realty;

12. An honorarium or compensation for services;

13. A rebate or discount in the price of *anything of value*, unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person’s status as an executive, legislative or public official or

public employee, or the sale or trade of something for reasonable compensation that would ordinarily not be available to a member of the public;

14. A promise or offer of employment;

15. Any other thing of value that is pecuniary or compensatory in value to a person, except as otherwise provided in subparagraph (ii) of this paragraph; or

16. A payment that directly benefits an executive, legislative or public official or public employee or a member of that person's immediate family.

(ii) *Anything of value* does not mean:

1. Informational material such as books, reports, pamphlets, calendars or periodicals informing an executive, legislative or public official or public employee of her or his official duties;
2. A certificate, plaque or other commemorative item which has little pecuniary value;
3. Food and beverages for immediate consumption provided by a lobbyist up to a value of ten dollars (\$10) in the aggregate during any calendar year;
4. Campaign contributions reported in accordance with Section 23-15-801 et seq., Mississippi Code of 1972.

(b) "Commission" means the Mississippi Ethics Commission, when used in the context of Section 5-8-19 of this chapter.

(c) "Compensation" means:

- (i) An advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge or transfer of money or *anything of value*, including reimbursement of travel, food or lodging costs; or

- (ii) A contract, agreement, promise or other obligation for an advance, conveyance, forgiveness of indebtedness, deposit, distribution, loan, payment, gift, pledge or transfer of money or *anything of value*, including reimbursement of travel, food or lodging costs, for services rendered or to be rendered.

(d) "Executive action" means the proposal, drafting, development, consideration, amendment, adoption, approval, promulgation, issuance, modification, rejection or postponement by a state or local governmental entity of a rule, regulation, order, decision, determination or other quasi-legislative action or proceeding.

(e) "Executive agency" means:

- (i) An agency, board, commission, governing authority or other body in the executive branch of state or local government; or
- (ii) An independent body of state or local government that is not a part of the legislative or judicial branch, but which shall include county boards of supervisors.

(f) "Executive official" means:

- (i) A member or employee of a state agency, board, commission, governing authority or other body in the executive branch of state or local government; or
- (ii) A public official or public employee, or any employee of such person, of state or local government who takes an executive action.

(g) "Expenditure" means:

- (i) A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third party, advance, deposit, transfer of funds, a promise to make a payment, or

a gift of money or *anything of value* for any purpose;

(ii) A payment to a lobbyist for salary, fee, commission, compensation for expenses, or other purpose by a person employing, retaining or contracting for the services of the lobbyist separately or jointly with other persons;

(iii) A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct payment of expenses incurred at the request or suggestion of the lobbyist;

(iv) A payment that directly benefits an executive, legislative or public official or a member of the official's immediate family;

(v) A payment, including compensation, payment or reimbursement for the services, time or expenses of an employee for or in connection with direct communication with an executive, legislative or public official made at the direction of the employee's employer;

(vi) A payment for or in connection with soliciting or urging other persons to enter into direct communication with an executive, legislative or public official; or

(vii) A payment or reimbursement for food, beverages, travel, lodging, entertainment or sporting activities.

(h) "Gift" means *anything of value* to the extent that consideration of equal or greater value is not received, including a rebate or discount in the price of *anything of value* unless the rebate or discount is made in the ordinary course of business to a member of the public without regard to that person's status as an executive, legislative or public official.

(i) "Legislative action" means:

(i) Preparation, research, drafting, introduction, consideration, modification, amendment, approval, passage, enactment, tabling, postponement, defeat or rejection of a bill, resolution, amendment, motion, report, nomination, appointment or other matter by the Mississippi State Legislature or a member or employee of the Legislature acting or purporting to act in an official capacity;

(ii) Action by the Governor in approving or vetoing a bill or other action of the Legislature;

(iii) Action by the Legislature in:

1. Overriding or sustaining a veto by the Governor; or

2. Considering, confirming or rejecting an executive appointment of the Governor.

(j) "Legislative official" means:

(i) A member, member-elect or presiding officer of the Legislature;

(ii) A member of a commission or other entity established by and responsible to either or both houses of the Legislature;

(iii) A staff member, officer or employee to a member or member-elect of the Legislature, to a member of a commission or other entity established by and responsible to either or both houses of the Legislature, or to the Legislature or any house, committee or office thereof.

