

Mississippi Secretary of State

ADMINISTRATIVE PROCEDURES NOTICE FILING

AGENCY NAME Secretary of State		CONTACT PERSON Jessica Leigh Long	TELEPHONE NUMBER 601-359-1650	
ADDRESS 125 S. Congress Street		CITY Jackson	STATE MS	ZIP 39201
EMAIL Jessica.Long@sos.ms.gov	SUBMIT February 22, 2021	Name or number of rule(s): Part 14 Chapter 6: General Provisions, Rules 6.01-6.35		

Short explanation of rule/amendment/repeal and reason(s) for proposing rule/amendment/repeal: attached

Specific legal authority authorizing the promulgation of rule: attached

List all rules repealed, amended, or suspended by the proposed rule: attached

ORAL PROCEEDING:

☐ An oral proceeding is scheduled for this rule on Date: _____ Time: _____ Place: _____

☒ Presently, an oral proceeding is not scheduled on this rule.

If an oral proceeding is not scheduled, an oral proceeding must be held if a written request for an oral proceeding is submitted by a political subdivision, an agency or ten (10) or more persons. The written request should be submitted to the agency contact person at the above address within twenty (20) days after the filing of this notice of proposed rule adoption and should include the name, address, email address, and telephone number of the person(s) making the request; and, if you are an agent or attorney, the name, address, email address, and telephone number of the party or parties you represent. At any time within the twenty-five (25) day public comment period, written submissions including arguments, data, and views on the proposed rule/amendment/repeal may be submitted to the filing agency.

ECONOMIC IMPACT STATEMENT:

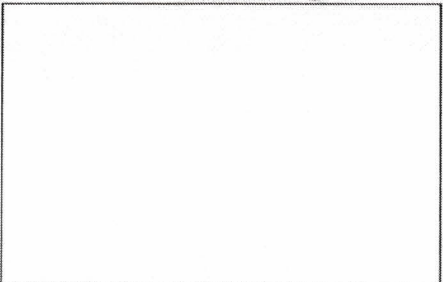
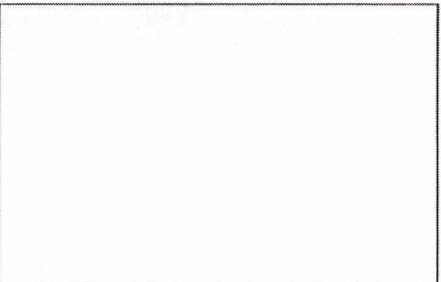
☒ Economic impact statement not required for this rule. ☐ Concise summary of economic impact statement attached.

TEMPORARY RULES	PROPOSED ACTION ON RULES	FINAL ACTION ON RULES
☒ Original filing ☐ Renewal of effectiveness To be in effect in _____ days Effective date: ☒ Immediately upon filing ☐ Other (specify): _____	Action proposed: ☐ New rule(s) ☐ Amendment to existing rule(s) ☐ Repeal of existing rule(s) ☐ Adoption by reference Proposed final effective date: ☐ 30 days after filing ☐ Other (specify): _____	Date Proposed Rule Filed: _____ Action taken: ☐ Adopted with no changes in text ☐ Adopted with changes ☐ Adopted by reference ☐ Withdrawn ☐ Repeal adopted as proposed Effective date: ☐ 30 days after filing ☐ Other (specify): _____

Printed name and Title of person authorized to file rules: Jessica Leigh Long, Assistant Secretary of State,

Securities Division

Signature of person authorized to file rules: _____

OFFICIAL FILING STAMP	DO NOT WRITE BELOW THIS LINE OFFICIAL FILING STAMP	OFFICIAL FILING STAMP
 Accepted for filing by <u>#25364</u>	 Accepted for filing by	 Accepted for filing by

The entire text of the Proposed Rule including the text of any rule being amended or changed is attached.

Attachment:

- (a) Short explanation of rule/amendment/repeal and reason(s) for proposing rule/amendment/repeal
- (b) Specific legal authority authorizing the promulgation of rule
- (c) List all rules repealed, amended, or suspended by the proposed rule

- Rule 6.01: (a) updating legal authority
(b) *Miss. Code Ann.* §§ 75-71-605(a)(2) (2020).
(c) Rule 6.01
- Rule 6.03: (a) updating legal authority
(b) *Miss. Code Ann.* §§ 75-71-406 (2020).
(c) Rule 6.03
- Rule 6.05: (a) updating legal authority
(b) *Miss. Code Ann.* §§ 75-71-403(a), 406 (2020).
(c) Rule 6.05
- Rule 6.07: (a) updating legal authority
(b) *Miss. Code Ann.* §§ 75-71-411(e) (2020).
(c) Rule 6.07
- Rule 6.09: (a) updating legal authority
(b) *Miss. Code Ann.* § 75-71-411(a) (2020).
(c) Rule 6.09
- Rule 6.11 (a) updating legal authority
(b) *Miss. Code Ann.* § 75-71-411(b) (2020).
(c) Rule 6.11
- Rule 6.13 (a) updating legal authority
(b) *Miss. Code Ann.* § 75-71-404(a)(d), 408(a), 412(e) (2020).
(c) Rule 6.13
- Rule 6.14 (a) adoption of model rule for IA continuing education requirement
(b) *Miss. Code Ann.* § 75-71-406, -411 (2020)
(c) Rule 6.14
- Rule 6.15 (a) updating legal authority
(b) *Miss. Code Ann.* § 75-71-405(a), 407(a) (2020).
(c) Rule 6.15
- Rule 6.17 (a) updating legal authority
(b) *Miss. Code Ann.* § 75-71-406(b) (2020).
(c) Rule 6.17
- Rule 6.19 (a) minor grammatical edits, updating legal authority
(b) *Miss. Code Ann.* § 75-71-411(c) (2020).
(c) Rule 6.19
- Rule 6.21 (a) updating legal authority
(b) *Miss. Code Ann.* § 75-71-411(c)(1), 411(f) (2020).
(c) Rule 6.21
- Rule 6.23 (a) rearranging of order, addition of cybersecurity, privacy policy
(b) *Miss. Code Ann.* § 75-71-411, 412(d)(9) (2020).
(c) Rule 6.23
- Rule 6.25 (a) adding full language of current advertising rule, minor grammatical edits, adding clarification for client password rule and for establishing policies and procedures, updating legal authority
(b) *Miss. Code Ann.* § 75-71-502(b) (2020).
(c) Rule 6.25
- Rule 6.27 (a) updating legal authority
(b) *Miss. Code Ann.* § 75-71-411(c) (2020).

- (c) Rule 6.27
- Rule 6.29
 - (a) update of legal authority
 - (b) *Miss. Code Ann.* § 75-71-203 (2020).
 - (c) Rule 6.29
- Rule 6.31
 - (a) updating legal authority
 - (b) *Miss. Code Ann.* § 75-71-102(16), 605 (2020).
 - (c) Rule 6.31
- Rule 6.35
 - (a) minor grammatical edits, updating legal authority
 - (b) *Miss. Code Ann.* § 75-71-411(f) (2020).
 - (c) Rule 6.35