

**STATE OF MISSISSIPPI  
PLAN FOR COMPLIANCE WITH  
THE HELP AMERICA VOTE ACT OF 2002**

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SECRETARY OF STATE**

Respectfully submitted August 12, 2003

A handwritten signature in cursive script that reads "Eric Clark". The signature is written in black ink and is positioned above a horizontal line.

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ERIC CLARK

**Mississippi Draft State Plan  
for  
HAVA Compliance**

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## **PUBLIC COMMENT ON DRAFT STATE PLAN**

A draft of the Mississippi State Plan for HAVA Compliance was released on June 25, 2003. A hard copy of the document was distributed to the following:

- Members of the Mississippi HAVA Advisory Committee
- Members of the Mississippi Statewide Voter Registry Advisory Committee
- Mississippi Circuit Clerks (82)
- Mississippi Election Commissioners (410)
- Mississippi County Supervisors (410)
- Members of the Mississippi Legislature (174)
- Citizens and interested parties who had signed up for HAVA updates

A press release was issued to all media in the state, directing reporters to a copy of the Plan available on the web site of the Secretary of State's Office. That site was included in media reports to the public about the posting.

Comments were received in the Secretary of State's Office until 5:00 p.m., Monday, July 28. Comments came by mail and e-mail. All comments were reviewed and considered in the finalization of Mississippi's Plan for HAVA Compliance.

A meeting of the Mississippi HAVA Advisory Committee took place on Friday, July 11. Members of the Committee discussed the various points of the Plan and ultimately supported the broad goals expressed therein.

The Secretary of State wishes to express his gratitude to the members of the Mississippi HAVA Advisory committee (listed on page 26 of this Plan) for their contributions to the construction of these ideas and their commitment to the continuing improvement of elections. He also wishes to thank those Mississippian who took the time to review and comment on the Plan.

## **STATE PLAN APPROACH**

The Mississippi Secretary of State is the state's chief elections official. In that capacity, he is responsible for National Voter Registration Act coordination among state registrar agencies and distribution of NVRA registration forms. Under state law, the Secretary of State's Office certifies the annual training and qualifications of local election officials and party executive committee chairs to conduct primary and general elections. In addition, the Secretary of State is the candidate qualifying office for certain judicial offices and all independent candidates for state, state district and legislative district offices. The Secretary of State is a member of a three (3) person State Board of Election Commissioners which meets to certify certain candidates' eligibility for placement on general election ballots and to adopt an official sample ballot. Finally, the Secretary of State totals certified local election returns and certifies election results for statewide, state district and legislative district offices.

Within the Secretary of State's Office, there is a permanent elections staff of fourteen (14) including an Assistant Secretary of State for Elections who can legally act for the Secretary.

Under state law, elections in Mississippi are very decentralized. In each county and municipality, local election commissioners conduct special and general elections and local party executive committees conduct primaries. Local governments are responsible financially for the full cost of these elections. Collaboration between the Secretary of State's Office and local election officials is essential to the conduct of successful elections. The State's elections community works cooperatively to serve local governments and Mississippi's approximately one million, eight hundred and sixty thousand (1,860,000) registered voters.

Since 2001, Mississippi has taken significant steps toward election reform through new programs that encourage voter participation, education, a centralized voter registration system, better reporting of election results such as residual vote counts and other legislative changes. Implementing these state legislative reforms and the Help America Vote Act of 2002 (HAVA) will require considerable effort and resources from both the Secretary of State's Office and the local election officials.

In developing the State Plan, the Secretary of State's Office formed a State Plan Committee, pursuant to HAVA §255. In bringing this group together, the Secretary of State relied on the cooperative effort of a variety of election officials and stakeholders to guide the themes and intent of the Plan. Particular attention was paid to accessibility issues, specifically for individuals with disabilities and alternative language groups. More general themes of authority, accountability, uniformity, and centralization emerged with additional discussion and feedback.

*Mississippi State Plan for HAVA Compliance*

This State Plan is organized as specified in HAVA §254. Each section of this document corresponds to a subsection of §254 and addresses a State Plan requirement specified in HAVA. The Plan outlines program milestones to address large-scale system or procedural changes.

## **STATE PLAN REQUIRED ELEMENTS (HAVA §254)**

### **1. Title III Requirements and Other Activities**

*How the State will use the requirements payment to meet the requirements of title III, and, if applicable under section 251(b)(2), to carry out other activities to improve the administration of elections. -- HAVA §254 (a)(1)*

#### **1.A §301(a), Voting Systems Standards Requirements**

*Deadline for Compliance: January 1, 2006; no waiver permitted.*

The State currently uses seven different polling place voting systems in its eighty-two (82) jurisdictions, including eight (8) lever systems, eleven (11) punch card systems, one Opscan (combination OMR and punch card) system, eight (8) precinct optical mark reader systems, fifty-one (51) central optical mark reader systems, one (1) direct recording electronic (DRE) device system and two (2) Shouptronic systems. Absentee balloting is done either by paper ballot or by the system in place for election day voting in the jurisdiction in question.

Assuming full federal funding of HAVA, Mississippi plans to use some fifteen (\$15) million of its federally authorized thirty-four (\$34) million to replace all non-DRE (direct recording electronic) voting devices with DRE devices of some sort. Mississippi will purchase centrally at the state level some five thousand (5000) DRE devices for use by all present non-DRE counties by the first federal election of 2006.

The advantages of a uniform statewide DRE voting system are numerous and include the following:

- Training for and by election officials and voters will be easier and simpler due to devotion of resources to a single technology.
- The end of voter confusion when moving from one local jurisdiction within the state to another as no change in voting technology will be encountered. Affidavit and absentee balloting procedures, complicated by the use of different technologies across the state, will be simplified and consistent.
- Avoid the use of at least two (2) technologies or voting systems at precincts, which would result if only one DRE device is coupled with another technology or system in each precinct, and avert the abundant legal and practical problems associated with such a set-up.
- Procurement at a state level of a single technology will allow the state to maximize the federal dollars it receives by ensuring bulk purchase discounts.
- DRE error rates, based on studies to date, are very low. The state believes the system it procures will meet or exceed the error rate standard set by the FEC and/or EAC.

The State will adopt a funding formula to determine an equitable distribution of DRE's to each county. The formula will take into consideration factors including, but not limited to, the following:

- county population
- county voting age population (VAP)
- number of registered voters per county
- number of precincts per county
- geographic size of the county, in square miles
- voter turnout per county in the previous two (2) statewide (Gubernatorial) elections and the previous two (2) federal (Presidential) elections

The formula will result in the state's providing a sufficient number of DRE devices to adequately serve each county's voters, regardless of the number of precincts in the county. The county will be charged with deciding how best to distribute the devices among its present or reduced number of precincts, as appropriate. If a county wishes to retain a number of precincts higher than suggested by the formula, the county may purchase additional DRE devices at its own expense.

The state procurement of voting devices will be preceded by a Memorandum of Understanding (MOU) between the local governing authority and the Secretary of State. This MOU will specify that acceptance of the devices will constitute a commitment by the local governing authority to utilize the DREs in all elections in the county; that changes in the technology (hardware or software) will require advance approval by the Secretary of State and any violation of these terms will result in repayment by the local government to the Secretary of State the cost of the devices. In this way, Mississippi will receive the best possible assurance that local governments will participate in creation of a uniform statewide voting system.

The state will embark on its procurement of a uniform statewide system of DRE voting devices after a very thorough process of specification development, including extensive local government and stakeholder input, and a Request for Proposals (RFP) process. Specifications will include the following key components:

- All DRE voting devices to be purchased will meet HAVA's §301(a)'s requirements.
- Voter verification of ballot changes will be required for the state's procurement.
- The DRE system will be required to produce a record of each vote cast.
- Voting records will be available for any court ordered election recount under state law.
- The DRE system to be purchased will be technologically sophisticated to provide maximum disability access, including the same opportunities for privacy and independence in voting provided to non-disabled voters.

- The DRE system will be required to allow for multiple language ballots as presently required for some nine Mississippi local jurisdictions under §203 of the Voting Rights Act of 1965.
- The ability to integrate future election reforms such as non-geographic voting that may come in the future.
- The DRE equipment to be purchased will be “fully loaded” and include all required disability accessibility technology, multi-language capabilities, installation, training and computation software and hardware required to give Mississippi counties a “turnkey” operation.

A scoring committee will review all voting device RFP’s and recommend a top choice to the Secretary of State and he will award a contract. Following the contract award, the state will begin implementation in 2005 (a municipal and not a state or federal election year) for roll out to the voters in the 2006 federal primary election. (A project procurement plan for the statewide uniform DRE voting device system is attached hereto as Exhibit “A”.)

Mississippi law does not now provide a standard for defining what constitutes a vote and what will be counted as a vote for DRE technology. At present, Mississippi has only one jurisdiction using DRE voting devices. In either the 2004 or 2005 session of the Mississippi Legislature the Secretary of State will seek passage of a law setting forth this required standard for DRE devices.