(k) "Lobbying" means:

(i) Influencing or attempting to influence legislative or executive action through oral or written communication; or

(ii) Solicitation of others to influence legislative or executive action; or

(iii) Paying or promising to pay *anything of value* directly or indirectly related to legislative or executive action.

(l) “Lobbyist” means:

(i) An individual who is employed and receives payments, or who contracts for economic consideration, including reimbursement for reasonable travel and living expenses, for the purpose of lobbying;

(ii) An individual who represents a legislative or public official or public employee, or who represents a person, organization, association or other group, for the purpose of lobbying; or

(iii) A sole proprietor, owner, part owner or shareholder in a business who has a pecuniary interest in legislative or executive action, who engages in lobbying activities.

(m) “Lobbyist's client” means the person in whose behalf the lobbyist influences or attempts to influence legislative or executive action.

(n) “Local” means all entities of government at the county, county-district, multicounty district, municipal or school district level.

(o) “Person” means an individual, proprietorship, firm, partnership, joint venture, joint-stock company, syndicate, business trust, estate, company, corporation, association, club, committee, organization or group of persons acting in concert.

(p) “Public employee” means an individual appointed to a position, including a position created by statute, whether compensated or not, in state or local government and includes any employee of the public employee. The term includes a member of the board of trustees, chancellor, vice-chancellor or the equivalent thereof in the state university system or the state community and junior

college system, and a president of a state college or university.

(q) “Public official” means an individual elected to a state or local office, or an individual who is appointed to fill a vacancy in the office.

(r) “Value” means the retail cost or fair market worth of an item or items, whichever is greater.

**Sec. 5-8-5. Registration statements; filing; contents; length of registration period; effective date of registration; termination of registration; forms.**

(1) Except as otherwise provided in Section 5-8-7 of this chapter and in addition to reports required by Sections 5-8-9 and 5-8-11 of this chapter, every lobbyist and every lobbyist's client shall file a registration statement with the Secretary of State within five (5) calendar days after becoming a lobbyist, becoming a lobbyist's client or beginning to lobby for a new client. The filing of every registration statement shall be accompanied by the payment of a registration fee of twenty-five dollars (\$25) to the Secretary of State. The lobbyist shall file the registration statement and pay the fees to the Secretary of State for each lobbyist's client whom the lobbyist represents.

(2) The registration statement shall include the following:

(a) The name, address, occupation and telephone number of the lobbyist;

(b) The name, address, telephone number and principal place of business of the lobbyist's client;

(c) The kind of business of the lobbyist's client;

(d) The full name of the person or persons who control the lobbyist's client, the partners, if any, and officers of the lobbyist's client;

(e) The full name, address and telephone number of each lobbyist employed by or representing the lobbyist's client; and

(f) A statement or statements by the lobbyist and lobbyist's client indicating the specific nature of the issues being advocated for or against on behalf of the lobbyist's client, with sufficient detail so that the precise nature of the lobbyist's advocacy is evident from the statement itself.

(3) Registration shall be valid for one (1) calendar year, commencing January 1 and ending December 31 of each year. If the lobbyist or lobbyist's client shall register after January 1, the registration shall be effective upon actual receipt by the Secretary of State and shall cease on December 31 of each year.

(4) A lobbyist or lobbyist's client may terminate his registration by filing an expenditure report required under this chapter. Such report shall include information through the last day of lobbying activity. The termination report must indicate that the lobbyist intends to use the report as the final accounting of lobbying activity.

(5) The Secretary of State shall prescribe and make available to every lobbyist and lobbyist's client appropriate forms for filing registration statements as required by Sections 5-8-1 through 5-8-19 of this chapter.

