

# **State of Mississippi Administrative Rules**

## **UCC-9 and Central Filing System Secured Transactions**

**Division of Business Services**

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**Mississippi Administrative Rules  
UCC-9 and Central Filing System Secured Transactions  
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# Mississippi Administrative Rules

## UCC-9 and Central Filing System Secured Transactions

### SECTION 1 -- GENERAL PROVISIONS

#### **100** Policy Statement

The administration of Article 9 - Secured Transactions of the Uniform Commercial Code (UCC) has an important impact on the economy and upon the rights of the public in the State of Mississippi and in the United States. The volume of international, interstate and multi-state transactions pursuant to the UCC requires that the administration of the UCC be conducted in a manner that promotes both local and multi-jurisdictional commerce by striving for uniformity in policies and procedures among the various states.

Pursuant to Revised Article 9 of the UCC Mississippi Code §§ 75-9-101 et.seq. as enacted by the Mississippi Legislature in the 2001 Session, [2001 MS S.B. 2626] effective January 1, 2002, and as specifically provided in Mississippi Code § 75-9-526, the interpretation and implementation of the filing office's duties and responsibilities shall be expressed in a written set of administrative rules, which the public shall have a voice in creating. Such rules have the following purposes:

- 100.1** To simplify and improve the administration of the UCC by promoting uniform UCC filing procedures in the State of Mississippi and in the nation;
- 100.2** To simplify the public's ability to discover and understand the UCC filing procedures of the various states by establishing a uniform framework for describing the procedures;
- 100.3** To increase public access to information;
- 100.4** To increase public participation in the formulation of administrative policy and procedures; and
- 100.5** To increase public accountability of the filing officer.

#### **101** Definitions

The following terms shall have the respective meanings provided in these rules. Terms not defined in these rules, which are defined in the UCC, shall have the respective meanings accorded such terms in the UCC. Notwithstanding any of the preceding, the definitions applicable to section 604 of these rules shall follow the terms defined in § 1324 of the Food Security Act of 1985, P.L. 99-198, and shall mean the same except as otherwise specified.

- 101.1** "Actual Delivery" means the act of handing over the product or farm product to the intended recipient or agent therefore.
- 101.2** "Amendment" means a UCC document that purports to amend the information contained in a financing statement. Amendments include amendments, assignments, continuations, and terminations.

- 101.3** "Assignment" is an amendment that purports to reflect an assignment of all or a part of a secured party's power to authorize an amendment to a financing statement.
- 101.4** "Buyer in the ordinary course of business" means a person or entity who buys goods, including farm products, in good faith, without knowledge that the sale violates the rights of another person in the goods, and in the ordinary course of business from a person or entity in the business of selling goods of that kind. This definition also incorporates "buyer in the ordinary course of business" as defined in Mississippi Code § 75-1-201(9) and shall not be in conflict with the Food Security Act of 1985, 7 U.S.C. § 1631.
- 101.5** "Central Filing System" means a system for filing effective financing statements or notice of such financing statements on a statewide basis which has been certified by the Secretary of the United States Department of Agriculture.
- 101.6** "Continuation" means an amendment that purports to continue the effectiveness of a financing statement.
- 101.7** "Correction statement" means a UCC document that purports to indicate that a financing statement is inaccurate or wrongfully filed.
- 101.8** "Effective financing statement" means a statement that is an original or reproduced copy thereof, filed in accordance with the "Uniform Commercial Code - Secured Transactions" applicable in the State of Mississippi at the time of filing **OR** as in the case of farm product filings, a statement which complies with Section 1324 of the Food Security Act of 1985, P.L. 99-108 that is an original or reproduced copy of the statement containing the name and address of the secured party; the name and address of the person indebted to the secured party; the social security number (or in the case of a debtor doing business other than as an individual, the Internal Revenue Service taxpayer identification number); a description of the farm products subject to the security interest created by the debtor, including the amount of such products where applicable; and a reasonable description of the property, including the county in which the property is located; signed by both the debtor(s) and the secured party(ies) if submitted non-electronically, or when and if the Mississippi Secretary of State accepts electronic filing of UCC statements an electronically reproduced copy of a statement without the debtor's signature.
- 101.9** "EFS" means an effective financing statement.
- 101.10** "Farm Product" means an agricultural commodity such as, but not limited to, cotton, wheat, corn, soybeans; a species of livestock such as, but not limited to, cattle, hogs, emu, sheep, horses or poultry included, used, or produced in farming operations; aquatic goods produced in aquacultural operations; or a product of such crop. "Farm Product" includes agricultural based commodities used or produced in farming operations, aquacultural products, or livestock in its unmanufactured state (such as ginned cotton, wool clip, sorghum, milk, and eggs) that is in possession of a person engaged in farming operations.
- 101.11** "File number" means the unique identifying information assigned to an initial financing statement by the filing officer for the purpose of identifying the financing statement and UCC documents relating to the financing statement in the filing officer's information management system. The file number bears no relation to the time of filing and is not an indicator of priority.

- 101.12** "Filing office" and "filing officer" means the Mississippi Secretary of State, Division of Business Services.
- 101.13** "Financing statement" means a record or records composed of an initial financing statement and any filed record(s) relating to the initial financing statement.
- 101.14** "Individual" means a human being, or a decedent in the case of a debtor that is such decedent's estate.
- 101.15** "Initial financing statement" means a UCC document that does not identify itself as an amendment or identify an initial financing statement to which it relates, as required by Mississippi Code §§ 75- 9-512, 75-9-514 or 75-9-518.
- 101.16** "Knows" or "knowledge" means that a person has actual knowledge of a fact.
- 101.17** "Master list" means the accumulation of data in paper, electronic, or other form, organized according to Farm Products; and arranged within such product alphabetically, numerically by tax ID, geographically by county, and crop year.
- 101.18** "Organization" means a legal person who is not an individual under Rule 101.14.
- 101.19** "Person" means any individual, partnership, corporation, trust, or any other business entity.
- 101.20** "Portion" means portion of the master list distributed to registrants regularly that cover the farm products in which such registrant has registered an interest.
- 101.21** "Receipt" means three (3) days following the date of mailing or the date of actual delivery to the intended recipient, whichever occurs first.
- 101.22** "Registrant" means any buyer of farm products, selling agent, or commission merchant registered with the Central Filing System.
- 101.23** "Remitter" means a person who tenders a UCC document to the filing officer for filing, whether the person is a filer or an agent of a filer responsible for tendering the document for filing. "Remitter" does not include a person responsible merely for the delivery of the document to the filing office, such as the postal service or a courier service but does include a service provider who acts as a filer's representative in the filing process.
- 101.24** "Secretary" unless identified to the contrary, means Secretary of State of the State of Mississippi.
- 101.25** "Security interest" means an interest in personal property including fixtures, as extracted collateral, timber to be cut, or Farm Products which secures payment or performance of an obligation.

**101.26** "Secured party of record" means, with respect to a financing statement, a person whose name is provided as the name of a secured party or a representative of the secured party in an initial financing statement that has been filed. If an initial financing statement is filed under Mississippi Code § 75-9-514(a), the assignee named in the initial financing statement is the secured party of record with respect to the financing statement. If an amendment of a financing statement which provides the name of a person as a secured party or a representative of a secured party is filed, the person named in the amendment is a secured party of record. If an amendment is filed under Mississippi Code § 75-9-514(b), the assignee named in the amendment is a secured party of record. A person remains a secured party of record until the filing of an amendment of the financing statement which deletes the person.

**101.27** "System operator" means the Secretary of State.

**101.28** "Termination" means an amendment intended to indicate that the related financing statement has ceased to be effective with respect to the secured party authorizing the termination.

**101.29** "UCC" means the Uniform Commercial Code as adopted in Mississippi.

**101.30** "UCC document" means an initial financing statement, an amendment, an assignment, a continuation, a termination or a correction statement. The word "document" in the term "UCC document" shall not be deemed to refer exclusively to paper or paper-based writings; it being understood that UCC documents may be expressed or transmitted electronically or through media other than such writings. (Note: This definition is used for the purpose of these rules only. The use of the term "UCC document" in these rules has no relation to the definition of the term "document" in Mississippi Code § 75-9-102(a)(30)).

## **102 Singular and Plural Forms**

Singular nouns shall include the plural form, and plural nouns shall include the singular form, unless the context otherwise requires.

## **103 Place to File**

The filing office is the office for filing UCC documents relating to all types of collateral except for timber to be cut, as-extracted collateral (Mississippi Code § 75-9-102(a)(6)) and, when the relevant financing statement is filed as a fixture filing, goods which are or are to become fixtures. Regardless of the nature of the collateral, the Mississippi Secretary of State, Division of Business Services is the office for filing all UCC documents where the debtor is a transmitting utility.

**104 Filing Office Identification**

In addition to the promulgation of these rules, the Mississippi Secretary of State will disseminate information of its location, mailing address, telephone and fax numbers, and its Internet and other electronic "addresses" through usual and customary means.

**105 Office Hours**

Although the filing office maintains regular office hours, it receives transmissions by telefacsimile 24 hours per day, 365 days per year, except for scheduled maintenance and unscheduled interruptions of service. Faxed communications may be retrieved and processed periodically (but no less often than once each day the filing office is open for business) on a batch basis.

**106 UCC Document Delivery**

UCC documents may be tendered for filing at the filing office as follows:

**106.1 Personal Delivery at the Filing Office's Street Address**

The filing time for a UCC document delivered by this method is when delivery of the UCC document is accepted by the filing office (even though the UCC document may not yet have been accepted for filing and subsequently may be rejected).