**1.B §302, Provisional (or Affidavit) Voting and Voting Information Requirements**

*Deadline for Compliance: January 1, 2004; no waiver permitted.*

HAVA addresses the process of provisional or affidavit voting to ensure that no individual who goes to the polls intending to cast a ballot is turned away without having the opportunity to do so. Long before passage of HAVA, Mississippi had enacted a system of affidavit balloting that complies with most of HAVA’s requirements. In developing the State Plan, the State of Mississippi assessed its present affidavit ballot statute to determine those elements needing modification in order to fully comply with HAVA.

Currently, the State’s affidavit voting laws allow a voter whose name does not appear on the precinct pollbook to cast an affidavit ballot, provided the voter completes a certification in which he or she affirms that he or she is entitled to vote or has been legally denied registration. In any election conducted under Mississippi state law, election officials must provide such voters with affidavit ballots.

The intent of the State’s existing affidavit voting law - to reduce the number of individuals unable to participate on election day - is similar to the intent of HAVA §302. However, the new HAVA requirements differ in some respects from the State’s procedure, so the State will seek legislative modifications to its present statute. At the same time, the State will immediately implement the new federal affidavit ballot requirements for its 2004

federal election. Through these modifications, the State will provide a private “free access” system for affidavit voters to learn about the status of their affidavit ballot and will provide an informational sheet to affidavit voters about how to vote by affidavit and how to contact the free access system. Finally, the State will comply immediately for federal elections, and upon state law revisions in all state elections, with HAVA’s §302 (b) requirements for the posting of information at each polling place on election day.

HAVA §302 further requires that voters who vote under a court or other order during extended hours, after the normal close of a polling place, cast affidavit ballots. These ballots must be kept separate from other affidavit ballots. The State will comply with this federal requirement in its 2004 federal election. The Secretary of State will propose this change in the law in 2004 legislation.

**1.C §303, Computerized Statewide Voter Registration (SWVR) System Requirements and Requirements for Voters Who Register by Mail**

*Deadline for Compliance: January 1, 2004; State can submit a certification stating “good cause” that will move the deadline for §303(a) compliance to January 1, 2006.*

Currently, official State voter registration records are created and maintained at the local jurisdiction level. Local election officials update and separately maintain voter registration records for their jurisdiction, with all eighty-two (82) jurisdictions using customized systems.

As explained above, the State does not have a “single, uniform, official, centralized, interactive, computerized statewide voter registration list” required by HAVA. Moreover, information gathered and maintained on State voters does not uniformly include driver’s license numbers or partial social security numbers, as required by HAVA.

However, in 2002, Mississippi anticipated the federal mandate in this regard and passed legislation authorizing creation of a HAVA-compliant statewide voter registry (SWVR) system. The implementation of the system has awaited receipt of federal funds. This legislation creates an advisory committee of interested stakeholders that has been appointed and is working with the Secretary of State on implementation (the project plan for procurement of the SWVR system is attached hereto as Exhibit B).

As outlined in the project plan, the State has already begun the steps to procure a centralized voter registration system through an RFP process. The development of specifications is proceeding with the input of both local government officials and other stakeholders. A scoring committee will review all responses to the RFP and will identify the top scoring vendors based on their technical responses and costs. These vendors will be required to participate in a presentation/interview process that will also be scored and added to their proposal score. A recommendation will then be made to the Secretary of State as to the highest scorer and he will award a contract. Following the contract award, the state will begin implementation in 2004. Mississippi is on track in meeting project

plan deadlines and if HAVA is fully funded, will be in a position to procure and implement the system on time.

Mississippi's current state mail-in voter registration process differs from HAVA requirements. The mail-in voter registration form requires redesign to accommodate information required by HAVA. These revisions to the NVRA and state mail-in voter registration applications have been made, along with the minor changes needed on the state's non-mail in application to capture driver's license or social security numbers. Also, the voter registration and polling place voter qualification processes will be modified to allow for the verification of identification provided by first-time voters who register by mail.

At present, local voter registrars have begun to identify post January 1, 2003, mail-in, first-time voters and are providing the information to the Secretary of State. Prior to the federal primary election in March, 2004, the Secretary of State's Office will have in place an electronic link to the state Department of Public Safety (Mississippi's driver's license bureau) for record matching purposes. Those first-time voting, mail-in registrants whose identifying information does not match the state DPS record will be required to provide one of the HAVA-specified forms of identification when they vote in the 2004 federal election.

#### **1.D §304, Minimum Requirements**

The State understands that the requirements laid out in HAVA Title III are minimum requirements, and that the State may establish election technology and administration requirements that are more stringent. Any more stringent requirements that the State imposes will comply with all Title III requirements, as well as the laws described in HAVA §906.

#### **1.E §305, Methods of Implementation Left to Discretion of State**

The State chose various means to comply with the requirements of HAVA Title III. Specific details on the implementation methodology chosen can be found in Sections 1.A through 1.C of this State Plan.

#### **1.F §311, Adoption of Voluntary Guidance by Commission**

Once the federal Election Assistance Commission (EAC) has issued its voluntary recommendations with respect to Title III, the State will consider that guidance in updating the State Plan. The State will incorporate those recommendations deemed appropriate into subsequent amended versions of the State Plan.

**1.G §312, Process for Adoption**

The State will stay aware of the progress of the EAC on developing the Title III recommendations. If appropriate, the State will provide feedback during the public comment period after the recommendations are published in the Federal Register and participate in public hearings regarding the recommendations.

**1.H §251(b) (2), Other Activities**

The State currently does not have the personnel and technical capacity required to fully achieve HAVA compliance. Ongoing operations and maintenance of the new capabilities required by HAVA cannot be supported with the current state and local elections technical infrastructure and resources. The State proposes to establish a solid foundation to build and sustain the people, processes, and technology necessary to maintain the new capabilities. The following activities are thus proposed to improve the election system:

- Conduct an assessment of the current technical infrastructure and establish a strategy to standardize technical infrastructure;
- Conduct process redesign;
- Research the possibility of integration of key election management systems;
- Continue expanding polling place accessibility; and
- Document job descriptions and staff positions with resources qualified to conduct election reform activities.

## **2. Mississippi's Distribution of Requirements Payment**

*How the State will distribute and monitor the distribution of the requirements payment to units of local government or other entities in the State for carrying out the activities described in paragraph (1), including a description of --*

- (A) the criteria to be used to determine the eligibility of such units or entities for receiving the payment; and*
- (B) the methods to be used by the State to monitor the performance of the units or entities to whom the payment is distributed, consistent with the performance goals and measures adopted under paragraph (8). -- HAVA §254 (a)(2)*

### **2.A, Eligibility of local units to receive the payment**

The State of Mississippi, through its chief State elections official, the Secretary of State, will centrally manage initiatives funded by requirements payments. The funding formula to be developed for voting device distribution is described in section 1.A above. Distribution of State (centrally) purchased statewide voter registration hardware and software will be nearly identical in each registrar office in all eighty-two counties. Difference in current technology in those offices may necessitate some difference in installation, but the end product will be identical in each office.

The Secretary of State will be responsible to account for all expenditures, funding levels, program controls, and outcomes.

### **2.B, Performance measures for local units**

Funds will be centrally managed as described in Section 2.A, and the Secretary of State will monitor the initiatives for which those funds are authorized.

The Secretary of State will monitor the performance of each initiative that is funded by requirements payments in three areas: financial controls, compliance with standards and program results.

Financial Controls: The Secretary of State will develop and use standard financial reporting for all initiatives funded by requirements payments.

Compliance with Standards: The Secretary of State will develop and use standard program management reporting for all initiatives that are funded by requirements payments.

Program Results: The Secretary of State will develop key performance indicators (KPI) for each initiative funded by requirements payments. See Section 8 of this document for specific performance goals and measures.

### **3. Voter Education, Election Official Education and Training, and Poll Worker Training**

*How the State will provide for programs for voter education, election official education and training, and poll worker training which will assist the State in meeting the requirements of Title III. -- HAVA §254 (a)(3)*

#### **3.1, Voter Education**

State-level voter education in Mississippi is limited and not standardized. Information is made available by request, or voters may get information on elections from the Secretary of State's Office's web site ([www.sos.state.ms.us](http://www.sos.state.ms.us)). Most voter education is developed and takes place on the local level, through county and municipal clerks and election commissioners, political parties, and local media outlets.

The Secretary of State's Office, with the assistance of local election officials and representatives of advocacy and interest groups, will develop a comprehensive, statewide voter outreach program. The primary goal of the program will be to increase voter turnout. Voter turnout in Mississippi has stayed below fifty percent (50%) of registered voters for several elections. The Secretary of State's Office will measure turnout as a long-term indicator of the success of voter education programs it develops and implements.