**Sec. 5-8-7. Persons excluded from definition of "lobbyist" and "lobbyist's client."**

Notwithstanding any other provisions of this chapter, the following person shall not be included within the definition of "lobbyist" or "lobbyist's client" under this chapter, and accordingly the registration and reporting provisions, including the payment of related fees, of this chapter do not apply to:

(a) A legislative or public official acting in an official capacity.

(b) An individual who:

(i) Represents or purports to represent only the individual;

(ii) Receives no compensation or *anything of value* for lobbying; and

(iii) Has no pecuniary interest in the legislative or executive action.

(c) An individual lobbying in his or her own interest, his or her own business interest, who pays, or promises to pay, offers to pay or causes to be paid to public officials, legislative officials or public employees any thing or things of value aggregating in value to less than two hundred dollars (\$200) in any calendar year.

(d) An individual lobbying on behalf of his or her employer's business interest where such lobbying is not a primary or regular function of his employment position if such individual pays, promises to pay, offers to pay, or causes to be paid individually or on the employer's behalf to public officials, legislative officials, or public employees any thing or things of value aggregating in value to less than two hundred dollars (\$200) in any calendar year.

(e) An individual lobbying on behalf of an association of which he or she is a member, where such lobbying is not a primary or regular function of his or her position in the association, if such individual pays, promises to pay, offers to pay, or causes to be paid individually or on the association's behalf to public officials, legislative officials or public employees any thing or things of value aggregating in value to less than two hundred dollars (\$200) in any calendar year.

(f) An individual who is a shareholder, owner or part owner of a business who lobbies on behalf of such business, where such individual is not an employee of the business, if such individual pays, promises to pay, offers to pay, or causes to be paid individually or on behalf of

the business to public officials, legislative officials or public employees any thing or things of value aggregating in value to less than two hundred dollars (\$200) in any calendar year.

(g) An individual who:

- (i) Limits lobbying solely to formal testimony before a public meeting of a legislative body or an executive agency, or a committee, division or department thereof; and
- (ii) Registers the appearance in the records of the public body, if such records are kept.

(h) An individual who is a licensed attorney representing a client by:

- (i) Drafting bills, preparing arguments thereon, and advising the client or rendering opinions as to the construction and effect of proposed or pending legislation, where such services are usual and customary professional legal services which are not otherwise connected with legislative action; or
- (ii) Providing information, on behalf of the client, to an executive or public official, a public employee, or an agency, board, commission, governing authority or other body of state or local government where such services are usual and customary professional legal services including or related to a particular nonlegislative matter, case or controversy.

(i) News media and employees of the news media whose activity is limited solely to the publication or broadcast of news, editorial comments, or paid advertisements that attempt to influence legislative or executive action. For the purposes of this section, “news media” shall be construed to be bona fide radio and television stations, newspapers, journals or

magazines, or bona fide news bureaus or associations which in turn furnish information solely to bona fide radio or television stations, newspapers, journals or magazines.

(j) An individual who engages in lobbying activities exclusively on behalf of a religious organization which qualifies as a tax-exempt organization under the Internal Revenue Code.

(k) An individual who is a nonattorney professional and who receives professional fees and expenses to represent clients on executive agency matters, except that if *anything of value* shall be paid or promised to be paid directly or indirectly on behalf of a client for the personal use or benefit of an executive or public official or public employee, then expenditures and actions of the individual are reportable under this chapter, and the individual must register as a lobbyist.

#### **Sec. 5-8-9. Report of expenditures of lobbyist’s client; exceptions.**

(1) Except as otherwise provided in Section 5-8-7 of this chapter and in subsection (7) of this section, no later than January 30 of each year, a lobbyist’s client shall file a report of expenditures with the Secretary of State. The report must contain information on all expenditures paid by the lobbyist’s client during the preceding twelve (12) calendar months.