**106.2 Express Mail and Courier Delivery at the Filing Office's Street Address**

UCC documents delivered by this method, notwithstanding the time of delivery, received prior to 12:00 p.m. of a business day will receive a filing time of 12:00 p.m., even though the UCC document may not yet have been accepted for filing and may be subsequently rejected. Courier delivery of UCC documents after 12:00 p.m. on a business day, and before the close of business, will receive a filing time of 5:00 p.m., even though the UCC document may not yet have been accepted for filing and may be subsequently rejected.

**106.3 Standard Postal Service Delivery to the Filing Office's Mailing Address**

UCC documents delivered by this method will receive a filing time of 8:00 a.m. on the day of delivery if the filing office is open for business on the delivery day, even though the UCC document may not yet have been accepted for filing and may be subsequently rejected. A UCC document delivered after regular business hours or on a day the filing office is not open for business will have a filing time of 8:00 a.m. on the next day the filing office is open for business.

**107 Search Request Delivery**

UCC search requests may be delivered to the filing office by any of the means by which UCC documents may be delivered to the filing office. Requirements concerning search requests are set forth in Rule 501. UCC search requests upon a debtor named on an initial financing statement may be made by an appropriate indication on the face of the UCC-1 form if the form is entitled to be filed with the standard form fee and the relevant search fee is also tendered with the initial financing statement.

**108 Approved Forms**

Forms for UCC documents that conform to the requirements of this rule will be acceptable by the filing office.

**108.1 Statutory Forms**

The forms described by reference in Mississippi Code § 75-9-521 will be acceptable.

**108.2 Farm Product Filing Forms**

In the case of a Farm Product filing, the Mississippi Secretary of State has adopted specific forms for initial filing and continuation which meets the required guidelines under the Food Security Act of 1985. These forms are included in "Appendix A."

**108.3 Secretary of State-Approved Forms**

A form for the relevant UCC document approved by the office of the Secretary of State will be acceptable. Copies of all such forms then approved shall be distributed with these rules when they are distributed by the filing office. The filing office shall cause copies of such forms to be made available to prospective filers and remitters upon request. A copy of these forms are included in "Appendix A."

**109 Form--UCC Search**

A form that meets the requirements regarding dimensions and location of information on the search form approved by the Mississippi Office of the Secretary of State will be acceptable.

**110 Reserved**

**111 Filing Fees-Not Including Farm Commodity Filings Covered in Section 604**

**111.1** Filing fees are assessed pursuant to Mississippi Code § 75-9-525.

**111.2** Until December 31, 2007, filing fees are as follows:

**111.2.1** The fee for filing and indexing a record, other than an initial financing statement of the kind described in 111.2.2 is the amount specified in subsection 111.2.3, if applicable, plus:

- 111.2.1.1** Ten Dollars (\$10.00) if the record is communicated in writing and is in the standard form prescribed by Mississippi Code § 75-9-521 or by the Secretary of State;
  - 111.2.1.2** Thirteen Dollars (\$13.00) if the record is communicated in writing and is not in the standard form prescribed by Mississippi Code § 75-9-521 or by the Secretary of State; and
  - 111.2.1.3** Eight Dollars (\$8.00) if the record is communicated by another medium authorized by filing-office rule.
- 111.2.2** The fee for filing and indexing an initial financing statement of the following kind is the amount specified in subsection 111.2.3, if applicable, plus:
- 111.2.2.1** Thirteen Dollars (\$13.00) if the financing statement indicates that it is filed in connection with a public-finance transaction;
  - 111.2.2.2** Ten Dollars (\$10.00) if the financing statement indicates that it is filed in connection with a manufactured-home transaction.
  - 111.2.2.3** If a record is communicated in writing, the fee for each additional debtor name more than one (1) required to be indexed is Four Dollars (\$4.00).
- 111.2.3** The fee for responding to a request for information from the filing office, including for issuing a certificate showing whether there is on file any financing statement naming a particular debtor, is:
- 111.2.3.1** Five Dollars (\$5.00) if the request is communicated in writing on the standard form prescribed by the Secretary of State;
  - 111.2.3.2** Ten Dollars (\$10.00) if the request is communicated in writing and is not in the standard form prescribed by the Secretary of State;
  - 111.2.3.3** Three Dollars (\$3.00) if the request is communicated by another medium authorized by filing-office rule; and
  - 111.2.3.4** An additional fee of Two Dollars (\$2.00) shall be paid by the requesting party for each financing statement listed on the filing officer's certificate, the aggregate of which shall be billed to the requesting party at the time the filing officer's certificate is issued.

**111.3** From and after December 31, 2007, filing fees are as follows:

- 111.3.1** The fee for filing and indexing a record under, other than an initial financing statement of the kind described in 111.3.2 is the amount specified in subsection 111.3.3, if applicable, plus:

- 111.3.1.1** Five Dollars (\$5.00) if the record is communicated in writing and is in the standard form prescribed by Mississippi Code § 75-9-521 or by the Secretary of State;
  - 111.3.1.2** Eight Dollars (\$8.00) if the record is communicated in writing and is not in the standard form prescribed by Mississippi Code § 75-9-521 or by the Secretary of State; and
  - 111.3.1.3** Three Dollars (\$3.00) if the record is communicated by another medium authorized by filing-office rule.
- 111.3.2** The fee for filing and indexing an initial financing statement of the following kind is the amount in subsection 111.3.3, if applicable, plus:
- 111.3.2.1** Eight Dollars (\$8.00) if the financing statement indicates that it is filed in connection with a public-finance transaction;
  - 111.3.2.2** Five Dollars (\$5.00) if the financing statement indicates that it is filed in connection with a manufactured-home transaction.
- 111.3.3** If the record is communicated in writing, the fee for each additional debtor name more than one (1) required to be indexed is Four Dollars (\$4.00).
- 111.3.4** The fee for responding to a request for information from the filing office, including for issuing a certificate showing whether there is on file any financing statement naming a particular debtor, is:
- 111.3.4.1** Five Dollars (\$5.00) if the request is communicated in writing on the standard form prescribed by the Secretary of State;
  - 111.3.4.2** Ten Dollars (\$10.00) if the request is communicated in writing and is not in the standard form prescribed by the Secretary of State;
  - 111.3.4.3** Three Dollars (\$3.00) if the request is communicated by another medium authorized by filing-office rule; and
  - 111.3.4.4** An additional fee of Two Dollars (\$2.00) shall be paid by the requesting party for each financing statement listed on the filing officer's certificate, the aggregate of which shall be billed to the requesting party at the time the filing officer's certificate is issued.

## **112 Expedited Services**

Expedited services are not provided.

## **113 Methods of Payment**

Filing fees for UCC9 and Central Filing System transactions must be submitted only for that transaction. For example: fees for filing a UCC document cannot be combined with other corporate service fees of the Secretary of State and tendered at the same time. Filing fees and fees for public records services may be paid by the following methods:

### **113.1 Cash**

The filing officer discourages cash payment unless made in person to the cashier at the filing office.

### **113.2 Checks**

Checks made payable to the filing office will be accepted for payment if drawn on a bank acceptable to the filing office.

### **113.3 Electronic Funds Transfer**

The filing office will accept payment via electronic funds transfer under National Automated Clearing House Association ("NACHA") rules from remitters who have entered into appropriate NACHA-approved arrangements for such transfers and who authorize the relevant transfer pursuant to such arrangements and rules.

### **113.4 Debit Cards**

The filing office may elect to accept payment by debit cards in accordance with Mississippi Code and as technology permits.

### **113.5 Credit Card**

The filing office may elect to accept payments using credit cards in accordance with Mississippi Code and as technology permits.

## **114 Overpayment and Underpayment/Rejected Filing Refund Policies**

### **114.1 Overpayment**

An overpayment will be refunded to the filing party. The filing officer shall generate refund payments automatically on a periodic basis, not less than quarterly. Overpayments will not be used in any manner for "credit" on future filings, as all filings must have payment attached.

### **114.2 Rejected Filings**

Upon receipt of a document which is subsequently rejected, the filing officer shall return either the original or an electronic copy of the original to the filing party as provided in Rule 205 and the payment for that filing may be sent as a refund pursuant to Rule 114.1 under separate cover. A filing fee submitted at the time of a filing a UCC document which is subsequently rejected by the filing officer will not be used in any manner for "credit" on future filings. Filers resubmitting documents which have previously been rejected shall remit filing fees with the resubmitted documents as if it were a new filing.

## **115 Public Records Services**

Public records services are provided on a non-discriminatory basis to any member of the public on the terms described in these rules. The following methods are available for obtaining copies of UCC documents and copies of data from the UCC information management system.

### **115.1 Individually Identified Documents**

Copies of individually identified UCC documents are available in paper.

### **115.2 Bulk Copies of Documents**

Bulk copies of UCC documents are available in paper or by other means should the technology exist in the filing office to provide copies in other formats

### **115.3 Data from the Information Management System**

A list of available data elements from the UCC information management system, and the file layout of the data elements, is available from the filing officer upon request. Data from the information management system is available as follows:

#### **115.3.1 Full Extract**

A bulk data extract of information from the UCC information management system is available on a regular basis.

#### **115.3.2 Format**

Extracts from the UCC information management system are available in formats as prescribed by the Mississippi Secretary of State.

## **116 New Practices And Technologies**

The filing officer is authorized to adopt practices and procedures to accomplish receipt, processing, maintenance, retrieval and transmission of, and remote access to, Article 9 filing data by means of electronic, voice, optical and/or other technologies, and, without limiting the foregoing, to maintain and operate, in addition to or in lieu of a paper-based system, a non-paper-based Article 9 filing system utilizing any of such technologies. In developing and utilizing technologies and practices, the filing officer shall, to the greatest extent feasible, take into account compatibility and consistency with, and whenever possible be uniform with, technologies, practices, policies and regulations adopted in connection with secured transaction filing systems in other states.