The issues that should be covered in the comprehensive voter education program include the following:

- Rights of voters (including an emphasis on voters with disabilities)
- Second-chance voting
- Federal voter identification requirements
- Military and Overseas voters programs
- Dates of elections and applicable qualification deadlines
- Voter registration deadlines
- Change of address/name requirements for voters
- Use of voting technology
- Absentee voting procedures
- Affidavit balloting procedures
- Uses and availability of sample ballots
- Primary elections vs. general elections
- How to locate your polling place

The voter outreach program will reach the largest number of potential voters through the use of multiple channels, including mass media. Dissemination of voter information will take place by the following methods:

- Print media
  - i. wider distribution of the "Voter Information Guide."

- ii. development of standard voter information posters for polling places.
- iii. development of voter education materials in alternative languages, including Braille.
- iv. development of standard advertisements for local print outlets.
- v. cooperation with local print media to highlight voter education prior to election day.
- TV and Radio
  - i. development of PSA's to be run on local channels.
  - ii. development of a statewide "speaker's bureau" for appearances on local shows.
- Internet
  - i. ongoing development of resources on the Secretary of State's Office's web site.
  - ii. development of voter information content and specifications to be used as guides for county and municipal level web sites.
  - iii. use of the Internet to disseminate voter information through list serves.
- Personal Contact
  - i. development of a statewide speaker's bureau on election issues.
  - ii. coordination with state civic organizations, to include voter education in annual conferences.
- Telephone
  - i. use of the Secretary of State's Office's "Elections Answerline" (1-800 number) as an ongoing source of election information.
  - ii. installation and use of 1-800 numbers on the county-level as a new source of election information, including affidavit balloting results.

While the intent of the voter outreach program will be to educate all Mississippians, certain demographic groups will be targeted because of special needs and/or traditionally low turnout. Those groups would include:

- Persons with disabilities
- Alternative language populations
- 18-30 year olds
- Persons new to Mississippi

All agencies charged with any election duties, including NVRA registration agencies, will be required to participate in voter outreach programs.

### **3.1 Election Official Education and Training**

The Secretary of State's Office will enhance the current election officials training program to include all HAVA-related activities. Moreover, the current training requirement will be expanded to make certification a more formal process.

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The training materials and programs will include (but not be limited to) the following topics:

- Federal and state law and rule changes
- Reporting requirements
- Overseas and military voting
- Recruiting and training of poll workers
- Working with voting technology
- Working with voter registration technology
- Election day procedures
- Absentee balloting; affidavit balloting
- Working with persons with disabilities (including accessibility requirements)
- Working with alternative language populations
- Identification requirements for first-time, mail-in registrants
- Other items as deemed useful by the Secretary of State's Office and assisting local officials.

First-time, newly-elected county Election Commissioners will be required to attend ten (10) hours of training in the calendar year immediately following their election. Training will be developed by the Secretary of State's Office, in conjunction with local election officials. An official will be certified only after attending the ten (10) hours of training and successfully completing a skills assessment inventory. After meeting the first year's requirements, Election Commissioners will be required to attend five (5) hours of training per calendar year and successfully complete a skills assessment inventory to maintain their certification.

First-time, newly-elected county Circuit Clerks will be encouraged to attend a ten (10) hour training session following their election. All clerks will be encouraged to attend Election Commissioner training to stay abreast of federal and state election law changes.

Executives with each of the state party organizations will be invited to attend the same training as county Election Commissioners and Circuit Clerks. The Secretary of State's Office will work with the parties to ensure that training materials are available for their use in training their executive committee members.

Municipal Clerks and municipal Election Commissioners will be required to attend a special five (5) hour training session offered six (6) months prior to the municipal political primaries.

The Secretary of State's Office will produce a quarterly "Mississippi Election Update" newsletter for all of the officials mentioned above, to keep them aware of changes in federal and state election law and rules.

### **3.2 Poll Worker Training**

Currently, poll worker training is handled on the local level, primarily using locally developed training materials. The Secretary of State's Office will work with local election officials to develop a single, comprehensive poll worker training program. Training materials and methods of instruction will be made available to municipal and county clerks and party executive committees. All poll workers will be required to attend training and successfully complete a skills assessment inventory prior to their being certified to work the election for which they have been hired. Certification results from each jurisdiction will be submitted to the Secretary of State's Office.

The training materials and programs will include (but not be limited to) the following topics:

- Working with voting technology
- Questions/issues of tabulation
- Second-chance voting
- Voter identification requirements for first-time, mail-in registrants
- Handling affidavit ballots
- Poll watching
- Working with persons with disabilities
- Working with alternative language populations
- Other items as deemed useful by the Secretary of State's Office and assisting local officials.

The Secretary of State's Office will supervise poll worker training and monitor its conduct to be certain that consistent poll worker training occurs statewide.

A "Mississippi Poll Worker Guide" will be developed to serve as an on-site resource for poll workers on election day.

Through functionality included in the Statewide Voter Registration System, the Secretary of State's Office will maintain a list of poll workers, through the input of municipal and county clerks and party executive committees. The poll workers will also receive the "Mississippi Election Update" newsletter mentioned above, to stay informed of changes in federal and state election law.

The Secretary of State's Office will work with municipal and county election officials, civic groups, secondary schools, colleges and universities, and media outlets to recruit poll workers.

#### **4. Voting System Guidelines and Processes**

*How the State will adopt voting system guidelines and processes that are consistent with the requirements of section 301. -- HAVA §254 (a)(4)*

As outlined in our response to section 301(a), the State is moving ahead with plans to procure a uniform voting system by January 1, 2006. The RFP that will be written and released to procure this system will be consistent with HAVA's §301 requirements and the Secretary of State will seek passage of a law to set forth required voting system standards for DRE devices.

Prior to the implementation of a uniform system, the State will publicize the rights and responsibilities of voters regarding their votes (i.e., casting multiple votes for a single-vote election). The State will also continue to work with local election officials to document their accounting of all ballots and votes, and their treatment of provisional (or affidavit) ballots and ballots with possible errors (resolution board ballots).

Education will be ongoing to inform persons with disabilities and alternative language populations of their rights, and to keep state and local election officials mindful of those groups in planning elections.

The Secretary of State's Office will continue to collect data from local election officials regarding residual votes to determine error rates per county and for the entire State. This data will be collected for every election, in anticipation of the minimum acceptable error rate to be established by the FEC.

**5. Mississippi's HAVA Fund Management**

*How the State will establish a fund described in subsection (b) for purposes of administering the State's activities under this part, including information on fund management. -- HAVA §254 (a)(5)*

Working with the State Department of Finance and Administration, the Secretary of State has established a HAVA Election Fund, #3115, which is separate and distinct from all other programs and funds within the agency. This fund will contain both federal and matching state general funds. The federal fund portion will be used to maintain federal fund receipts and to expend federal funds. The general fund portion will be used to budget and expend general funds representing the five percent (5%) match required under HAVA. The Secretary of State has requested and received approval for state matching funds from the State legislature for state fiscal year 2004 that will meet the five percent (5%) match requirement.

The Secretary of State and Department of Finance and Administration will work with the State Department of Audit and the State Treasurer to follow and enforce all mandated fiscal controls and policies.

**6. Mississippi’s HAVA Budget**

The State’s proposed budget for activities under this part, based on the State’s best estimates of the costs of such activities and the amount of funds to be made available.

- A) the costs of the activities required to be carried out to meet the requirements of title III;
- B) the portion of the requirements payment which will be used to carry out activities to meet such requirements; and
- C) the portion of the requirements payment which will be used to carry out other activities.

-- HAVA §254 (a)(6)

At the time of the writing of this Plan, HAVA appropriations were significantly less than amounts authorized. The state’s budget assumes full funding of HAVA by Congress according to amounts authorized for appropriation. If full funding is not forthcoming, Mississippi will amend its plan in subsequent years as necessary to accommodate these changes.

Based on these funding levels, the State HAVA budget is representative of the activities required to implement and conduct operations and maintenance through calendar year 2005 for the HAVA Title III requirements and other activities. The budget will be revised over time based on the most current information available regarding federal funding.

**Federal Funding Assumptions for Mississippi**

<b>Description</b>	<b>Federal Authorized Payment</b>	<b>State Match Payment (actual and anticipated)</b>	<b>Total Authorized Amounts (all sources)</b>
Early Payments	\$5.460 Million		\$5.460 Million
Title III Payment 2003	\$13.283 Million	\$750,000	\$14.033 Million
Title III Payment 2004	\$9.488 Million	\$500,000	\$9.988 Million
Title III Payment 2005	\$5.693 Million	\$300,000	\$5.993 Million
<b>TOTALS</b>	<b>\$33.924 Million</b>	<b>\$1.550 Million</b>	<b>\$35.474 Million</b>

Based on Mississippi percent of national VAP of .009488%

The State of Mississippi estimates it will spend:

- approximately ten (\$10) million on the SWVR system/election management system.
- approximately fifteen (\$15) million on the procurement of a statewide uniform DRE voting system

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- approximately nine point two (\$9.2) million on other federal compliance efforts, including, but not limited to, voter, poll worker and local elections official training and state administrative costs of HAVA implementation.