(2) The report must list expenditures for the purpose of lobbying according to the following categories:

- (a) A payment to a lobbyist for salary, fee, compensation for expenses, or other purpose by a person employing, retaining or contracting for the services of the lobbyist separately or jointly with other persons;
- (b) A payment for those portions of office rent, utilities, supplies and compensation of support personnel attributable to lobbying activities;

(c) A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct payment of expenses incurred at the request or suggestion of the lobbyist;

(d) A payment, including compensation, payment or reimbursement for the services, time or expenses of an employee for or in connection with direct communication with an executive, legislative or public official or public employee, where such communication is made at the request, suggestion or direction of the lobbyist's client;

(e) A payment for or in connection with soliciting or urging other persons to enter into direct communication with an executive, legislative or public official or public employee, where such communication is made at the request, suggestion or direction of the lobbyist's client;

(f) A payment or reimbursement for food, beverages, travel, lodging, entertainment or sporting activities; or

(g) A purchase, payment, distribution, loan, forgiveness of a loan or payment of a loan by a third party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or *anything of value* for any purpose.

(3) For each executive, legislative or public official or public employee who was paid, given or promised to be paid *anything of value* in full or in part from the lobbyist's client, the report must also include:

(a) The name of the executive, legislative or public official or public employee who was paid, given or promised *anything of value*;

(b) A description and the monetary value of *anything of value* paid, given or promised

to such official or employee, with sufficient detail so that the nature of the transfer is clear;

(c) The place and date *anything of value* was paid, given or promised; and

(d) The name of the person who paid, gave or promised to pay *anything of value*.

(4) Each expenditure for the purpose of lobbying must be reported in accordance with the category of the expenditure required in this section and with any additional categories as may be required by rule or regulation of the Secretary of State.

(5) The report due January 30 shall include a cumulative total for the calendar year for all reportable categories.

(6) A lobbyist's client shall maintain contemporaneous records of all expenditures reportable under Sections 5-8-1 through 5-8-19 of this chapter and shall retain such records for a period of two (2) years.

(7) If the State of Mississippi is a lobbyist's client, the State of Mississippi shall be exempt from filing an annual report.

(8) (a) If the entire Legislature and all statewide elected officials are individually invited to a single function, which is sponsored by a lobbyist's client, or a lobbyist on behalf of such client, and is to begin and end within one (1) day, then it shall not be necessary to report the costs related to food and beverages offered for immediate consumption required in subsection three (3) of this section, so long as food and beverages provided at such functions are offered equally to all invitees; however, in all such cases, the amount expended for such functions shall be reported in accordance with the provisions of this subsection.

(b) The report of the expenditure connected with a single function as described in paragraph (a) of this subsection shall be

made by the lobbyist's client and shall include the tot following:

- (i) The total amount of money expended for the function;
- (ii) The estimated total number of persons in attendance at the function;
- (iii) The estimated total number of public officials in attendance at the function.

**Sec. 5-8-11. Report of payments received by lobbyist from each lobbyist's client; exceptions.**

(1) Except as otherwise provided in Section 5-8-7 of this chapter, a lobbyist shall file with the Secretary of State a separate report for each lobbyist's client. The report shall specifically list all payments received from the lobbyist's client and all expenditures that were initiated or paid by the lobbyist on behalf of each lobbyist's client during each reporting period required herein.

(2) The report must list expenditures for the purpose of lobbying according to the following categories:

- (a) A payment to the lobbyist for salary, fee, compensation for expenses, or other purpose by the person employing, retaining or contracting for the services of the lobbyist separately or jointly with other persons;
- (b) A payment for those portions of office rent, utilities, supplies and compensation of support personnel attributable to lobbying activities;
- (c) A payment in support of or assistance to a lobbyist or the lobbyist's activities, including the direct payment of expenses incurred at the request or suggestion of the lobbyist;

(d) A payment, including compensation, payment or reimbursement for the services, time or expenses of an employee for or in connection with direct communication with an executive, legislative or public official or public employee, where such communication is made at the request, suggestion or direction of the lobbyist;

(e) A payment for or in connection with soliciting or urging other persons to enter into direct communication with an executive, legislative or public official or public employee, where such communication is made at the request, suggestion or direction of the lobbyist;

(f) A payment or reimbursement for food, beverages, travel, lodging, entertainment or sporting activities;

(g) A purchase, payment, distribution, loan, or forgiveness of a loan or payment of a loan by a third party, advance, deposit, transfer of funds, a promise to make a payment, or a gift of money or *anything of value* for any purpose.