## **SECTION 2 -- ACCEPTANCE AND REFUSAL OF DOCUMENTS**

### **200 Policy Statement**

The duties and responsibilities of the Mississippi Secretary of State with respect to the administration of the UCC are ministerial. In accepting for filing or refusing to file a UCC document pursuant to these rules, the filing officer does none of the following:

**200.1** Determine the legal sufficiency or insufficiency of a document.

**200.2** Determine that a security interest in collateral exists or does not exist.

**200.3** Determine that information in the document is correct or incorrect, in whole or in part.

**200.4** Create a presumption that information in the document is correct or incorrect, in whole or in part.

### **201 Duty to File**

Provided that there is no ground to refuse acceptance of the document under Rule 202, a UCC document is filed upon its receipt by the filing officer with the filing fee and the filing officer shall promptly assign a file number to the UCC document and index it in the information management system.

### **202 Grounds for Refusal of a UCC Document**

The following grounds are the sole grounds for the filing officer's refusal to accept a UCC document for filing. As used herein, the term "legible" is not limited to refer only to written expressions on paper. "Legibility" may refer also to a machine-readable transmission for electronic transmissions and an otherwise readily decipherable transmission.

#### **202.1 Debtor Name and Address**

An initial financing statement or an amendment that purports to add a debtor shall be refused if the document fails to include a legible debtor name and address for a debtor, in the case of an initial financing statement, or for the debtor purporting to be added in the case of such an amendment. If the document contains more than one debtor name or address and some names or addresses are missing or illegible, the filing officer shall index the legible name and address pairings, and provide a notice to the remitter containing the file number of the document, identification of the debtor name(s) that was (were) indexed, and a statement that debtors with illegible or missing names or addresses were not indexed.

## **202.2 Additional Debtor Identification**

An initial financing statement or an amendment adding one or more debtors shall be refused if the document fails to identify whether each named debtor (or each added debtor in the case of such an amendment) is an individual or an organization, if the last name of each individual debtor is not identified, or if, for each debtor identified as an organization, the document does not include in legible form the organization's type, state of organization and organization number (if it has one) or a statement that it does not have one.

## **202.3 Secured Party Name and Address**

An initial financing statement, an amendment purporting to add a secured party of record, or an assignment, shall be refused if the document fails to include a legible secured party (or assignee in the case of an assignment) name and address. If the document contains more than one secured party (or assignee) name or address and some names or addresses are missing or illegible, the filing officer shall refuse the UCC document.

## **202.4 Lack of Identification of Initial Financing Statement**

A UCC document other than an initial financing statement shall be refused if the document does not provide a file number of a financing statement in the UCC information management system that has not lapsed.

## **202.5 Identifying Information**

A UCC document that does not identify itself as an amendment or identify an initial financing statement to which it relates, as required by Mississippi Code §§ 75-9-512, 75-9-514 or 75-9-518, is an initial financing statement.

## **202.6 Timeliness of Continuation**

A continuation shall be refused if it is not received during the six-month period concluding on the day upon which the related financing statement would lapse.

### **202.6.1 First Day Permitted**

The first day on which a continuation may be filed is the date of the month corresponding to the date upon which the financing statement would lapse, six months preceding the month in which the financing statement would lapse. If there is no such corresponding date during the sixth month preceding the month in which the financing statement would lapse, the first day on which a continuation may be filed is the last day of the sixth month preceding the month in which the financing statement would lapse, although filing by certain means may not be possible on such date if the filing office is not open on such date.

### **202.6.2 Last Day Permitted**

The last day on which a continuation may be filed is the day immediately preceding the lapse date. [ Note: A filing on the date the filing statement lapses is one day late as Mississippi Code § 75-9-515 requires the continuation to be filed within the 6 months before the expiration of the 5 year/30 year period. Lapse date is defined in Rule 404.]

### **202.7 Fee**

A document shall be refused if the document is accompanied by less than the full filing fee tendered by a method described in Rule 113.

### **202.8 Means of Communication**

UCC documents communicated to the filing office by a means of communication not authorized by the filing officer for the communication of UCC documents shall be refused.

## **203 Grounds Not Warranting Refusal**

The sole grounds for the filing officer's refusal to accept a UCC document for filing are enumerated in Rule 202. The following are examples of defects that do not constitute grounds for refusal to accept a document. They are not a comprehensive enumeration of defects outside the scope of permitted grounds for refusal to accept a UCC document for filing.

### **203.1 Errors**

The UCC document contains or appears to contain a misspelling or other apparently erroneous information.

### **203.2 Incorrect Names**

**203.2.1** The UCC document appears to identify a debtor incorrectly.

**203.2.2** The UCC document appears to identify a secured party or a secured party of record incorrectly.

### **203.3 Extraneous Information**

The UCC document contains additional or extraneous information of any kind.

### **203.4 Insufficient Information**

The UCC document contains less than the information required by Article 9 of the UCC, provided that the document contains the information required in Rule 202.1 through 202.5.

### **203.5 Collateral Description**

The UCC document incorrectly identifies collateral, or contains an illegible or unintelligible description of collateral, or appears to contain no such description.

### **203.6 Excessive Fee**

The document is accompanied by funds in excess of the full filing fee.

### **204 Time Limit**

The filing officer, under normal business conditions, shall determine whether criteria exist to refuse acceptance of a UCC document for filing not later than the second business day after the date the document would have been filed had it been accepted for filing and shall index a UCC document not so refused within the same time period. Any delay of this time limitation will be controlled pursuant to Mississippi Code § 75-9-524.

### **205 Procedure Upon Refusal**

If the filing officer finds grounds under Rule 202 to refuse acceptance of a UCC document, the filing officer shall return either the document or an electronically scanned copy of the document, if written, to the remitter and will refund the filing fee pursuant to Rule 114.2. The filing office shall send a notice that contains the date and time the document would have been filed had it been accepted for filing (unless such date and time are stamped on the document), and a brief description of the reason for refusal to accept the document under Rule 202. The notice shall be sent to a secured party or the remitter as provided in Rule 401.3.2 no later than the time set forth in Rule 204 .

### **206 Acknowledgment**

After acceptance and entry of a UCC document in the Secretary of State's database, the filing officer shall send to said filer or remitter an image of the record of the UCC document showing the file number assigned to it and the date and time of filing. Filers are urged to review the acknowledgment for errors. Correction of errors will be pursuant to Rules 405 or 406.

### **207 Other Notices**

Nothing in these rules prevents a filing officer from communicating to a filer or a remitter that the filing officer noticed apparent potential defects in a UCC document, whether or not it was filed or refused for filing. However, the filing office is under no obligation to do so and may not, in fact, have the resources to do so or to identify such defects. **THE RESPONSIBILITY FOR THE LEGAL EFFECTIVENESS OF FILING RESTS WITH FILERS AND REMITTERS AND THE FILING OFFICE BEARS NO RESPONSIBILITY FOR SUCH EFFECTIVENESS.**

## **208 Refusal Errors**

If a secured party or a remitter demonstrates to the satisfaction of the filing officer that a UCC document that was refused for filing should not have been refused under Rule 202, the filing officer will file the UCC document as provided in these rules with a filing date and time assigned when such filing occurs. The filing officer will also file a statement (and such demonstration of error shall constitute the secured party's authorization to do so) that states that the effective date and time of filing is the date and time the UCC document was originally tendered for filing, and sets forth such date and time.

## **209 Use of Social Security Numbers on Filing Forms**

**209.1** Other than the filings intended to comply with the Food Security Act filed pursuant to Rule 604, Social Security numbers are not a filing requirement in Mississippi. This may be a requirement in other jurisdictions, and thus is included as a field on the national form. The filing office does not have capabilities to enter or search by Social Security number and filers are advised **NOT** to use this field. The use of Mississippi forms UCC1 and UCC3 are encouraged to prevent accidental dissemination of confidential information.

**209.2** In order to protect confidential information not needed for an effective financing statement, the Mississippi Secretary of State may, as technology permits, redact Social Security information provided by the filer on a National UCC financing statement and related filings.

## **SECTION 3 -- UCC INFORMATION MANAGEMENT SYSTEM**

### **300 Policy Statement**

The filing officer uses an information management system to store, index, and retrieve information relating to financing statements. The information management system includes an index of the names of debtors named on financing statements which have not lapsed. The rules in this section describe the UCC information management system.

### **301 Primary Data Elements**

The primary data elements used in the UCC information management system are the following:

#### **301.1 Identification Numbers**

**301.1.1** Each initial financing statement is identified by its file number as described in Rule 101.11. Identification of the initial financing statement is stamped on written UCC documents or otherwise permanently associated with the record maintained for UCC documents in the UCC information management system. A record is created in the information management system for each initial financing statement and all information comprising such record is maintained in such system. Such record is identified by the same file number assigned to the initial financing statement.

**301.1.2** A UCC document other than an initial financing statement is identified by a unique file number assigned by the filing officer. In the information management system, records of all UCC documents other than initial financing statements are linked to the record of their related initial financing statement.

#### **301.2 Type of Document**

The type of UCC document from which data is transferred is identified in the information management system from information supplied by the remitter.

#### **301.3 Filing Date and Filing Time**

The filing date and filing time of UCC documents are stored in the information management system. Calculation of the lapse date of an initial financing statement is based upon the filing date.

#### **301.4 Identification of Parties**

The names and addresses of debtors and secured parties are transferred from UCC documents to the UCC information management system using one or more data entry or transmittal techniques.

### **301.5 Status of Financing Statement**

In the information management system, each financing statement has a status of active or inactive.

### **301.6 Page Count**

The total number of pages in a UCC document is maintained in the information management system.

### **301.7 Lapse Indicator**

An indicator is maintained by which the information management system identifies whether or not a financing statement will lapse and, if it does, when it will lapse. The lapse date is determined as provided in Rule 404.

## **302 Names of Debtors Who are Individuals**

For the purpose of this rule, "individual" means a human being, or a decedent in the case of a debtor that is such decedent's estate. This rule applies to the name of a debtor or a secured party on a UCC document who is an individual.

