

Mississippi Secretary of State

ADMINISTRATIVE PROCEDURES NOTICE FILING

AGENCY NAME Secretary of State – Securities Division		CONTACT PERSON Eric Slee	TELEPHONE NUMBER 601-359-1650	
ADDRESS 125 S. Congress St.		CITY Jackson	STATE MS	ZIP 39201
EMAIL Eric.Slee@sos.ms.gov	SUBMIT DATE 12/27/2023	Name or number of rule(s): Table of Contents, Rule 6.12 & Rule 6.13		

Short explanation of rule/amendment/repeal and reason(s) for proposing rule/amendment/repeal: The Exam Validity Extension Program (EVEP) provides an opportunity for registered representatives and investment adviser representatives to extend their state qualification exams. This program allows industry professionals to maintain their state qualification exams for up to five years if they maintain compliance with their continuing education requirements. The program is designed to provide flexibility for currently registered persons who, due to various reasons, may need to leave and then return to the industry. We are also updating the Mississippi Securities Act Rules Table of Contents to reflect the addition of Rule 6.12.

Specific legal authority authorizing the promulgation of rule: Mississippi Code Ann. § 75-71-605(a)(1)

List all rules repealed, amended, or suspended by the proposed rule: Rule 6.12 & Rule 6.13

ORAL PROCEEDING:

☐ An oral proceeding is scheduled for this rule on Date: _____ Time: _____ Place: _____

☒ Presently, an oral proceeding is not scheduled on this rule.

If an oral proceeding is not scheduled, an oral proceeding must be held if a written request for an oral proceeding is submitted by a political subdivision, an agency or ten (10) or more persons. The written request should be submitted to the agency contact person at the above address within twenty (20) days after the filing of this notice of proposed rule adoption and should include the name, address, email address, and telephone number of the person(s) making the request; and, if you are an agent or attorney, the name, address, email address, and telephone number of the party or parties you represent. At any time within the twenty-five (25) day public comment period, written submissions including arguments, data, and views on the proposed rule/amendment/repeal may be submitted to the filing agency.

ECONOMIC IMPACT STATEMENT:

☒ Economic impact statement not required for this rule. ☐ Concise summary of economic impact statement attached.

TEMPORARY RULES	PROPOSED ACTION ON RULES	FINAL ACTION ON RULES
_____ Original filing _____ Renewal of effectiveness To be in effect in _____ days Effective date: _____ Immediately upon filing _____ Other (specify): _____	Action proposed: _____ New rule(s) ☒ _____ Amendment to existing rule(s) _____ Repeal of existing rule(s) _____ Adoption by reference Proposed final effective date: _____ 30 days after filing _____ Other (specify): _____	Date Proposed Rule Filed: _____ Action taken: _____ Adopted with no changes in text _____ Adopted with changes _____ Adopted by reference _____ Withdrawn _____ Repeal adopted as proposed Effective date: _____ 30 days after filing _____ Other (specify): _____

Printed name and Title of person authorized to file rules: Eric S. Slee, Assistant Secretary of State

Signature of person authorized to file rules: *Eric S. Slee*

OFFICIAL FILING STAMP	DO NOT WRITE BELOW THIS LINE OFFICIAL FILING STAMP	OFFICIAL FILING STAMP
Accepted for filing by	 Accepted for filing by <u>27307 1384</u>	Accepted for filing by

The entire text of the Proposed Rule including the text of any rule being amended or changed is attached.