(3) For each executive, legislative or public official or public employee who was paid, given or promised to be paid *anything of value* in full or in part from the lobbyist, the report must also include:

- (a) The name of the executive, legislative or public official or employee who was paid, given or promised *anything of value*;
- (b) A description and the monetary value of *anything of value* paid, given or promised to such official or employee, with sufficient detail so that the nature of the transfer is clear;
- (c) The place and date *anything of value* was paid, given or promised; and
- (d) The name of the person who paid, gave or promised to pay *anything of value*.

(4) Each expenditure for the purpose of lobbying must be reported in accordance with the category of the expenditure required in this section and with any additional categories as may be required by rule or regulation of the Secretary of State.

(5) A report of expenditures must be filed with the Secretary of State no later than January 30 of each year. The report shall contain information on all expenditures paid or initiated by the lobbyist on behalf of each lobbyist's client during the preceding twelve (12) calendar months, and it shall include a cumulative total for the calendar year of all reportable categories.

(6) In addition to the annual report required above, a lobbyist shall file two (2) reports during regular sessions of the Legislature with the Secretary of State on February 25 and within ten (10) days after the Legislature's adjournment *sine die*. Such additional report shall include the name of the executive, legislative, or public official or public employee who receives *anything of value* from the lobbyist or from the lobbyist on behalf of the lobbyist's client, the name of the person receiving the payment, the name of the person making the payment, the amount of the payment and the date of the payment. However, any lobbyist who lobbies local government exclusively shall be exempt from the requirement of filing the reports required by this paragraph.

(7) (a) If the entire Legislature and all statewide elected officials are individually invited to a single function which is sponsored by a lobbyist on behalf of one or more lobbyist's clients and is to begin and end within one (1) day, then it shall not be necessary to report the costs related to food and beverages offered for immediate consumption as required in subsection (3) of this section, so long as food and beverages

provided at such functions are offered equally to all invitees; however, in all such cases, the amount expended for such functions shall be reported in accordance with the provisions of this subsection.

(b) The report of the expenditure connected with a single function as described in paragraph (Section 5-8-11(7)(a) and (b)) of this subsection shall be made by the lobbyist and shall include the following:

(i) The total amount of money expended for the function, reception or meal;

(ii) The total number of persons in attendance at the function, reception or meal;

(iii) The total number of legislators in attendance at the function, reception or meal.

(8) A lobbyist shall maintain contemporaneous records of all expenditures reportable under Section 5-8-1 through Section 5-8-19 of this chapter, and shall retain such records for a period of two (2) years.

**Sec. 5-8-13. Prohibited acts; required acts.**

(1) A lobbyist shall not contract to receive or accept compensation dependent upon the success or failure of a legislative or executive action.

(2) A lobbyist or lobbyist's client shall not knowingly or willfully make or cause to be made a false statement or misrepresentation of facts to an executive, legislative or public official or public employee, or to the public in general with the intent to affect the outcome of a legislative or executive action.

(3) A lobbyist or lobbyist's client shall not cause a legislative or executive action for the purpose of obtaining employment to lobby in

support of or in opposition to the legislative or executive action.

(4) An executive, legislative, or public official or public employee shall not be a lobbyist, except that he may act as a lobbyist when acting in his official capacity.

(5) A lobbyist must disclose *anything of value* given in whole or in part to any executive, legislative or public official or public employee.