### **302.1 Individual Name Fields**

The names of individuals are stored in files that include only the names of individuals, and not the names of organizations. Separate data entry fields are established for first (given), middle (given), and last names (surnames or family names) of individuals. A filer should place the name of a debtor with a single name (e.g., "Cher") in the last name field. The filing officer assumes no responsibility for the accurate designation of the components of a name but will accurately enter the data in accordance with the filer's designations.

### **302.2 Titles and Prefixes Before Names**

Titles and prefixes, such as "doctor," "reverend," "Mr.," and "Ms.," should not be entered in the UCC information management system. However, as provided in Rule 407, when a UCC document is submitted with designated name fields, the data will be entered in the UCC information management system exactly as it appears.

### **302.3 Titles and Suffixes After Names**

Titles or indications of status such as "M.D." and "esquire" are not part of an individual's name and should not be provided by filers in UCC documents. Suffixes that indicate which individual is being named, such as "senior," "junior," "I," "II," and "III," are appropriate. In either case, as provided in Rule 407, they will be entered into the information management system exactly as received.

### **302.4 Truncation - Individual Names**

Personal name fields in the UCC database are fixed in length. Although filers should continue to provide full names on their UCC documents, a name that exceeds the fixed length is entered as presented to the filing officer, up to the maximum length of the data entry field.

### **303 Names of Debtors That are Organizations**

This rule applies to the name of an organization who is a debtor or a secured party on a UCC document.

#### **303.1 Single Field**

The names of organizations are stored in files that include only the names of organizations and not the names of individuals. A single field is used to store an organization name.

#### **303.2 Truncation -Organization Names**

The organization name field in the UCC database is fixed in length. Although filers should continue to provide full names on their UCC documents, a name that exceeds the fixed length is entered as presented to the filing officer, up to the maximum length of the data entry field.

### **304 Estates**

Although they are not human beings, estates are treated as if the decedent were the debtor under Rule 302.

### **305 Trusts**

If the trust is named in its organic document(s), its full legal name, as set forth in such document(s), is used. Such trusts are treated as organizations. If the trust is not so named, the name of the settlor is used. If a settlor is indicated to be an organization, the name is treated as an organization name. If the settlor is an individual, the name is treated as an individual name. A UCC document that uses a settlor's name should include other information provided by the filer to distinguish the debtor trust from other trusts having the same settlor and all financing statements filed against trusts or trustees acting with respect to property held in trust should indicate the nature of the debtor. If this is done in, or as part of, the name of the debtor, it will be entered as if it were a part of the name under Rules 407 and 408.

### **306 Initial Financing Statement**

Upon the filing of an initial financing statement the status of the parties and the status of the financing statement shall be as follows:

### **306.1 Status of Secured Party**

Each secured party named on an initial financing statement shall be a secured party of record, except that if the UCC document names an assignee, the secured party/assignor shall not be a secured party of record and the secured party/assignee shall be a secured party of record.

### **306.2 Status of Debtor**

The status of a debtor named on the document shall be active and shall continue as active until one year after the financing statement lapses.

### **306.3 Status of Financing Statement**

The status of the financing statement shall be active. A lapse date shall be calculated, five years from the filing date, unless the initial financing statement indicates that it is filed with respect to a public-financing transaction or a manufactured-home transaction, in which case the lapse date shall be thirty years from the filing date, or if the initial financing statement indicates that it is filed against a transmitting utility, in which case there shall be no lapse date. A financing statement remains active until one year after it lapses, or if it is indicated to be filed against a transmitting utility, until one year after it is terminated with respect to all secured parties of record.

## **307 Amendment**

### **307.1 Status of Secured Party and Debtor**

An amendment shall affect the status of its debtor(s) and secured party(ies) as follows:

#### **307.1.1 Collateral Amendment or Address Change**

An amendment that amends only the collateral description or one or more addresses has no effect upon the status of any debtor or secured party. If a statement of amendment is authorized by less than all of the secured parties (or, in the case of an amendment that adds collateral, less than all of the debtors), the statement affects only the interests of each authorizing secured party (or debtor).

#### **307.1.2 Debtor Name Change**

An amendment that changes a debtor's name has no effect on the status of any debtor or secured party, except that the related initial financing statement and all UCC documents that include an identification of such initial financing statement shall be cross-indexed in the UCC information management system so that a search under either the debtor's old name or the debtor's new name will reveal such initial financing statement and such related UCC documents. Such a statement of amendment affects only the rights of the secured party(ies)'s authorizing the filing of that amendment.

**307.1.3 Secured Party Name Change**

An amendment that changes the name of a secured party has no effect on the status of any debtor or any secured party, but the new name is added to the index as a secured party of record.

**307.1.4 Addition of a Debtor**

An amendment that adds a new debtor name has no effect upon the status of any party to the financing statement, except the new debtor name shall be added as a debtor on the financing statement.

**307.1.5 Addition of a Secured Party**

An amendment that adds a new secured party shall not affect the status of any party to the financing statement, except that the new secured party name shall be added as a new secured party on the financing statement.

**307.1.6 Deletion of a Debtor**

An amendment that deletes a debtor has no effect on the status of any party to the financing statement, even if the amendment purports to delete all debtors.

**307.1.7 Deletion of a Secured Party**

An amendment that deletes a secured party of record has no effect on the status of any party to the financing statement, even if the amendment purports to delete all secured parties of record.

**307.2 Status of Financing Statement**

An amendment shall have no effect upon the status of the financing statement, except that a continuation may extend the period of effectiveness of a financing statement.

**308 Assignment of Powers of Secured Party of Record**

**308.1 Status of the Parties**

An assignment shall have no effect on the status of the parties to the financing statement, except that each assignee named in the assignment shall become a secured party of record.

**308.2 Status of the Financing Statement**

An assignment shall have no effect upon the status of the financing statement.

## **309 Continuation**

### **309.1 Continuation of Lapse Date**

Upon the timely filing of one or more continuations by any secured party(ies) of record, the lapse date of the financing statement shall be postponed for five years.

### **309.2 Status of Parties**

The filing of a continuation shall have no effect upon the status of any party to the financing statement.

### **309.3 Status of Financing Statement**

Upon the filing of a continuation statement, the status of the financing statement remains active.

## **310 Termination**

### **310.1 Status of Parties**

The filing of a termination shall have no effect upon the status of any party to the financing statement.

### **310.2 Status of Financing Statement**

A termination shall have no effect upon the status of the financing statement and the financing statement shall remain active in the information management system until one year after it lapses, unless the termination relates to a financing statement that indicates it is filed against a transmitting utility, in which case the financing statement will become inactive one year after it is terminated with respect to all secured parties of record.

## **311 Correction Statement**

### **311.1 Status of Parties**

The filing of a correction statement shall have no effect upon the status of any party to the financing statement.

### **311.2 Status of Financing Statement**

A correction statement shall have no effect upon the status of the financing statement.

## **312 Procedure Upon Lapse**

If there is no timely filing of a continuation with respect to a financing statement, the financing statement lapses on its lapse date but no action is then taken by the filing office. On the first anniversary of such lapse date, the information management system renders or is caused to render the financing statement inactive and the financing statement will no longer be made available to a searcher unless inactive statements are requested by the searcher and the financing statement is still retrievable by the information management system.

## **SECTION 4 -- FILING AND DATA ENTRY PROCEDURES**

### **400 Policy Statement**

This section contains rules describing the filing procedures of the filing officer upon and after receipt of a UCC document. It is the policy of the filing officer to promptly file a document that conforms to these rules. Except as provided in these rules, data is transferred from a UCC document to the information management system exactly as the data is set forth in the document. Personnel who create reports in response to search requests type search criteria exactly as set forth on the search request. No effort is made to detect or correct errors of any kind.

### **401 Document Indexing and Other Procedures Before Archiving**

Records are processed in accordance with Mississippi Code § 75-9-519.

#### **401.1 Date and Time Stamp**

The date and time of receipt are noted on the document or otherwise permanently associated with the record maintained for a UCC document in the UCC information management system at the earliest possible time.

#### **401.2 Cash Management**

Transactions necessary to payment of the filing fee are performed.

#### **401.3 Document Review**

The filing office determines whether a ground exists to refuse the document under Rule 202.

##### **401.3.1 File Stamp**

If there is no ground for refusal of the document, the document is stamped or deemed filed and a unique identification number and the filing date is stamped on the document or permanently associated with the record of the document maintained in the UCC information management system. The sequence of the identification number is not an indication of the order in which the document was received.

##### **401.3.2 Correspondence**

If there is a ground for refusal of the document, notification of refusal to accept the document is prepared as provided in Rule 205. If there is no ground for refusal of the document, an acknowledgment of filing is prepared as provided in Rule 206. Acknowledgment of filing or notice of refusal of a UCC document is sent to the secured party or the first secured party if there are more than one named on the UCC document or to the remitter if the remitter so requests.

#### **402 Filing Date**

The filing date of a UCC document is the date the UCC document is received with the proper filing fee if the filing office is open to the public on that date or, if the filing office is not so open on that date, the filing date is the next date the filing office is so open, except that, in each case, UCC documents received after 5:00 P.M. shall be deemed received on the following day. The filing officer may perform any duty relating to the document on the filing date or on a date after filing date.

#### **403 Filing Time**

The filing time of a UCC document is determined as provided in Rule 106.

#### **404 Lapse Date And Time**

A lapse date is calculated for each initial financing statement (unless the debtor is indicated to be a transmitting utility). The lapse date is the same date of the same month as the filing date in the fifth year after the filing date or relevant subsequent fifth anniversary thereof if timely continuation statement is filed, but if the initial financing statement indicates that it is filed with respect to a public-finance transaction or a manufactured-home transaction, the lapse date is the same date of the same month as the filing date in the thirtieth year after the filing date. The lapse takes effect at midnight at the end of the lapse date. The relevant anniversary for a February 29 filing date shall be the March 1 in the fifth year following the year of the filing date.