The duration for the State's budget is based on HAVA deadlines and funding. The State is concerned, however, that beyond the three years of federal funding, the ongoing costs of operating and maintaining the new voting systems and statewide voter registration system will be considerably higher than the State's maintenance of effort level (see Section 7 of the State Plan). The operation and maintenance of the new systems will be the financial burden of the State when HAVA funding is no longer available.

## **7. Maintenance of Effort**

*How the State, in using the requirements payment, will maintain the expenditures of the State for activities funded by the payment at a level that is not less than the level of such expenditures maintained by the State for the fiscal year ending prior to November 2000. -- HAVA §254 (a)(7)*

The largest single appropriation for elections in Mississippi is the funding of the Secretary of State's Office. That appropriation for fiscal year 2000 (ending June 30, 2000) was \$8,093,200. Of that amount, \$789,952 was directed to elections activities. The Mississippi Legislature is aware of the expenditure maintenance requirement of HAVA, and the Secretary of State's Office anticipates full funding on a yearly basis.

The larger portion of the funds appropriated on a yearly basis in Mississippi are on the county level. Mississippi's eighty-two (82) local election office budgets typically support year-round staff and operating expenses for continuous functions such as voter registration, information services, and IT support. In addition, local governments bear the largest cost increases associated with each specific election – poll workers, temporary office staff, mass mailings, election material production and procurement, polling place rental, and election day support (including personnel, equipment, and supplies). While county funds may be allocated within the budget specifically for elections, many costs may be “in-kind” assistance from other county agencies.

The Secretary of State's Office will work with local governments to determine their funding for the 2000 fiscal year. All local governments that receive the benefit of HAVA funding, through equipment, services, or grants, will be required to maintain funding at the level determined. Exceptions will be made for expenditures that are replaced by materials provided by the State (ex. – a county should not be expected to appropriate money for a voter registration system lease, when a new system will be provided by the State).

**8. HAVA Performance Goals and Measures**

*How the State will adopt performance goals and measures that will be used by the State to determine its success and the success of units of local government in the State in carrying out the plan, including timetables for meeting each of the elements of the plan, descriptions of the criteria the State will use to measure performance and the process used to develop such criteria, and a description of which official is to be held responsible for ensuring that each performance goal is met. -- HAVA §254 (a)(8)*

The basic elements of HAVA that require performance monitoring are listed below, along with the official(s) responsible and the timeline.

GOAL	OFFICIAL RESPONSIBLE	TIMETABLE
Voting System Standards, §301	Chief Election Official and staff County Supervisors Local Election Officials	To be implemented by January 1, 2006 (with waiver)
Provisional (or Affidavit) Voting, §302	Chief Election Official and staff Local Election Officials	To be implemented by January 1, 2004
Voter Registration System, §303(a)	Chief Election Official and staff SWVR Advisory Committee County Supervisors Local Election Officials Dept. of Public Safety Dept. of Health Admin. Office of Courts	To be implemented by January 1, 2006 (with waiver)
Voter Registration System, §303 (b)	Chief Election Official and staff Local Election Officials Dept. of Public Safety	To be implemented by January 1, 2004
Education and Training, §254 (a)(3)	Chief Election Official and staff Local Election Officials	To be implemented by January 1, 2006
Budget and Fiscal Controls, §254 (a)(2, 6, 7, 10)	Chief Election Official and staff Department of Audit Treasurer's Office County Supervisors	Ongoing
Complaint Procedure, §254 (a)(9), and 402	Chief Election Official and staff	Ongoing
Expansion of polling place accessibility, §261	Chief Election Official and staff County Supervisors Disability Advocacy Groups	Ongoing
Military and Overseas Voting, Title 7	Chief Election Official and staff Voting Assistance Officers Local Election Officials	Ongoing

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Maintenance of Effort, §253 (a)(7)	Chief Election Official and staff Legislative Budget Office County Supervisors	Ongoing
Alternative Language Accessibility, §301 (a)(4)	Chief Election Official and staff Designated Alternative Language Groups Local Election Officials	Ongoing

Criteria to determine the success of implementation will focus on timeliness of completion and ease of installation of the product or program. More specific criteria will be developed for each particular project. The Secretary of State will work with the official(s) responsible for implementation and other stakeholders will develop criteria for success.

Each entity or official involved in the implementation of HAVA elements will have individual performance goals to meet. The ability of participants to successfully meet those goals will be reported annually to the chief State election official, the Mississippi Legislature, county supervisors, local election officials, the public, and the media.

**9. State-Based Administrative Complaint Procedures**

*A description of the uniform, nondiscriminatory State-based administrative complaint procedures in effect under section 402. -- HAVA §254 (a)(9) Deadline for Compliance: Prior to certification of State Plan, but no later than January 1, 2004; no waiver permitted.*

The Secretary of State has developed and proposed an administrative rule creating an administrative complaint procedure that meets HAVA requirements. Before the adoption of the procedures, State election laws contained no provision for the processing of election complaints at the administrative level. Informal complaint handling by state and local authorities by telephone or e-mail has existed for many years, with state law providing for judicial remedies where parties were dissatisfied with results. Formal complaints will now follow the procedures to be adopted by the Secretary of State and codified as Mississippi administrative rules and regulations.

Mississippi's administrative complaints procedure will be uniform and nondiscriminatory. They will allow any person who believes there is a HAVA Title III violation (including a violation which has occurred, is occurring or is about to occur) to file a written complaint with the Secretary of State's Office. The complaint must be notarized, signed and sworn to by the complainant. The Secretary of State may consolidate complaints filed under the state's administrative complaint procedure.

At the complainant's request, there will be a hearing on the record regarding the complaint. The Secretary of State will provide an appropriate remedy if it finds any Title III violation exists. If the Secretary of State finds no such violation exists, the complaint will be dismissed and notification will be sent to the complainant accordingly.

The Secretary of State will make a final determination regarding each complainant prior to ninety days after receipt of the complaint unless the complainant agrees to an extension of the ninety-day period. If the Secretary fails to meet the ninety-day deadline, the complaint will be referred for alternative dispute resolution to an arbitrator. Any record compiled by the Secretary of State during its review of the complaint will be provided to the arbitrator.

**10. Effect of Title I Payments**

*If the State received any payment under Title I, a description of how such payment will affect the activities proposed to be carried out under the plan, including the amount of funds available for such activities. -- HAVA §254 (a)(10)*

The state of Mississippi received \$5.4 Million dollars in Title I payments. These funds will be spent pursuant to Sections 101 and 102, as a part of the State's centralized procurement of a statewide DRE voting system. As a part of this procurement, the State will replace all of its punch card and lever voting machines and replace them with DRE devices. This process will be completed by January 1, 2006, with the HAVA waiver of the January 1, 2004, deadline.

**11. Mississippi's HAVA State Plan Management**

*How the State will conduct ongoing management of the plan, except that the State may not make any material change in the administration of the plan unless the change.*

- (A) is developed and published in the Federal Register in accordance with section 255 in the same manner as the State Plan;*
- (B) is subject to public notice and comment in accordance with section 256 in the same manner as the State Plan; and*
- (C) takes effect only after the expiration of the 30-day period which begins on the date the change is published in the Federal Register in accordance with subparagraph (A).*

*-- HAVA §254 (a)(11)*

The State intends to use the State Plan as the foundation for its future strategic direction. Consequently, sound and responsible management of the State Plan will be an essential component of the State election community's future success.

Due to the complexity of HAVA and the potential variety of projects it encompasses, the Secretary of State's Office has established a Federal Compliance Unit within the agency's Elections Division. This unit's staff will conduct ongoing management of the State Plan, including project planning (for all HAVA-related and other election reform projects) and establishing and implementing program management standards (i.e. performance measures, review and approval processes, issue/risk management, etc.). The Federal Compliance Unit will also be responsible for other election functions, including: budget and fiscal, personnel, and office support functions. These include ADA, NVRA, and VRA oversight as they relate to the state's compliance responsibilities.

The State understands and agrees to comply with HAVA requirements related to ongoing management of the State Plan. More specifically, the State agrees that it may not make any material change in the administration of the State Plan unless the change:

- (A) is developed and published in the Federal Register in accordance with HAVA §255 in the same manner as the State Plan;
- (B) is subject to public notice and comment in accordance with HAVA §256 in the same manner as the State Plan; and
- (C) takes effect only after the expiration of the forty-five (45) day period which begins on the date the change is published in the Federal Register in accordance with subparagraph (A).

**12. Changes to State Plan from Previous Fiscal Year**

*In the case of a State with a State Plan in effect under this subtitle during the previous fiscal year, a description of how the plan reflects changes from the State Plan for the previous fiscal year and of how the State succeeded in carrying out the State Plan for such previous fiscal year. -- HAVA §254 (a) (12)*

This FY 2003 Plan is the State's inaugural Plan under HAVA. This section will be updated in the FY 2004 Plan, reflecting changes to the Plan, as well as a summary of 2003 successes.