**Sec. 5-8-15. Investigations of violations of chapter.**

(1) The district attorney of the circuit court of the district wherein an alleged violation occurred shall investigate violations of this chapter.

(2) In addition to a district attorney's authority as set forth in subsection (1) of this section, the Attorney General shall investigate alleged violations of this chapter and use all existing powers granted that office in conducting such investigations.

**Sec. 5-8-17. Penalties; Ethics Commission hearings; appeals; investigation by Attorney General of continued non-compliance.**

(1) In addition to any other penalty permitted by law, the Secretary of State shall require any person who fails to file a report as required under Section 5-8-1 through Section 5-8-19 of this chapter, or who shall file a report which fails to comply with the material particulars of Section 5-8-1 through Section 5-8-19 of this chapter or any rules, regulations or procedures implemented pursuant to Section 5-8-1 through Section 5-8-19 of this chapter, to be assessed a civil penalty as follows:

(a) Within five (5) calendar days after any deadline for filing a report pursuant to Sections 5-8-1 through 5-8-19 of this chapter, the Secretary of State shall compile a list of those lobbyists and

lobbyists' clients who have failed to file a required report. The Secretary of State shall provide each lobbyist or lobbyist's client who has failed to file such a report notice of such failure by certified mail.

(b) Beginning with the tenth calendar day after which any report shall be due, the Secretary of State shall assess the delinquent lobbyist and delinquent lobbyist's client a civil penalty of fifty dollars (\$50) per day and part of any day until a valid report is delivered to the Secretary of State, up to a maximum of ten (10) days. However, in the discretion of the Secretary of State, the assessing of such fine may be waived if the Secretary of State shall determine that unforeseeable mitigating circumstances, such as the health of the lobbyist, shall interfere with timely filing of a required report.

(c) Filing of the required report and payment of the fine within ten (10) calendar days of notice by the Secretary of State that a required statement has not been filed constitutes compliance with Section 5-8-1 through Section 5-8-19 of this chapter.

(d) Payment of the fine without filing the required report does not in any way excuse or exempt any person required to file from the filing requirements of Section 5-8-1 through Section 5-8-19 of this chapter.

(2) (a) Upon the sworn application of a lobbyist or lobbyist's client against whom a civil penalty has been assessed pursuant to subsection (1), the Secretary of State shall forward the application to the Mississippi Ethics Commission. The commission shall fix a time and place for a hearing and shall cause a written notice specifying the civil penalties that have been assessed against the lobbyist or lobbyist's client and notice of the time and place of the hearing to be served upon the lobbyist or lobbyist's client at least twenty (20) calendar days prior to the hearing date. Such notice may be served by mailing a copy thereof by

certified mail, postage prepaid, to the last known business address of the lobbyist or lobbyist's client.

(b) The commission is authorized to issue subpoenas for the attendance of witnesses and the production of books and papers at such hearing. Process issued by the commission shall extend to all parts of the state and shall be served by any person designated by the commission for such service.

(c) The lobbyist or lobbyist's client shall have the right to appear either personally or by counsel, or both, to produce witnesses or evidence in his behalf, to cross-examine witnesses and to have subpoenas issued by the commission.

(d) A hearing officer shall be appointed by the commission to conduct the hearing. At the hearing, the hearing officer shall administer oaths as may be necessary for the proper conduct of the hearing. All hearings shall be conducted by the commission, who shall not be bound by strict rules of procedure or by the laws of evidence in the conduct of the proceedings, but the determination shall be based upon sufficient evidence to sustain it.

(e) Where, in any proceeding before the commission, any witness fails or refuses to attend upon a subpoena issued by the commission, refuses to testify, or refuses to produce any books and papers the production of which is called for by a subpoena, the attendance of such witness, the giving of his testimony or the production of the books and papers shall be enforced by any court of competent jurisdiction of this state in the manner provided for the enforcement of attendance and testimony of witnesses in civil cases in the courts of this state.