#### **405 Errors Of The Filing Officer**

The filing office may correct the errors of filing officer personnel in the UCC information management system at any time. If the correction is made after the filing officer has issued a certification date that includes the filing date of a corrected document, the filing officer shall proceed as follows: A record relating to the relevant initial financing statement will be placed in the UCC information management system stating the date of the correction and explaining the nature of the corrective action taken. The record shall be preserved for so long as the record of the initial financing statement is preserved in the UCC information management system.

#### **406 Errors Other Than Filing Office Errors**

An error by a filer is the responsibility of such filer. It can be corrected by filing an approved UCC amendment form or it can be disclosed by a filing of a UCC correction statement.

#### **407 Data Entry Of Names - Designated Fields**

A filing should designate whether a name is a name of an individual or an organization and, if an individual, also designates the first, middle and last names and any suffix. When this is done, the following rules shall apply.

### **407.1 Organization Names**

Organization names are entered into the UCC information management system exactly as set forth in the UCC document, even if it appears that multiple names are set forth in the document or if it appears that the name of an individual has been included in the field designated for an organization name.

### **407.2 Individual Names**

On a form that designates separate fields for first, middle, and last names and any suffix, the filing officer enters the names into the first, middle, and last name and suffix fields in the UCC information management system exactly as set forth on the form.

### **407.3 Designated Fields Encouraged**

The Secretary of State encourages the use of forms that designate separate fields for individual and organization names and separate fields for first, middle, and last names and any suffix. Such forms diminish the possibility of filing office error and help assure that filers' expectations are met. However, filers should be aware that the inclusion of names in an incorrect field or failures to transmit names accurately to the filing office may cause filings to be ineffective.

## **408 Data Entry of Names - No Designated Fields**

A UCC document that is an initial financing statement or an amendment that adds a debtor to a financing statement and that fails to specify whether the debtor is an individual or an organization should be refused by the filing office. If it is accepted for filing in error, the following rules shall apply.

### **408.1 Identification of Organizations**

When not set forth in a field designated for individual names, a name is treated as an organization name if it contains words or abbreviations that indicate status such as the following and similar words or abbreviations in foreign languages: association, church, college, company, co., corp., corporation, inc., limited, ltd., club, foundation, fund, L.L.C., limited liability company, institute, society, union, syndicate, GmbH, S.A. de C.V., limited partnership, L.P., limited liability partnership, L.L.P., trust, business trust, co-op, cooperative and other designations established by statutes to indicate a statutory organization. In cases where organization or individual status is not designated by the filer and is not clear, the filing officer will use their own judgment.

### **408.2 Identification of Individuals**

A name is entered as the name of an individual and not the name of an organization when the name is followed by a title substantially similar to one of the following titles, or the equivalent of one of the following titles in a foreign language: proprietor, sole proprietor, proprietorship, sole proprietorship, partner, general partner, president, vice president, secretary, treasurer, M.D., O.D., D.D.S., attorney at law, Esq., accountant, CPA. In such cases, the title is not entered.

### **408.3 Individual and Organization Names on a Single Line**

Where it is apparent that the name of an individual and the name of an entity are stated on a single line and not in a designated individual name field, the name of the individual and the name of the entity shall be entered as two separate debtors, one as an individual and one as an entity. Additional filing fees for the additional debtor name(s) may be required.

### **408.4 Individual Names**

The failure to designate the last name of an individual debtor in an initial financing statement or an amendment adding such debtor to a financing statement should result in a refusal of the filing. If the filing is accepted in error, or if only the last name is designated, the following data entry rules apply.

#### **408.4.1 Freestanding Initials**

An initial in the first position of the name is treated as a first name. An initial in the second position of the name is treated as a middle name.

#### **408.4.2 Combined Initials and Names**

An initial and a name to which the initial apparently corresponds is entered into one name field only [e.g. "D. (David)" in the name "John D. (David) Rockefeller" is entered as "John" (first name); "D. (David)" (middle name); "Rockefeller" (last name)].

#### **408.4.3 Multiple Individual Names On A Single Line**

Two individual names contained in a single line are entered as two, different debtors [e.g. the debtor name "John and Mary Smith" is entered as two debtors: "John Smith", and "Mary Smith"].

#### **408.4.4 One Word Names**

A one word name is entered as a last name [e.g. "Cher" is treated as a last name].

#### **408.4.5 Nicknames**

A nickname is entered in the name field together with the name preceding the nickname, or if none, then as the first name (e.g., "William (Bill) Jones").

**409 Special Filings--Identification of Type of Filing**

Due to the unique lapse date issues concerning public finance transactions, transmitting utilities, and manufactured homes used as dwellings, the initial financing statement for such special collateral must state clearly that it is for such purpose. Should the financing statement not indicate a special type of filing, the filing office shall enter the record as a normal financing statement. The Secretary of State will not speculate whether or not any financing statement should be filed other than as a normal financing statement.

**410 Initial Financing Statement**

A new record is opened in the UCC information management system for each initial financing statement that bears the file number of the financing statement and the date and time of filing.

**410.1** The name and address of each debtor that is legibly set forth in the financing statement is entered into the record of the financing statement. Each such debtor name is included in the searchable index and is not removed until one year after the financing statement lapses. Debtor addresses might not be included in the searchable index except to the extent the filing office offers or intends to offer limited searches or limited copy requests as provided in Rule 502.

**410.2** The name and address of each secured party that is legibly set forth in the financing statement is entered into the record of the financing statement.

**410.3** The record is indexed according to the name of the debtor(s) and is maintained for public inspection.

**410.4** Unless the initial financing statement indicates it is filed against a transmitting utility, A lapse date is established for the financing statement and the lapse date is maintained as part of the record.

**411 Amendment**

A record is created for the amendment that bears the file number for the amendment and the date and time of filing.

**411.1** The record of the amendment is associated with the record of the related initial financing statement in a manner that causes the amendment to be retrievable each time a record of the financing statement is retrieved.

**411.2** The name and address of each additional debtor and secured parties are entered into the UCC information management system in the record of the financing statement. Each such additional debtor name is added to the searchable index and are not removed until one year after the financing statement lapses. Debtor addresses may not be included in the searchable index except to the extent the filing office offers or intends to offer limited searches or limited copy requests as provided in Rule 502.

**411.3** If the amendment is a continuation, a new lapse date is established for the financing statement and maintained as part of its record.

#### **412 Correction Statement**

A record is created for the correction statement that bears the file number for the correction statement and the date and time of filing. The record of the correction statement is associated with the record of the related initial financing statement in a manner that causes the correction statement to be retrievable each time a record of the financing statement is retrieved.

#### **413 Global Filings**

**413.1** The filing officer may accept for filing a single UCC document for the purpose of amending more than one financing statement, for one or both of the following purposes: amendment to change secured party name; amendment to change secured party address.

**413.2** A blanket filing shall consist of a written document describing the requested amendment on a form approved by the filing office, and a machine readable file furnished by the remitter and created to the filing officer's specifications containing appropriate indexing information. A copy of blanket filing specifications is available from the filing officer upon request. Acceptance of a blanket filing is conditioned upon the determination of the filing officer in the filing officer's sole discretion.

#### **414 Archives - General**

The filing office maintains records in accordance with UCC § 75-9-522 and the Mississippi Archives and Records Management Law of 1981, Mississippi Code Ann §§ 25-59-1 et seq.

#### **415 Archives - Data Retention**

Data in the UCC information management system relating to financing statements that have lapsed are retained in the system for no less than one year from the lapse date.

#### **416 Archival Searches**

Archival records are retrievable by using the name of the debtor and by using the file number assigned to the initial financing statement to which the record relates and the date that the record was filed.

#### **417 Notice Of Bankruptcy**

The filing officer takes no action upon receipt of a notification, formal or informal, of a bankruptcy proceeding involving a debtor named in the UCC information management system.

## **SECTION 5 -- SEARCH REQUESTS AND REPORTS**

### **500 General Requirements**

The Mississippi Secretary of State, Division of Business Services maintains for public inspection a searchable index for all records of UCC documents that provides for the retrieval of a record by the name of the debtor and by the file number of the initial financing statement to which the record relates and which associates with one another each initial financing statement and each filed UCC document relating to the initial financing statement.

### **501 Search Requests**

Search requests shall contain the following information.

#### **501.1 Name Searched**

A search request should set forth the full correct name of a debtor or the name variant desired to be searched and must specify whether the debtor is an individual or an organization. The full name of an individual shall consist of a first name, a middle name or initial, and a last name, although a search request may be submitted with no middle name or initial and, if only a single name is presented (e.g., "Cher") it will be treated as a last name. The full name of an organization shall consist of the name of the organization as stated on the articles of incorporation or other organic documents in the state or country of organization or the name variant desired to be searched. A search request will be processed using the name in the exact form it is submitted.

#### **501.2 Requesting Party**

The name and address of the person to whom the search report is to be sent.

#### **501.3 Fee for Search Requests**

The appropriate fee shall be enclosed, payable by a method described in Rule 113.

#### **501.4 Search Request with Filing**

If a filer requests a search at the time a UCC document is filed, by checking the box on the form set forth in Mississippi Code § 75-9-521 or otherwise, the name to be searched will be the debtor name as set forth on the form, the requesting party will be the remitter of the UCC document, and the search request will be deemed to request a search that would be effective to retrieve information relating to all financing statements filed on or prior to the date the UCC document is filed.

