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Mississippi Adopts New Rule Requiring Investment Adviser Representative Continuing Education

JACKSON – The Secretary of State announces a new rule requiring continuing education for investment adviser representatives. Both state-registered and federal covered investment adviser representatives must complete annual continuing education (CE) requirements for calendar year 2022. Mississippi Securities Act Rule 6.14 has been adopted and requires every investment adviser representative to annually complete 12 CE credits to maintain their registration. IARs must complete courses delivered by course providers that meet specific criteria established by the North American Securities Administrators Association (NASAA). This information can be found on NASAA’s website, You may also view a complete copy of the Mississippi Securities Act Rules by clicking HERE.