### **13. State Plan Development and Committee**

*A description of the committee which participated in the development of the State Plan in accordance with section 255 and the procedures followed by the committee under such section 255 and section 256.*

*-- HAVA §254 (a)(13)*

The State's committee consists of individuals representing a cross-section of election stakeholders. The State Plan Committee was selected by the chief State election official, Eric Clark, Secretary of State.

Members of the State Plan Committee, and the primary qualification of each for being a committee member, are as follows:

Eric Clark, Secretary of State, Mississippi's chief election official;  
Dora Blakeney, Smith County Election Commissioner;  
Michael Boyd, Policy and Planning Director, Office of the Governor;  
Senator Hob Bryan, State Senator;  
Eugene Bryant, President, Mississippi NAACP;  
Bill Chandler, Mississippi Immigrants Rights Alliance;  
Gary Dearman, Greene County Supervisor;  
Barbara Dunn, Circuit Clerk, Hinds County (chief election official of largest local jurisdiction);  
James Dunn, Tunica County Supervisor;  
Johnny Dupree, Mayor, City of Hattiesburg;  
Rebecca Floyd, Mississippi Protection and Advocacy Systems;  
Senator Hillman Frazier, State Senator;  
Jim Fraiser, Legal Counsel, Mississippi Band of Choctaw Indians;  
Earline Hart, President, Mississippi Circuit Clerks Association;  
Representative Roger Ishee, State House of Representatives;  
Fran Leber, League of Women Voters;  
Dora McKenzie, Clarke County Election Commissioner;  
Arlelia Moreland, Washington County Election Commissioner;  
Larry Otis, Mayor, City of Tupelo;  
Gayle Parker, Circuit Clerk, Harrison County (chief election official of second largest local jurisdiction);  
Marsha Peters, City of Lucedale, Municipal Election Commissioner;  
Representative Tommy Reynolds, State House of Representatives;  
Jimmy Smith, Lauderdale County Supervisor;  
Larry Swales, Rankin County Supervisor;  
Dale Thompson, Circuit Clerk, Desoto County;  
Mary Troupe, Council on Citizens with Disability; and  
Tommy Walman, Mayor, City of McComb.

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In creating the State Plan, the State Plan Committee worked with the Stennis Institute of Government at Mississippi State University, which assisted the Secretary of State in conducting four public meetings concerning plan development. Documents from those meetings may be found in Exhibit C.

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**APPENDIX A**

**EXHIBIT A**  
**Mississippi Secretary of State's Office**  
**Voting Systems Procurement Project**

ID	Task Name	Duration	Start	Finish	Resources
1	Kick-Off Meeting	1 day	Tue 6/1/04	Tue 6/1/04	
2	Develop functional specs	50 days	Wed 6/2/04	Tue 8/10/04	
3	Review sample RFPs	3 days	Wed 6/2/04	Fri 6/4/04	SOS
4	Conduct county surveys	7 days	Mon 7/12/04	Tue 7/20/04	SOS,Counties
5	Research county requirements	2 days	Wed 7/21/04	Thu 7/22/04	SOS
6	Write specifications	5 days	Mon 7/26/04	Fri 7/30/04	SOS
7	Distribute and review draft	5 days	Mon 8/2/04	Fri 8/6/04	SOS,ITS
8	Edit draft	2 days	Mon 8/9/04	Tue 8/10/04	SOS
9	Prepare scoring methodology	2 days	Mon 8/16/04	Tue 8/17/04	SOS,ITS
10	Deliver final document to ITS	1 day	Mon 8/30/04	Mon 8/30/04	SOS
11	Review and edit final RFP	7.5 days	Tue 8/31/04	Thu 9/9/04	SOS,ITS
12	RFP release process	43 days	Tue 9/14/04	Thu 11/11/04	
13	Advertise RFP	1 day	Tue 9/14/04	Tue 9/14/04	ITS
14	Release RFP	42 days	Wed 9/15/04	Thu 11/11/04	ITS
15	Advertise RFP	1 day	Tue 9/21/04	Tue 9/21/04	ITS
16	Mandatory Bidders Conference	1 day	Wed9/29/04	Wed 9/29/04	SOS,ITS
17	Bidders Q&A deadline	1 day	Fri 10/1/04	Fri 10/1/04	SOS,ITS
18	Bid opening	1 day	Thu 11/11/04	Thu 11/11/04	SOS,ITS
19	Review and scoring of proposals	25 days	Mon 11/15/04	12/17/04	
20	Distribute to scoring team	1 day	Mon 11/15/04	Mon 11/15/04	SOS
21	Provide review schedule	1 day	Mon 11/15/04	Mon 11/15/04	SOS
22	Clarification memos to vendors	1 day	Wed 12/1/04	Wed 12/1/04	SOS,ITS
23	Score and tabulate results	10 days	Mon 12/6/04	Fri 12/17/04	SOS
24	Invite top vendors for demos	22 days	Mon 1/3/05	Tue 2/1/05	
25	Schedule demos	1 day	Mon 1/3/05	Mon 1/3/05	SOS
26	Prepare scoring for demos	1 day	Tue 1/4/05	Tue 1/4/05	SOS
27	Conduct demos	6 days	Mon 1/10/05	Mon 1/17/05	SOS
28	Tabulate results of scoring	11 days	Tue 1/18/05	Tue 2/1/05	SOS
29	Award Process	24 days	Wed 2/2/05	Mon 3/7/05	
30	Prepare contract	15 days	Wed 2/2/05	Tue 2/22/05	SOS,ITS
31	Sign contract	5 days	Mon 2/28/05	Fri 3/4/05	SOS,ITS,Vendor
32	Receive CP-1	1 day	Mon 3/7/05	Mon 3/7/05	ITS
33	Begin installation	1 day	Fri 4/1/05	Fri 4/1/05	SOS,Vendor

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**APPENDIX B**

**EXHIBIT B**  
**Mississippi Secretary of State's Office**  
**SWVR Procurement Project**

ID	Task Name	Duration	Start	Finish	Resources
1	Develop procurement plan	3 days	Thu 4/3/03	Mon 4/7/03	SOS
2	Develop CP-28	1 day	Tue 4/8/03	Tue 4/8/03	SOS
3	SOS planning meeting	1.25 days	Tue 4/8/03	Wed 4/9/03	
4	Schedule meeting	1 day	Tue 4/8/03	Tue 4/8/03	SOS
5	Prepare agenda	1 day	Tue 4/8/03	Tue 4/8/03	SOS
6	Conduct meeting	2 hrs.	Wed 4/9/03	Wed 4/9/03	SOS
7	Kick-off meetings with ITS & DPS	5 days	Thu 4/10/03	Wed 4/16/03	
8	Schedule meeting	1 day	Thu 4/10/03	Thu 4/10/03	SOS
9	Contact ITS/DPS	1 day	Thu 4/10/03	Thu 4/10/03	SOS
10	Prepare agenda	1 day	Wed 4/16/03	Wed 4/16/03	SOS
11	DPS/SOS planning meeting	1 day	Tue 4/22/03	Tue 4/22/03	
12	HAVA overview	1 day	Tue 4/22/03	Tue 4/22/03	SOS,DPS
13	DPS responsibilities	1 day	Tue 4/22/03	Tue 4/22/03	SOS,DPS
14	ITS planning meeting	1 day	Thu 4/24/03	Thu 4/24/03	SOS,ITS
15	Review timeline	1 day	Thu 4/24/03	Thu 4/24/03	SOS,ITS
16	Discuss roles	1 day	Thu 4/24/03	Thu 4/24/03	SOS,ITS
17	Discuss networking needs	1 day	Thu 4/24/03	Thu 4/24/03	SOS,ITS
18	Deliver CP-28 to ITS	1 day	Thu 4/24/03	Thu 4/24/03	SOS
19	Develop county functional specs	50 days	Tue 4/8/03	Mon 6/16/03	
20	Review sample RFPs	3 days	Tue 4/8/03	Thu 4/10/03	SOS
21	Conduct county surveys	7 days	Tue 4/29/03	Wed 5/7/03	SOS,Counties
22	Research county requirements	2 days	Thu 5/8/03	Fri 5/9/03	SOS
23	Clerks prioritize requirements	5 days	Fri 5/23/03	Thu 5/29/03	Counties
24	Write specifications	5 days	Fri 5/30/03	Thu 6/5/03	SOS
25	Distribute and review draft	5 days	Fri 6/6/03	Thu 6/12/03	SOS,ITS
26	Edit draft	2 days	Fri 6/13/03	Mon 6/16/03	SOS
27	Develop State functional specs	12 days	Tue 6/17/03	Wed 7/2/03	
28	Review sample RFPs	2 days	Tue 6/17/03	Wed 6/18/03	SOS
29	Research federal compliance	1 day	Thu 6/19/03	Thu 6/19/03	SOS
30	Write specifications	3 days	Fri 6/20/03	Tue 6/24/03	SOS
31	Distribute and review draft	5 days	Wed 6/25/03	Tue 7/1/03	SOS,ITS
32	Edit draft	1 day	Wed 7/2/03	Wed 7/2/03	SOS
33	Prepare scoring methodology	2 days	Thu 6/26/03	Fri 6/27/03	SOS,ITS
34	Develop networking specs	23 days	Thu 7/3/03	Mon 8/4/03	
35	Contact communication vendors	2 days	Thu 7/3/03	Fri 7/4/03	SOS
36	Review current infrastructure	1 day	Mon 7/7/03	Mon 7/7/03	SOS,ITS
37	Networking consultant review	9 days	Tue 7/8/03	Fri 7/18/03	SOS
38	Write specifications	5 days	Mon 7/21/03	Fri 7/25/03	SOS
39	Distribute and review draft	5 days	Mon 7/28/03	Fri 8/1/03	SOS,ITS
40	Edit draft	1 day	Mon 8/4/03	Mon 8/4/03	SOS