## **502 Optional Information**

A UCC search request may contain any of the following information:

- 502.1** The request may limit the records requested by limiting them by the city and state of the debtor. A report created by the filing officer in response to such a request shall contain the statement: "A limited search may not reveal all filings against the debtor searched and the searcher bears the risk of relying on such a search.
- 502.2** Instructions on the mode of delivery desired may be requested, if other than by ordinary mail, and will be honored if the requested mode is then made available and approved by the filing office. The person making a request for delivery by a mode other than ordinary mail may be required to pay in advance any special costs for such delivery.

## **503 Rules Applied to Search Requests**

Search results are created by applying standardized search logic to the name presented to the filing officer by the person requesting the search. Human judgment does not play a role in determining the results of the search. The following, and only the following, rules are applied to conduct searches:

- 503.1** There is no limit to the number of matches that may be returned in response to the search criteria.
- 503.2** No distinction is made between upper and lower case letters.
- 503.3** Punctuation marks and accents are disregarded.
- 503.4** Words and abbreviations at the end of a name that indicate the existence or nature of an organization as set forth in the "Ending Noise Words" list as promulgated and adopted by the International Association of Corporation Administrators as from time to time, are disregarded (e.g., company, limited, incorporated, corporation, limited partnership, limited liability company or abbreviations of the foregoing).
- 503.5** The word "the" at the beginning of the search criteria is disregarded.
- 503.6** All spaces are disregarded.
- 503.7** For first and middle names of individuals, initials are treated as the logical equivalent of all names that begin with such initials, and no middle name or initial is equated with all middle names and initials. For example, a search request for "John A. Smith" would cause the search to retrieve all filings against all individual debtors with "John" as the first name, "Smith" as the last name, and with the initial "A" or any name beginning with "A" in the middle name field. If the search request were for "John Smith" (first and last names with no designation in the middle name field), the search would retrieve all filings against individual debtors with "John" as the first name, "Smith" as the last name and with any name or initial or no name or initial in the middle name field.

**503.8** After taking the preceding rules into account to modify the name of the debtor requested to be searched and to modify the names of debtors contained in active financing statements in the UCC information management system, the search will reveal only names of debtors that are contained in active financing statements and, as modified, exactly match the name requested, as modified.

## **504 Search Responses**

Reports created in response to a search request shall include the following:

### **504.1. Filing Office Certificate**

The filing office upon request and payment of the search fee shall issue a filing officer's certificate indicating the following:

- 504.1.1** Identification of the filing officer and the certification of the filing officer required by the UCC.
- 504.1.2** The date the report was generated.
- 504.1.3** Identification of the name searched.
- 504.1.4** The certification date applicable to the report; i.e., the date and time through the search is effective to reveal all relevant UCC documents filed on or prior to that date.
- 504.1.5** Identification of each unlapsed initial financing statement filed on or prior to the certification date and time corresponding to the search criteria, by name of debtor, by identification number, and by file date and file time.
- 504.1.6** For each initial financing statement listed on the report, a listing of all related UCC documents filed by the filing officer on or prior to the certification date.

### **504.2 Certified Copies of Financing Statements**

#### **504.2.1 Information Request Form**

A party requesting certified copies of filing statements should indicate the appropriate filing number on a UCC-11 form and remit fees as indicated in Rule 111.

**504.2.2**      **Copies Available From Filing Officer's Certificate**

Upon the issuance of the filing officer's certificate as per Rule 504.1, a billing statement will be issued that will indicate the cost per certified copy of a filing statement. The requesting party will specify by the filing number the financing statement(s) desired in a certified copy format. The per copy fee indicated is to be multiplied by the number of requested copies. A remittance of this fee in advance is required for issuance of certified copies of financing statements.

## **SECTION 6 - OTHER NOTICES OF LIENS**

### **600 Policy Statement**

The purpose of rules in this section is to describe records of liens maintained by the filing office created pursuant to statutes other than the UCC that are treated by the filing officer in a manner substantially similar to UCC documents and are included on request with the reports described in Rules 504 and 505.

### **601 Notice of Federal Tax Lien**

#### **601.1 Filing**

Notices of federal liens are filed and maintained by the filing officer pursuant to the Mississippi Code §§ 85-8-1 et seq, the Uniform Federal Lien Registration Act.

##### **601.1.1 Where To File**

Notices of federal liens are filed with the filing officer pursuant to Mississippi Code § 85-8-5.

##### **601.1.2 Fee**

The fee for filing and indexing each notice of lien or certificate or notice affecting the lien in the Office of the Secretary of State is set out in Mississippi Code § 85-8-13.

##### **601.1.3 Duration**

A notice of federal lien or certificate or notice affecting the lien shall remain on the file with the filing officer in accordance with Mississippi Code § 85-8-9.

#### **601.2 Mechanics of Search**

##### **601.2.1 Fee for Search**

The fee for copying, or issuing a certificate in reference to, certain notice of federal liens is set out in Mississippi Code § 85-8-9.

##### **601.2.2 Search Available with UCC Search**

Searches are conducted in accordance with Section 5 of these rules to the extent those rules do not conflict with the Uniform Federal Lien Registration Act.

### **602 Notice Of State Tax Lien**

State tax liens are not filed with the filing officer.

**603 Reserved**

**604 Agricultural Liens-Mississippi Central Filing System Regulations for Farm Products**

**604.1 Summary of Agricultural Central Filing System**

The Mississippi Secretary of State prescribes regulations for implementation and management of a "Central Filing System" for an "effective financing statement" as defined in Section 101 of these regulations.

**604.1.1 Supplementary Information**

Section 1324 of the Food Security Act of 1985, P.L. 99-198 (hereinafter "the Food Security Act"), is headed "Protection For Purchasers of Farm Products." Subsections (e) and (q) of the Food Security Act provide that certain persons may be made subject to a security interest in a Farm Product created by the seller under certain circumstances including the existence of a statewide "central filing system" for an "effective financing statement." Part three of Senate Bill 2626 (2001 Mississippi Legislative Session), Mississippi Code § 75-9-320(g) provides; "The Secretary of State shall issue regulations implementing a central filing system relating to farm products." These regulations set forth requirements for the implementation of the Central Filing System. This document is believed and intended to be in full compliance with the regulations promulgated by the United States Department of Agriculture in accordance with the Food Security Act for certification of the Central Filing System. The Secretary of State's authority and responsibility is limited to the implementation and management of the Central Filing System. The Secretary of State's authority does not extend to other matters under the section. The legislation does not give the Secretary any authority or responsibility relating to such matters as direct notification by secured parties, sales and payment for products, standards for making checks payable, and procedures for personal liability protection. These regulations are subject to changes to reflect amendments to federal Law/or federal regulations.

**604.2 Reserved**

### **604.3 Effective Financing Statement for Farm Product Filings**

The Mississippi UCC-1F is designated as the official form to be used as an effective financing statement. The UCC-1F contains all information required under state law (Mississippi Code § 75-9-502) for filing financing statements. Additionally the UCC-1F captures the information required for filing an effective financing statement in the central filing system. For filing efficiency and to eliminate a duplicate filing requirement, all Farm Products should be submitted for filing on the UCC-1F financing statement. Forms including the Mississippi UCC-1F, Mississippi UCC-3F, National UCC-5, and National UCC-11 forms will be used for the Central Filing System. Other documents containing information which complies with Mississippi law and § 1324 of the Food Security Act of 1985 will be accepted for filing.

#### **604.3.1 Farm Filings EFS Requirements**

- 604.3.1.1** Debtor name and address (or other person subjecting the farm product to the security interest). In the case of a natural person, the surname (last name or family name) must appear first; in the case of a corporation or other entity not a natural person, the name must appear beginning with the first word not an article;
- 604.3.1.2** Debtor's social security number or, if other than a natural person, IRS taxpayer identification number;
- 604.3.1.3** Secured party name and address;
- 604.3.1.4** Crop year unless every crop of the Farm Product in question, for the duration of the EFS, is to be subject to the particular security interest;
- 604.3.1.5** Farm Product name and Farm Product code as published by the Mississippi Secretary of State (Attached as Appendix "B");
- 604.3.1.6** Each county code number in the state where the Farm Product is produced or to be produced. County Codes are found in Appendix "C" attached;
- 604.3.1.7** Signature of debtor and secured party if submitted non-electronically, or when and if the Mississippi Secretary of State accepts electronic filing of UCC statements an electronic reproduced copy of a statement without the debtor's signature;
- 604.3.1.8** Further details of the Farm Product subject to the security interest if needed to distinguish it from other such product owned by the same person but not subject to the particular security interest.

#### **604.3.2 Place Of Filing EFS**

- 604.3.2.1** The place of filing an EFS or notice of such financing statement is with the Mississippi Secretary of State, Division of Business Services.

**604.3.2.2** Presentation for filing of an effective financing statement (UCC-1F) and tender of the filing fee and acceptance of the statement by the system operator constitutes filing. The system operator shall mark each EFS with a file number and with the date and hour of filing pursuant to Rule 106 and shall hold the statement or copy thereof for public inspection. In addition the system operator shall index the statements in a manner which will comply with the farm products master list requirements.

**604.3.2.3** A UCC-3F or UCC-1F may be submitted for all Farm Products currently on file. The UCC-3F amendment or UCC-1F should provide all information (i.e. collateral codes, federal ID numbers, etc.) needed for preparation of the master list of Farm Products.

### **604.3.3 Amendment or Continuation of EFS**

**604.3.3.1** The “material change” required by the Food Security Act to be reflected in an amendment (UCC-3F) to an EFS and master list entry, is whatever change that would render the master list entry no longer informative as to what is subject to the security interest in question. This will vary from case to case. The basis for this is the purpose for which the information is supplied, that is, to make information available, to a buyer, commission merchant, or selling agent who proposes to enter into a transaction in a product, whether it is subject to a security interest. The requirement to amend arises when the information already made available no longer serves the purpose and other information is needed in order to do so.