(f) Within fifteen (15) calendar days after conclusion of the hearing, the commission

shall reduce its decision to writing and forward an attested true copy thereof to the last known business address of the lobbyist or lobbyist's client by way of United States first-class, certified mail, postage prepaid.

(3) (a) The right to appeal from the decision of the commission in an administrative hearing concerning the assessment of civil penalties authorized pursuant to this section is hereby granted. Such appeal shall be to the Circuit Court of Hinds County and shall include a verbatim transcript of the testimony at the hearing. The appeal shall be taken within thirty (30) calendar days after notice of the decision of the commission following an administrative hearing. The appeal shall be perfected upon filing notice of the appeal and by the prepayment of all costs, including the cost of the preparation of the record of the proceedings by the commission, and the filing of a bond in the sum of two hundred dollars (\$200), conditioned that if the decision of the commission be affirmed by the court, the lobbyist or lobbyist's client will pay the costs of the appeal and the action in court. If the decision is reversed by the court, the Secretary of State will pay the costs of the appeal and the action in court.

(b) If there is an appeal, such appeal shall act as a supersedeas. The court shall dispose of the appeal and enter its decision promptly. The hearing on the appeal may be tried in vacation, in the court's discretion. The scope of review of the court shall be limited to a review of the record made before the commission to determine if the action of the commission is unlawful for the reason that it was (i) not supported by substantial evidence, (ii) arbitrary or capricious, (iii) beyond the power of the commission to make, or (iv) in violation of some statutory or constitutional right of the appellant. The decision of the court may be appealed to the Supreme Court in the manner provided by law.

(4) If, after forty-five (45) calendar days of the date of the administrative hearing procedure set forth in subsection two (2), the lobbyist or lobbyist's client shall not file a valid report as required by law, the commission shall notify the Attorney General of the delinquency. The Attorney General shall investigate said offense in accordance with the provisions of this chapter.

**Sec. 5-8-19. Duties of Secretary of State.**

The Secretary of State shall:

(a) Provide forms for registration and for statements required by Sections 5-8-1 through 5-8-19 of this chapter to all persons required to file.

(b) Issue a certificate of registration to a lobbyist registered under the provisions of Sections 5-8-1 through 5-8-19 of this chapter.

(c) Make all statements and reports filed available for public inspection and copying, at a reasonable cost, during regular office hours.

(d) Publish an annual report summarizing the financial activities of lobbyists and lobbyists' clients, and such annual report shall not include amounts reported pursuant to Section 5-8-9 (8) and Section 5-8-11 (7) for single functions in the calculation of the cumulative total amount of money expended for lobbying purposes.

**Sec. 5-8-21. Penalties for intentional violations; prosecution of corporation or association not barred.**

Any person who, with intent, violates any of the provisions of this chapter whether acting either individually or as an officer, agent, employee, or counsel of a person, firm, corporation or association, or any person whether acting individually or as the officer, employee, agent or counsel of a firm, corporation or association, who, with intent, causes or participates, either directly or indirectly, in any violation of the provisions

of this chapter shall upon conviction for the first offense be fined not more than one thousand dollars (\$1,000) or imprisoned in the county jail not more than six (6) months or both and upon conviction for a second or any subsequent offense be fined not more than five thousand dollars (\$5,000) or imprisoned in the Penitentiary not more than three (3) years or both. Any association or corporation which, with intent, violates, or causes or participates, either directly or indirectly, in any violation of any of the provisions of this chapter shall, for each offense, upon conviction, be fined not more than five thousand dollars (\$5,000). The prosecution or conviction of one or more of the officers or employees of such corporation or association shall not be a bar to the prosecution and conviction of the corporation or association for such offense.

**Sec. 5-8-23. Severability clause.**

If any section, paragraph, sentence, clause, phrase or any part of this chapter passed hereafter is declared to be unconstitutional or void, or if for any reason is declared to be invalid or of no effect, the remaining sections, paragraphs, sentences, clauses, phrases or parts thereof shall be in no manner affected thereby but shall remain in full force and effect.

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