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41	Develop general EIS specs	10 days	Tue 8/5/03	Mon 8/18/03	
42	Write specifications	4 days	Tue 8/5/03	Fri 8/8/03	SOS,ITS
43	Distribute and review draft	5 days	Mon 8/11/03	Fri 8/15/03	SOS
44	Edit draft	1 day	Mon 8/18/03	Mon 8/18/03	SOS,ITS
45	Deliver final document to ITS	1 day	Fri 8/22/03	Fri 8/22/03	SOS
46	Review and edit final RFP	5 days	Mon 9/8/03	Fri 9/12/03	SOS,ITS,SAC
47	RFP release process	43 days	Tue 9/23/03	Thu 11/20/03	
48	Advertise RFP	1 day	Tue 9/23/03	Tue 9/23/03	ITS
49	Release RFP	42 days	Wed 9/24/03	Thu 11/20/03	ITS
50	Advertise RFP	1 day	Tue 9/30/03	Tue 9/30/03	ITS
51	Mandatory bidders conference	.67 days	Wed 10/8/03	Wed 10/8/03	SOS,ITS,SAC
52	Bidders Q&A deadline	1 day	Wed 10/15/03	Wed 10/15/03	SOS,ITS
53	Bid opening	1 day	Thu 11/20/03	Thu 11/20/03	SOS,ITS
54	Review and scoring of proposals	19 days	Tue 11/25/03	Fri 12/9/03	
55	Distribute to scoring team	1 day	Tue 11/25/03	Tue 11/25/03	SOS
56	Provide review schedule	1 day	Tue 11/25/03	Tue 11/25/03	SOS
57	Clarification memos to vendors	1 day	Fri 12/5/03	Fri 12/5/03	SOS,ITS
58	Score and tabulate results	19 days	Tue 11/25/03	Fri 12/19/03	SOS
59	Invite top vendors for interviews	20 days	Mon 1/5/04	Fri 1/30/04	
60	Schedule interviews	1 day	Mon 1/5/04	Mon 1/5/04	SOS
61	Prepare scoring for interviews	1 day	Tue 1/6/04	Tue 1/6/04	SOS
62	Conduct interviews	2 days	Tue 1/13/04	Wed 1/14/04	SOS,ITS,SAC
63	Tabulate results of scoring	11 days	Fri 1/16/04	Fri 1/30/04	SOS
64	Award process	38 days	Mon 2/9/04	Wed 3/31/04	
65	Prepare award documentation	3 days	Mon 2/9/04	Wed 2/11/04	ITS,SOS
66	ITS Board for approval	1 day	Fri 2/20/04	Fri 2/20/04	SOS,ITS
67	Prepare contract	15 days	Mon 2/23/04	Fri 3/12/04	SOS,ITS
68	Sign contract	5 days	Mon 3/15/04	Fri 3/19/04	SOS,ITS,Vendor
69	Receive CP-1	1 day	Wed 3/31/04	Wed 3/31/04	ITS
70	Begin installation	1 day	Thu 4/1/04	Thu 4/1/04	SOS,Vendor

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**APPENDIX C**

# Public Meetings on the "Help America Vote Act"

## Purpose

In generating Mississippi's response to the recently enacted federal Ney-Dodd-Hoyer-McConnell "Help America Vote Act of 2002" (HAVA), the Secretary of State, as the state's chief election official, is actively seeking public input on election-related issues. Sponsored by the HAVA State Plan Advisory Committee and moderated by the John C. Stennis Institute of Government, these meetings are designed to educate the public on the specific requirements of HAVA and to receive input on how these requirements may be implemented best at the state and local levels.

## Agenda

Overview of HAVA Legislation  
Overview of Required State Plan Elements  
Moderated Discussion of Local Issues Relating to HAVA Implementation

## Meeting Dates and Locations\*

**Tuesday, April 15, 2003** ..... **Congressional District 1**  
4 p.m. – 6 p.m. Yerby Center Auditorium  
University of Mississippi, Oxford

**Thursday, April 17, 2003** ..... **Congressional District 2**  
4 p.m. – 6 p.m. Fine Arts Building  
Holmes Community College – Goodman Campus, Goodman

**Tuesday, April 22, 2003** ..... **Congressional District 4**  
4 p.m. – 6 p.m. Polymer Science Building Auditorium  
University of Southern Mississippi, Hattiesburg

**Thursday, April 24, 2003** ..... **Congressional District 3**  
4 p.m. – 6 p.m. George Wynne Building, Lecture Hall  
Hinds Community College – Rankin County Campus, Pearl

*\*Accommodations will be provided for the sight- and hearing-impaired.*

Information on meeting dates, locations, and times will be made available through a wide range of formats to the public, county and municipal election personnel, and local leaders. To be added to a public, moderated e-mail list for HAVA-related announcements, please send a short e-mail to [hava@sig.msstate.edu](mailto:hava@sig.msstate.edu) with your name and e-mail address.

## SUMMARY OF “THE HELP AMERICA VOTE ACT” OF 2002

In response to the controversial 2000 Presidential Election, Congress passed “The Help America Vote Act of 2002,” known as HAVA. This significant piece of national election reform affects nearly every facet of elections in the United States. Congress also recommended appropriating more than \$3.6 billion dollars to fund the reforms mandated in HAVA.

This document contains a section-by-section summary of HAVA, with notes in some areas about the status of those items in Mississippi. The second section contains additional information about some of the more significant elements of HAVA.

### *Section-By-Section Summary*

#### **TITLE I – Payments to States Election Administration Improvement and Replacement of Punch Card and Lever Voting Machines.**

- \$325 million dollars is authorized nationally to buy-out punch card and lever machines. States that apply for this program must complete the device replacement by the 2004 General Election, or apply for a waiver. The waiver gives states until January 1, 2006 to complete the replacement. The State may receive approximately \$4000 per qualifying precinct under this program (the amount of the appropriation, and the number of states electing to participate in the program, may change this amount)  
*Mississippi has approximately 550 precincts that used punch card or lever machines during the 2000 Presidential Election, which would qualify for this program.*
- \$325 million dollars is authorized nationally for states to improve the administration of elections.  
*Mississippi may use its share of these funds to improve elections in the state, so long as those activities are not inconsistent with HAVA or other relevant Federal laws.*

#### **TITLE II – Election Assistance Commission**

- A new Federal Commission is established, consisting of 2 Republican and 2 Democratic appointees.
- The Commission has no rulemaking authority, but will issue voluntary guidelines for voting systems and other HAVA requirements.
- The Commission will provide for the certification and testing of voting systems, will study election issues, and will administer grant programs in the following areas: Requirements Payments, Disability Access grants, Voting Technology Research grants, Pilot Program grants, Protection and Advocacy Systems Payments, and the National Student/Parent Mock Election.
- Each state must be represented by a state election official and a local election official, of different political parties, on the Election Assistance Commission Standards Board, which will be involved with review of voting systems and the establishment of voluntary guidelines.  
*Mississippi’s representatives on the EAC Standards Board are Secretary of State Eric Clark (D) and Hinds County Election Commissioner Marilyn Avery (R).*
- Apart from the funds authorized under Title I, the following funds have been authorized for distribution to the states by the EAC
  - Fiscal Year 2003 – \$1.4 billion
  - Fiscal Year 2004 -- \$1 billion
  - Fiscal Year 2005 -- \$600 million

*The amount authorized and the amount appropriated may not be the same. For FY 2003, the amount authorized was \$1.4 billion, but Congress only appropriated \$800 million. It is unclear if the difference will be made up in supplemental appropriations.*

- States may be eligible for the Requirements Payment only after submitting a State Plan, which must include the following:
  1. How the requirements payment will be used.
  2. How the state will distribute the benefits of the funding to other entities within the state.
  3. How the state will provide for voter education and election official/poll worker training.
  4. How the state will adopt voting system guidelines that are consistent with federal requirements.
  5. How the state will establish a fund to accept Federal dollars.
  6. What the state's budget for required HAVA activities is.
  7. How the state will maintain its expenditure level so that it is not less than the expenditure level for the fiscal year ending prior to November, 2000.
  8. How the state will measure performance to determine success for the state and for local government in carrying out elements of HAVA and the Plan.
  9. A description of the uniform, non-discriminatory state-based administrative complaint procedure.
  10. If the state accepted any Title I money, how it was used and the impact on the Plan.
  11. How the state will conduct ongoing management of the Plan.
  12. If applicable, changes from the previous FY State Plan and a report on the previous FY State Plan success (for State Plans submitted in later fiscal years)
  13. A description of the committee that advised in the development of the State Plan.