**604.3.3.2** The amendment must be submitted in writing (UCC-3F) within three months of such material change signed by both the person who subjects the farm product to the security interest and the secured party, and filed with the Secretary of State.

**604.3.3.3** A continuation of an EFS is subject to the same requirement as an amendment. An effective financing statement remains effective for a period of five (5) years from the date of filing, subject to extensions for additional periods of five (5) years each by refiling or filing a continuation statement within six (6) months before the expiration of the initial five (5) year period.

**604.3.3.4** An EFS lapses on either the expiration or the filing of a notice signed by the secured party that the statement has lapsed, whichever occurs first.

**604.3.3.5** An EFS may be terminated at any time during the effective period by the secured party submitting a termination statement (UCC-3F).

#### **604.3.4 Effect of EFS Outside State in Which File**

**604.3.4.1** A question arises whether, if an EFS is filed in one State, a notice of it should be filed in another State. Where the farm products covered by an EFS are located in a state other the state where the debtor is "located" as defined under the UCC, filers may need to file in both states to ensure that the creditor is adequately protected under both the UCC and Food Security Act. It is may be advisable to seek legal advice in such situations.

**604.3.4.2** The Food Security Act provides only for filing an EFS, covering a given product, in the system for the state in which it is produced. Upon such filing in the system, buyers, commission merchants and selling agents not registered with the system are subject to the security interest in that product whether or not they know about it, even if they are outside that state. Persons registered with the system are subject if they receive written notice of an EFS even if they are outside that state. All of these provisions apply only where an EFS is filed in the system for the state in which the product is produced. They do not apply to a filing in another system.

**604.3.4.3** Three days following the date of mailing or the date of actual delivery, whichever occurs first, of the list or written notices to the intended recipient of notice shall be considered receipt thereof by the person to whom such notice is distributed or furnished. The Secretary of State shall maintain accurate records so that such dates can be readily determined. When requested, the Secretary of State shall certify the date of receipt of a notice as shown on such records. Such a certified date may be admissible as evidence or judicially noticed as the date of receipt in accordance with the rules of evidence and procedure.

#### **604.4 Obligations Subject to Central Filing**

**604.4.1** The Food Security Act does not provide for the transaction in which one person subjects a product to a security interest for another's debt. However, the terms "person indebted" and "debtor" in the Food Security Act refer to the person who owns a product and subjects it to a security interest, whether or not that person owes a debt to the secured party. The basis for this is the purpose for which the information is supplied. Any buyer of a Farm Product, commission merchant, or selling agent querying the master list or system operator about a prospective seller of a Farm Product is interested in whether that seller has subjected that product to a security interest, not in whether the debt is owed by that seller or by another.

**604.4.2** A debt need not exist at the time of filing of an EFS.

## **604.5 Registration**

- 604.5.1** Buyer, commission merchants and selling agents may register with the Secretary of State, for an annual period by submitting a registration form accompanied with the registration fee for each farm product for which an interest is registered. The registration form shall be provided by the Mississippi Secretary of State, Division of Business Services and indicate:
- 604.5.1.1** The name and address of the buyer, commission merchant, or selling agent. Any address change of the buyer, commission merchant or selling agent shall be reported immediately to the system operator.
  - 604.5.1.2** Farm Product or Products in which registrant is interested.
  - 604.5.1.3** If registrant is interested only in such product or products in a certain county or certain counties, in the State of Mississippi.
- 604.5.2** A registrant, if not registered for any specified county or counties, shall be deemed to have registered for all counties shown on the master list.
- 604.5.3** A registrant may choose to have computer terminal hook-up for on line access in addition to receiving the list in written or printed form.
- 604.5.4** Frequency in which registrant wishes to receive list distribution must be indicated, but not less than monthly.
- 604.5.5** The Food Security Act does not require a person to register. Not registering with the system operator has the effect, of making such persons, whether they are inside or outside the state covered by that system, subject to security interests shown on that system's master list whether or not such persons know about them, so that such persons for their own protection will need to query the system operator about any seller engaged in farming operations, of a farm product produced in the state covered by that system, with whom they deal.
- 604.5.6** The Mississippi Secretary of State shall furnish, within forty-eight (48) hours after request, oral confirmation of any effective financing statement in the system to any buyer of Farm Products buying from a debtor or commission merchant or selling agent selling for a seller covered by such statement, followed automatically by written confirmation, mailed by the end of the next business day following oral confirmation.

**604.6**        **Master List**

**604.6.1**        The Secretary of State shall compile all EFS into a master list:

**604.6.1.1**      Organized according to farm products;

**604.6.1.2**      Arranged within each such product;

**604.6.1.2.1**    In alphabetical order;

**604.6.1.2.2**    According to the last name of the individual debtors, or in the case of debtors doing business other than as individuals, the first word in the name of such debtors not an article;

**604.6.1.2.3**    In numerical order according to the social security number of the individual debtors or, in the case of debtors doing business other than an individuals, the Internal Revenue Service taxpayer identification number of such debtors;

**604.6.1.2.4**    Geographically by county of debtor location and county where crop is produced;

**604.6.1.2.5**    And by crop year.

**604.6.1.3**      The list will contain:

**604.6.1.3.1**    The name and address of the secured party.

**604.6.1.3.2**    The name and address of the person subjecting the product to a security interest.

**604.6.1.3.3**    The social security number of the debtor or, in the case of a debtor doing business other than as an individual, the Internal Revenue Service taxpayer identification number of such debtor.

**604.6.1.4**      A description of the Farm Products subject to the security interest created by the debtor, including the amount of such products where applicable, and a reasonable description of the property, including county or parish in which the property is produced.

**604.6.2**        **Portions of the Master List**

**604.6.2.1**      The portion of the master list distributed regularly to registrants will be organized in such a manner that information regarding the debtor and collateral in question can be readily obtained.

- 604.6.2.1.1** The portions of the master list will contain a heading for each Farm Product for which the registrant has registered and contain for each such product a sub-heading for each debtor with effective financing statements on file with the system.
- 604.6.2.1.2** Each Farm Product list will contain the name, address, and social security number, or, if other than a natural person, IRS taxpayer identification number, of each debtor (or other person subjecting such a product to a security interest).
- 604.6.2.1.3** For each such person, the list will contain further details of the Farm Product subject to the security interest if supplied on the EFS.
- 604.6.2.1.4** One of the sections will have these names in alphabetical order by the word appearing first in the name. The other section will have them in numerical order by social security number, or, if other than a natural person, IRS taxpayer identification number.
- 604.6.2.1.5** For each such person each section will show the secured party name and address.
- 604.6.2.1.6** The list portions will be distributed in written or printed form. Those desiring may acquire on-line computer access to the system files.

**604.7**            **Farm Product List And Codes**

- 604.7.1** The Farm Products, according to which the master list must be organized as required by the Food Security Act and which must be identified on an EFS are found in the "Mississippi Farm Product Collateral Codes" found in "Appendix B" attached.
- 604.7.2** The Farm Products, according to which the master list must be organized and which must be identified on an EFS, must be specific commodities, species of livestock, and specific products of crops or livestock. Miscellaneous categories are not permissible.

**604.8** **Crop Year**

- 604.8.1**            The crop year, according to which the master list must be arranged "within each Farm Product" is:
  - 604.8.1.1**            for a crop grown in soil, the calendar year in which it is harvested or to be harvested;

**604.8.1.2** for animals, the calendar year in which they are born or acquired;

**604.8.1.3** for fish, poultry, or eggs, the calendar year in which they are sold or to be sold.

**604.8.2** An EFS or notice thereof which does not show crop year must be regarded as applicable to the crop or product in question for every year for which the EFS is effective.

**604.9** **Amount and Reasonable Description**

**604.9.1** The amount of Farm Products and reasonable description of the property, including county, on an EFS and on the master list, need not be shown on every EFS and master entry.

**604.9.2** Any EFS and master list entry will identify a product. If no amount is indicated, this means that all of such product owned by the person, in question is subject to the security interest in question.

**604.9.3** Any EFS and master list entry will identify each county in Mississippi where the product is produced. If no further identification of the location of the product is shown, this means that all such product in each such county owned by that person is subject to the security interest.

**604.9.4** The need to supply additional information arises only where some of that product owned by that person is subject to the security interest and some is not.

**604.9.5** The additional information about amount and property must be sufficient to enable a reader of the information to identify what product owned by that person is subject, as distinguished from what of the same product owned by the same person is not subject. The precision needed, in the description of the amount and location, will vary from case to case.

**604.9.6** The basis for this is to make information available as necessary to enable an identification of what product is subject to a security interest as distinguished from what is not.

**604.10**      **Distribution of Portions of Master List**

**604.10.1**      **Electronic Access License--Master Farm Product List**

The Mississippi Secretary of State, Division of Business Services will make available electronic access via the Internet in the form of an exclusive use license to interested parties who register for this service and pay the appropriate annual fee. The Secretary of State believes that using such a service will be more cost effective and more up-to-date than paper records. Access will include the master farm products list for all commodities.

**604.10.2**      **Paper Copies of Portions of the Master List**

**604.10.2.1** The Food Security Act requires that the Secretary of State make available master list records in a paper format. Persons or businesses must register for this service. The effect of registration by buyers of Farm Products, commission merchants, and selling agents is to get them on the list for regular distribution of portions of the system's master list, the portions to be determined by the registration. Due to the time lapse concerns. Registrants should note that records provided in the paper format will not be as timely as the electronic format of Rule 604.10.1.

**604.10.2.2** Registrants will be deemed to be registered only as to those portions of the master list for which they register, and will be deemed to have failed to register as to those portions for which they do not register.

**604.10.2.3** The frequency of regular distribution of portions of the master list to registrants will be timely as possible to serve its intended purpose.

