OFFICE OF THE MISSISSIPPI SECRETARY OF STATE
SECURITIES AND CHARITIES DIVISION

In The Matter Of:	)	
	)	
COREY S. PRESLEY and	)	**Administrative Proceeding**
SIGNATOR INVESTORS, INC.	)	**Number: S-08-0340**
	)	
	)	
RESPONDENTS		

CONSENT AGREEMENT

THIS AGREEMENT is hereby entered into between the State of Mississippi, Secretary of State's Office, Securities and Charities Division ("Division"), by and through Jeffrey Lee, Senior Attorney, and Respondent COREY S. PRESLEY ("Presley"). The same do hereby enter into this Consent Agreement in resolution of the allegations of violations of the Mississippi Securities Act ("Act") and Securities Act Rules ("Rules") by the Respondent as set out in Section II:

I. JURISDICTION

The Division has the power to administer and regulate securities under the Act codified at Miss. Code Ann. Section 75-71-101, et.seq. Respondent admits to the jurisdiction of the Division as to all matters contained herein under the authority of the Act.

II. ALLEGATIONS

A. Presley is a state registered broker-dealer agent with CRD Number 5288638. Presley is employed by state registered broker-dealer and federally covered investment advisor Signator Investors, Inc., CRD Number 468.

B. Based on a consumer complaint, the Division initiated an investigation into the conduct of Presley. During the course of that investigation, the following violations of the Rules were discovered:

1. To secure sales of tax-deferred annuities, Presley advertised via a flyer in the Clarion-Ledger newspaper, leading subscribers to telephone a toll-free number. Upon calling the toll-free number, callers received from Presley a cover letter and an article which contained deceptive advertising in violation of Rules 523(19) and 623(F).

2. The deceptive advertising touted advantages of tax-deferred annuities over certain securities, particularly bank certificates of deposit, but contained no disclosures relating to the offeror or underwriter of the investment.

3. The advertising contained a chart comparing tax-deferred annuities to bank certificates of deposit, which indirectly offered investment advice without prominently disclosing the limitations or difficulties with respect to its use.

4. The deceptive advertising contained material omissions in that it did not disclose to potential investors the risk of loss or any other downside associated with the annuities in its comparison to bank certificates of deposit.

5. The deceptive advertising did not disclose to potential investors the consequences of terminating the annuity prior to the expiration of its surrender period.

6. Because of disclosure deficiencies and material omissions, the advertising circulated by Presley violates Rule 523(19) of the Act.

7. Because of disclosure deficiencies and material omissions, the advertising circulated by Presley does not comply with Rule 206(4)-1of the Rules of the Investment Advisors Act of 1940, thus constituting a violation of Rule 623(F) of the Act.

III. STIPULATIONS

NOW, THEREFORE, it is hereby agreed as follows:

C. Respondent admits to the jurisdiction of the Division as to all matters herein.

D. Respondent neither admits, nor denies that his conduct was in violation of the Act.

E. Respondent consents to the payment of Two Thousand Five Hundred Dollars ($2,500.00) to be paid upon the execution of this Consent Agreement. Payment shall be remitted to the "Mississippi Secretary of State" and must be received by the Division within thirty (30) days of the execution of this Agreement.

F. Respondent consents in the future to submit to his employer for approval, according to the employer's internal policy, any prospectus, pamphlet, circular, form letter, advertisement, sales literature, or other advertising record, prior to distributing the same to prospective investors, including clients or prospective clients.

G. This Consent Agreement contains the entire understanding between the parties. Furthermore, the parties herein represent that they have read each provision, understand each provision, and agree to each provision herein.

H. A willful failure to comply with any of the terms, conditions or obligations of this Agreement will result in Respondent being deemed to be in violation of a lawful order made pursuant to the Act and, therefore, subject to the penalties available under the Act.

I. Respondent is aware of his right to a hearing on those matters set forth in the Order, at which he may be represented by counsel, present evidence and cross-examine witnesses. Respondent hereby irrevocably waives his right to such a hearing.

J. The entry of this Agreement represents the settlement of regulatory claims by the Division against Respondent only and does not, in any way, restrict or affect the rights or causes of action, if any, of any individual or entity.

K. This Agreement pertains only to those matters set forth in the Order, referred to above, and only to Respondent's involvement therein.

K. Respondent acknowledges that he has read this Consent Agreement in its entirety and fully understands the rights, terms, and obligations contained therein.

IV. OTHER MATTERS

L. In the event that Presley fails to comply with the provisions of this Order, including but not limited to any matters specified or described in Section II of this Consent Order, the Division may, without notice to the Respondent, unilaterally rescind this Order and institute any legal or administrative proceedings it deems appropriate including, but not limited to, proceedings to address the matters set forth herein.

WHEREFORE, the undersigned hereby acknowledges and agrees to the terms and conditions of the foregoing Agreement by written consent.

THIS, the 7th day of July, 2011.

C. DELBERT HOSEMANN, JR.
Secretary of State

By: _____
JEFFREY LEE
Senior Attorney
Securities and Charities Division

COREY S. PRESLEY

_____
Date: 07-12-2011

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