### **TITLE III – Requirements**

Each state must:

- Provide voters an opportunity to check for and correct ballot errors in a private and independent manner.

*This is also called “second chance voting.” If a voter mistakenly over votes, or forgets to cast a vote in an election, the device will notify him/her in a private manner and allow for ballot correction.*
- Have a voting system with manual audit capacity.
- Provide at least 1 voting device per precinct that is accessible to the disabled.

*All voters, including individuals with disabilities, must be able to cast their votes unassisted and in private. Curb-side voting and voter assistance will not meet the requirements set in this section of HAVA.*
- Provide alternative language accessibility pursuant to the Voting Rights Act.

*Mississippi currently has 9 counties that have been identified by the U.S. Justice Department as requiring this type of action.*
- Have a voting system whose error rate does not exceed the existing rate established by the FEC Office of Election Administration.

*No such rate has been established. The EAC must issue guidance by January 1, 2004.*

**\*\*\*NOTE\*\*\* All states must meet Voting System Requirements by January 1, 2006. Any equipment purchased with funds received or appropriated under HAVA, and purchased after January 1, 2007, must meet ALL Voting System Standards requirements.**
- Define what constitutes a legal vote for each type of voting machine used in the state.

*With the exception of the new touch-screen DRE voting devices, this has been done in Mississippi.*
- Provide provisional ballots to ensure no individual is turned away at the polls. Counties must also provide a “free access system” by which voters who cast provisional ballots may find out

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if their vote counted.

*Provisional balloting is done in Mississippi, and is known as “affidavit balloting.” There is no uniform method of free-access to affidavit balloting information currently prescribed in Mississippi.*

- Implement a single, uniform, official, centralized, interactive computerized statewide voter registration list defined, maintained, and administered at the State level that contains the name and registration information of every legally registered voter in the state. This database will be used to ensure accurate voter registration lists for use at all elections. This list must be in place by January 1, 2004, unless the state applies for and receives a waiver to January 1, 2006.

*Mississippi passed enabling legislation in 2002 to begin work on such a system. The specifications for such a system prescribed by Mississippi’s Select Task Force on Election Procedures and Technology predated HAVA, but were nearly identical.*

Ensuring system integrity:

- When registering to vote, individuals must provide a driver’s license number or, if the voter does not have a driver’s license, the last 4 digits of the Social Security number. If an individual does not have either number, he or she will be assigned a unique identifier. This must be in place by January 1, 2004.
- First-time voters who register to vote by mail are required to provide identification when they cast their ballots. Jurisdictions must comply with this by January 1, 2004.

*This requirement is for Federal elections only.*

- States are obligated to maintain clean and accurate voter registration lists.

*HAVA requires that the state office that maintains the state list must enter into agreements with other state agencies that provide information relevant to keeping voter information accurate. Data-sharing must take place among these agencies. In addition, the Mississippi Department of Public Safety will have to enter into an agreement with the Social Security Administration to share data that is relevant to the maintenance of accurate voter records.*

### **TITLE IV – Enforcement**

- The U.S. Department of Justice may seek injunctive or declaratory relief for violations of HAVA.
- Each state receiving funds under HAVA must establish a state-based Administrative Grievance Procedure for hearing complaints. Citizens who feel that there has been a violation of the standards set under Title III of HAVA may file a complaint. The State will have to conduct hearings and, where appropriate, provide remedy.

*There is no such procedure established under Mississippi law.*

### **TITLE V – Help America Vote College Program**

\$5 million dollars authorized to encourage college students to participate in the political process by volunteering as poll workers.

### **TITLE VI – Help America Vote Foundation**

\$5 million dollars authorized to encourage high school students to participate in the political process by volunteering as poll workers.

*Mississippi law was changed in 2002 to allow jurisdictions to create a Poll Worker Intern program, where 16- and 17-year-old students may be selected to serve as assistants at the polls on election day. No jurisdiction is required to participate in this program, and each jurisdiction may set up the program according to its own priorities.*

**TITLE VII – Military**

Provisions to improve ballot access for military and overseas voters.

**TITLE VIII – Transition Provisions**

Transfer of responsibilities and oversight of particular activities to new entities.

**TITLE IX – Miscellaneous**

- The Commission is authorized to conduct audits, including special audits, of all entities receiving funds.
- Criminal penalties are established for conspiracy to deprive voters of a fair election, and for providing false information in registering and voting.

## ***Significant Elements***

### **Enhancing Voting for Persons with Disabilities**

By building on provisions found in the Americans with Disabilities Act (ADA) and the Voting Accessibility for the Elderly and Handicapped Act (VAEHA), HAVA makes it easier for persons with disabilities to vote independently and privately. This legislation ensures that persons with disabilities have the same access to voting as other citizens. The “Help America Vote Act”:

- Requires that every precinct across the nation have at least one voting machine or system that is accessible to individuals with disabilities by January 1, 2006.
- Authorizes \$100 million in grants to improve polling place access for disabled voters.
- Authorizes an additional \$40 million to improve State Protection and Advocacy systems. Beginning in 2003, the Secretary of Health and Human Services will award this money in four allotments of \$10 million per year. The grants will be presented to entities in each state that represent persons with disabilities, and will be used to provide services that enable these individuals to participate fully in the electoral process.

### **Voter Rights**

- Second-Chance Voting – Each voter will be given an opportunity to check for and correct ballot errors in a private and independent manner.
- Provisional Ballots – An individual whose name does not appear on the official voter registration list will be given the opportunity to cast a provisional ballot, thereby ensuring that no individual is turned away at the polls.
- Access for Individuals with Disabilities – Each precinct will be required to provide at least 1 voting machine that allows individuals with disabilities to vote in a private and independent manner.

### **Voting System Standards**

- Audit Capacity – Each voting system must produce a permanent paper record with a manual audit capacity.
- Error Rates – Each voting system must comply with the existing error rate established by the FEC Office of Election Administration.
- Uniform Standard of What Constitutes a Vote – Each state must define what constitutes a legal vote for each type of voting machine used in the state.
- Multilingual Accessibility – Each voting system must provide alternative language accessibility pursuant to the Voting Rights Act.

### **Computerized Statewide Voter Registration List**

Each state must implement a single, uniform, official, centralized, interactive computerized statewide voter registration list to ensure accurate lists.

### **Voting Information Requirements**

By January 1, 2004, election officials must publicly post the following information at each polling place on election day:

- A sample ballot.
- The hours during which the polling place will be open.
- Instructions on how to cast a ballot or provisional ballot.
- Instructions for mail-in registrants who are first-time voters.
- General information on voting rights under federal and state laws.
- General information on prohibitions on fraud and misrepresentation.

## **Assistance for Military and Overseas Voters**

“The Help America Vote Act” contains provisions to improve ballot access for military and overseas voters. Both the Department of Defense (DOD) and states have requirements under these provisions.

### *Requirements for the DOD*

- Military Voting Assistance Officers must be guaranteed the time and resources they need to help military personnel vote.
- The DOD must make certain that all military ballots have postmarks (or other official proof of mailing date) to ensure that no ballots are disqualified for this reason.
- The DOD must provide military personnel with applicable deadlines and other timely information on registration and voting.
- New military enlistees must be given a voter registration form.

### *Requirements for States*

- States must establish a single state office mandated to provide information on registration and absentee voting, to make it easier for military personnel to access such information.
- States must report the number of military and overseas applications and ballot received to the Federal government.
- States must provide overseas absentee ballots for two Federal general elections to voters who request them. The current Mississippi absentee ballot application is good for only 1 year.
- States must accept a standard oath for verifying election materials.
- States may not refuse ballots for being submitted too early. Many military personnel are isolated for long periods of time (ex., submariners). This must be in place by January 1, 2004.
- States must notify overseas and military voters whose applications have been rejected. This requirement applies to both voter registration and absentee ballot applications.
- All items above are effective immediately, unless another effective date is listed.

## **FREQUENTLY ASKED QUESTIONS REGARDING “The Help America Vote Act of 2002”**

### **What is the “Help America Vote Act”?**

The Help America Vote Act is the common title for a federal act signed into law by the President on October 29, 2002. Officially, it is Public Law 107-252, or codified as U.S.C. 15301-15545. Sometimes, it is also referred to as “The Help America Vote Act” for its principle authors, or as H. R. 3295, which was its designation as Congress finally enacted it.

### **What is the purpose of the Act?**

After the problems seen in some other states during the 2000 Presidential Election, Congress recognized a need to standardize some elements of federal elections, and appropriated federal funds to help states make those reforms. The Act is the result of the negotiation between the House and Senate on exactly how those reforms should occur.

The Act refers specifically to federal elections, but because state and federal elections are usually conducted simultaneously, it will impact almost all Mississippi elections. The Act applies to all states and territories, and all of these areas must submit a plan that explains how the Act will be implemented locally.

The Act does not shift the control of elections to the federal level. Instead, it shifts responsibility for complying with federal election reform laws from the local to the state level, and sets a number of ground rules that states should follow to provide some uniformity in elections. For instance, one of the provisions of the Act specifies that each state must determine exactly what constitutes a legal vote on a ballot.

### **Will the Act dramatically change the way local elections are conducted?**

The Act contains a number of provisions that are designed to make elections easier to understand, easier to access for people with disabilities, and easier to audit after the votes have been counted. One recent report noted that no single state in the nation met all the provisions of the Act, but some states will have more difficulty than others in complying, depending on their individual election systems.

Mississippi, for instance, already has state level enabling legislation for a statewide, centralized voter registry, which is one of the key components of the Act. Because the Legislature passed that in the 2002 session, Mississippi is ahead of many other states in planning how that provision of the Act can be implemented.

### **What exactly does the Act do?**

Essentially, the Act sets up a system where every state and territory generates a roadmap – the statewide plan – that will be used to bring the state in conformity with the provisions of the Act. The provisions of the Act are generally designed to ensure that voters are capable of voting easily, privately, and independently, and sets up mechanisms where they can be sure their votes are counted, if there is any doubt.

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First, the Act sets standard requirements for actual voting devices that are designed to make them easier to use: (a) voters must be able to review their ballot before it is cast to ensure they correctly voted for the right candidate, (b) voters must be able to change their selections on a race before the ballot is cast, and (c) the voting machine must provide some mechanism to notify voters when they accidentally vote for more than one candidate in a single race (and allow them to correct the error).

Additionally, voting systems must be able to produce a manual audit trail of those ballots that are processed, and this audit trail must be able to help local election officials determine error rates in processing ballots. The total error rate for a system should not exceed the Election Assistance Commission's specifications for the machine.

Secondly, the Act mandates statewide, centralized voter registration systems, that can be used at the local level to make sure that voter rolls are accurate and valid. As local election personnel enter registration information, that information automatically is double-checked against other registration entries (to determine if the applicant is registered in another jurisdiction), and is checked against other state databases (to ensure that the voter has not been convicted of a disenfranchising crime, and that the driver's license or social security number provided matches records for him). Further, the system will have the capability to interface with records at the Department of Health and other systems to determine if a particular entry is for a deceased person (and should be removed).

Third, the Act ensures that all people who believe they are eligible to vote in a jurisdiction but whose names do not appear on the poll books, can vote there by way of an affidavit ballot. The eligibility of the voter will be determined before the votes are finally totaled. Finally, the Act mandates that each person who votes an affidavit ballot be provided a free access system for determining if his or her vote was counted (and if not, why).

These elements constitute the major mandates of the bill. Details about how these requirements are to be implemented are largely left to the discretion of the state's chief election official, who must submit a statewide plan of action to the federal government.

### **Will my county have to replace its voting equipment?**

In general, if a county has voting equipment that does not meet the requirements of the Act, those voting devices must be replaced or upgraded to meet the requirements of the Act by January 1, 2006. Specific funds are authorized in the Act to assist with the replacement of punch card and lever-based systems. States that receive those specific funds must replace their punch card and lever devices by the 2004 General Election, or by the 2006 General Election, if the state receives a waiver of the first deadline. Other funds may, at the discretion of the state's Chief Election Official, help defray costs of voting device purchases by local governments.

### **If my county has already replaced its systems with compliant voting machines, will we be reimbursed by funds from the Act?**

The Act provides funds earmarked for defraying costs associated with replacing punch card and lever machines with compliant voting equipment. That program includes jurisdictions that used punch card and lever machines during the November 2000, election

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and replaced those devices after that date. Until the entire plan is finalized and the state determines how much new equipment Mississippi needs to comply with HAVA, it is impossible to say if, or how much, each county may be reimbursed for equipment.

### **How will I know if the machines my county purchases are compliant with the Act?**

One of the things the Act does is establish the Elections Assistance Commission, which will issue non-binding guidelines about how different machines conform to the requirements of the Act. Unfortunately, the Commission is not yet fully constituted, so there is no definitive source of information in the meantime, except for the Act itself. However, the Secretary of State's Office, national organizations, and many other resources are available to help a county make an educated decision about what types of voting equipment to purchase.

### **Will all the counties purchase equipment separately, or will the state do it in bulk?**

It is not known at present exactly how much money is required to bring Mississippi counties into full compliance with HAVA Voting System Standards and the Statewide Voter Registry mandate. Until the state plan process is complete, no decision on how to allocate funds among the federal mandates will be made.

Because the current fiscal year appropriation from the federal government is less than the money authorized under HAVA, and because there is no guarantee that future authorized appropriations will be met, great care should be taken with the funds the state does receive. As a part of the plan process, all opportunities to "stretch" these dollars will be considered, including centralized purchasing.

### **Will the statewide voter database mean that election rolls are maintained centrally from Jackson?**

The HAVA Act and the accompanying state legislation mandate that there will be a centralized voter registry, but that it will be designed so that local election officials have access to it to make additions, changes and deletions, as allowed by law. The purpose of the centralized registry is to better inform local election commissioners and circuit clerks about potential problems, duplicate entries, or other issues that should be acted on at the local level. The decision about whether a particular person should or should not be added to a jurisdiction's election roll is up to local election officials; the database will just be an added tool that will help ensure the rolls are accurate and up to date.

### **Will the centralized system replace my current local voter registry?**

The centralized system has yet to be fully developed, but as a centralized system, data that is currently in your local system will be converted over to the new system, and the new system will then become the primary tool for managing election rolls at the local level.

### **How much money is being made available through the Act?**

Although Congress passed and the President signed the Act, money must be separately appropriated to fund its provisions. Some \$1.5 billion dollars was appropriated in the FY03 budget, much of it designated for specific programs. Since this bill was a high priority for

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Congress, it is possible that additional monies will be made available in subsequent funding cycles, as states face compliance with the Act's expensive mandates. It is important to realize, though, that this is essentially one-time money – after the timetables specified by the Act expire, there are no additional funds that will be available under the Act. Moreover, the actual appropriation of funds has no bearing on a state's obligation to meet the requirements of the Act – little or no money does not mean that Mississippi can pick and choose which elements of HAVA it wishes to implement.

Most initial estimates predict that Mississippi will receive a total amount of approximately \$34 million dollars, over a three-year period, and assuming that Congress fully funds HAVA. This provides a significant window of opportunity for state and local officials to work together to make improvements in elections-related infrastructure, while federal funding is available.

### **Are there provisions in the Act that will require a voter to show a picture ID at the polling place?**

The issue of voter id has been one that has captured a great deal of press within Mississippi, as the Mississippi Legislature debated the issue as one element of an overall, comprehensive HAVA compliance bill. HAVA is very specific in its ID requirements: voters who register by mail (and do not include copies of one of several different kinds of identification with their registration), and who are voting for the first time in a jurisdiction (or state, once the state implements a centralized registry), must show one of a number of different types of ID when they go to the polling place. That ID could include a photo ID driver's license, a paycheck, a utility bill, or other government document that includes a name and address. There is an exception for voters whose driver's license or social security number match a state record containing this verifying information. In addition, some military personnel and people over sixty-five are exempt from this requirement entirely.

### **Is HAVA only going to be used in years that there are candidates for federal office?**

HAVA requirements only apply to elections for federal offices, but most states, including Mississippi, will likely pass state statutes or promulgate administrative rules, or both, that make HAVA requirements applicable to all elections. Without a single set of rules for federal, state, and local elections, Mississippi would have different rules in non-federal election years only. This type of dual system is confusing to voters and election officials alike.

### **What is the timetable for submitting the statewide plan?**

Public hearings are being held during the month of April to receive input from people at the local level. In addition, the entire plan is being developed with the input from the HAVA Advisory Committee, which has many representatives from the local level.

After a draft version of the plan is produced with the aid of the advisory group, it will be advertised and made available for public comment for thirty days. At the end of that time, it may be revised to accommodate the public comments that were received, and must eventually be published in the *Federal Register*.

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The preliminary plan will be published in Mississippi for comment on or around July 1, 2003, and the final plan will be submitted to the federal government in early August.

### **How can I continue to be a part of the plan formulation process?**

A number of methods are available:

- Stay in touch with your representatives on the HAVA Advisory Committee.
- Communicate with the staff at the Elections Division of the Office of the Secretary of State ([elections@sos.state.ms.us](mailto:elections@sos.state.ms.us) or 1-800-829-6786)