**604.11**      **Fees**

**604.11.1** The Secretary of State is authorized to set reasonable fees to defray the costs of the Central Filing System pursuant to Mississippi Code § 75-9-320(g). The fees listed are subject to a six month experience period and may be increased or decreased upon proper notice and compliance with the Administrative Procedure Act. Fees are as indicated in the below "Mississippi Central Filing System Table of Fees."

**604.11.2** Failure to pay fees as agreed shall result in suspension from receipt of further service until all delinquent amounts are paid in full. During a period of suspension a registrant shall be deemed to be unregistered for that period.

<b>MISSISSIPPI CENTRAL FILING SYSTEM TABLE OF FEES</b>	
UCC-1F Effective financing Statement UCC-1F	\$10.00 plus \$4.00 per each additional debtor
UCC-3F Amendment, Assignment, Release Continuation, Termination.	\$10.00 plus \$4.00 per each additional debtor
Confirmation report UCC-11	\$5.00 plus \$2.00 per page for the listing
Master Farm Product List Paper	\$2.00 per page
Master List Portions Paper format	\$2.00 per page
Farm Product Electronic Data Access License	\$100.00 per year
Compact Disc Format of Electronic Data	\$25.00 per disc
*Other services not listed above, which constitute the same or similar service as those in a non-farm product UCC Article 9 filing, will have fees as set fourth in Rule 111 for those services.	

**604.12**                      **Certification**

**604.12.1** A written request for certification will be filed with documents showing that the system complies with the regulations of the United States Department of Agriculture. A summary, table of contents and index will accompany materials to facilitate review.

**604.12.2** The request will:

**604.12.2.1** Include an explanation how the system will operate.

**604.12.2.2** Identify the information which will be required to be supplied on an EFS.

**604.12.2.3** Identify where an EFS, amendment thereto, or continuation thereof, will be filed.

**604.12.2.4** Explain the method for recording the date and hour of filing of an EFS.

**604.12.2.5** Explain how the master list will be compiled, including the method and form of storage and arrangement of information, explain the method and form of retrieval of information from the master list, the method and form of distribution of portions of the master list to registrants, and the method and form of furnishing of information orally with written confirmation.

**604.12.2.6** Explain how the list of registrants will be compiled, including identification of where and how they will register, what information they must supply in connection with registration, and the method and form of storage and retrieval of such information.

**604.12.2.7** Show how frequently portions of the master list will be distributed regularly to registrants:

**604.12.2.8** Show the farm products according to which the master list will be organized.

**604.12.2.9** Show how the system will interpret the term "crop year" and how it will classify as to crop year an EFS not showing "crop year."

**604.12.2.10** Show what fees will be charged.

**604.12.2.11** Include copies of;

**604.12.2.11.1** All state legislation and/or other legal authority under which the system is created and operated, and the system operator is designated;

**604.12.2.11.2** All regulations, rules and requirements issued under such legislation or other legal authority covering operation of the system, designation of the system operator, and use of the system by members of the public;

**604.12.2.11.3** And all printed forms required to be used in connection with the system.;

**604.12.3** Be filed in triplicate to the Headquarters of the Packers and Stockyards Administration, USDA, Washington, D.C. 20250.

# Mississippi - UCC1 FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]
B. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME					
OR	1b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
1c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	1D. COUNTY #
	ADD'L INFO RE ORGANIZATION DEBTOR	1e. TYPE OF ORGANIZATION	1f. JURISDICTION OF ORGANIZATION	1g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE	

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME					
OR	2b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	2D. COUNTY #
2d. TAX ID #: SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE	

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME					
OR	3b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

4. This FINANCING STATEMENT covers the following collateral:

5. ALTERNATIVE DESIGNATION [if applicable]:	<input type="checkbox"/> LESSEE/LESSOR	<input type="checkbox"/> CONSIGNEE/CONSIGNOR	<input type="checkbox"/> BAILEE/BAILOR	<input type="checkbox"/> SELLER/BUYER	<input type="checkbox"/> AG. LIEN	<input type="checkbox"/> NON-UCC FILING
6. <input type="checkbox"/> This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Addendum	<input type="checkbox"/> [if applicable]	7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [ADDITIONAL FEE] (optional)		<input type="checkbox"/> All Debtors	<input type="checkbox"/> Debtor 1	<input type="checkbox"/> Debtor 2
8. OPTIONAL FILER REFERENCE DATA						

# Mississippi - UCC1AD FINANCING STATEMENT ADDENDUM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

**9. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT**

9a. ORGANIZATION'S NAME		
OR		
9b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME, SUFFIX

**10. MISCELLANEOUS:**

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

**11. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (11a or 11b) - do not abbreviate or combine names**

11a. ORGANIZATION'S NAME				
OR				
11b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
11c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	11d. COUNTY #
<input type="checkbox"/> ADD'L INFO RE ORGANIZATION DEBTOR	11e. TYPE OF ORGANIZATION	11f. JURISDICTION OF ORGANIZATION	11g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE	

**12.  ADDITIONAL SECURED PARTY'S or  ASSIGNOR S/P'S NAME - insert only one name (12a or 12b)**

12a. ORGANIZATION'S NAME				
OR				
12b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
12c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

13. This FINANCING STATEMENT covers  timber to be cut or  as-extracted collateral, or is filed as a  fixture filing.

14. Description of real estate:

16. Additional collateral description:

15. Name and address of a RECORD OWNER of above-described real estate (if Debtor does not have a record interest):

17. Check only if applicable and check only one box.

Debtor is a  Trust or  Trustee acting with respect to property held in trust or  Decedent's Estate

18. Check only if applicable and check only one box.

- Debtor is a TRANSMITTING UTILITY
- Filed in connection with a Manufactured-Home Transaction — effective 30 years
- Filed in connection with a Public-Finance Transaction — effective 30 years

**Instructions for  
Mississippi UCC Financing Statement (Form MS UCC1)**

Please type or laser-print this form. Be sure it is completely legible. Read all instructions, especially instruction 1; the correct Debtor name is crucial. Follow the instructions completely.

Fill in the form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

When properly completed, send the original copy only, with required fee, to the filing office. If you want an acknowledgment, complete item B. If you want to make a search request, complete item 7 (after reading instruction 7).

If you need to use attachments, use 8-1/2 X 11 inch sheets and put at the top of each sheet the name of the first Debtor, formatted exactly as it appears in item 1 of this form; you are encouraged to use Addendum (Form MS UCC1Ad).

Note: Because Mississippi law does not require the social security number, that field has been blocked out.

A. To assist filing offices that might wish to communicate with filer, filer may provide information in item A.

B. Complete item B if you want an acknowledgment sent to you.

1. Debtor name: Enter only one debtor name in item 1, an organization's name (1a) or an individual's name (1b). Enter Debtor's exact full legal name. Don't abbreviate.

1a. Organization Debtor. "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Debtor is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Debtors. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed charter documents to determine Debtor's correct name, organization type, and jurisdiction of organization.

1b. Individual Debtor. "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr, Mrs, Ms). Use suffix box only for titles of lineage (Jr, Sr, III) and not for other suffixes or titles (e.g., M.D.). Use a married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Debtor's family name (surname) in Last Name Box, first given name in First Name Box, and all additional given names in Middle Name box.

For both organization and individual Debtors: Don't use Debtor's trade name, DBA, AKA, FKA, Division name, etc, in place of or combined with Debtor's legal name; you may add such other names as additional Debtors if you wish (but this is neither required nor recommended).

1c. An address is always required for the Debtor named in 1a or 1b.

1d. County (#) "County #" means the county identification number assigned to the Mississippi County in which the debtor is located. See the county # information at the end of these Instructions.

1e,f,g. "Additional information re organization Debtor" is always required. Type of organization as well as Debtor's exact legal name can be determined from Debtor's current filed charter document. In Mississippi, this information may be obtained by going to the Mississippi Secretary of State web site <http://www.sos.state.ms.us> and using "Corpsnap," or calling 601-359-1633. Organizational ID#, (ID # found in "Corpsnap," on SOS website) is assigned by the Secretary of State at the time the entity is formed; this is different from tax ID#. if agency does not assign organizational ID#, check box in item 1g indicating "none".

Note: If Debtor is a trust or a trustee acting with respect to property held in trust, enter Debtor's name in item 1 and attach Addendum (Form MS UCC1Ad) and check appropriate box in item 17. If Debtor is a decedent's estate, enter name of deceased individual in item 1b and attach Addendum (Form MS UCC1Ad) and check appropriate box in item 17. If Debtor is a transmitting utility or this Financing Statement is filed in connection with a Manufactured-Home Transaction or a Public-Finance Transaction as defined in

applicable Commercial Code, attach Addendum (Form MS UCC1Ad) and check appropriate box in item 18.

2. If an additional Debtor is included, complete item 2, determined and formatted per instruction 1. To include further additional Debtors, or one or more additional Secured Parties, attach either Addendum (Form MS UCC1Ad) or other additional pages(s), using correct name format. Follow instruction 1 for determining and formatting additional names.

3. Enter information for Secured Party or Total Assignee, determined and formatted per instruction 1. If there is more than one Secured Party, see Instruction 2. If there has been a total assignment of the Secured Party's interest prior to filing this form, you may either (1) enter Assignor S/P's name and address in item 3 and file an Amendment (Form UCC3) [see item 5 of that form]; or (2) enter Total Assignee's name and address in item 3 and, if you wish, also attaching Addendum (Form MS UCC1Ad) giving Assignor S/P's name and address in item 12.

4. Use item 4 to indicate the collateral covered by this Financing Statement. If space in item 4 is insufficient, put the entire collateral description or continuation of the collateral description on either Addendum (From MS UCC1Ad) or other attached additional page(s).

5. If filer desires (at filer's option) to use titles of lessee and lessor, or consignee and consignor, or seller and buyer (in the case of accounts or chattel paper), or bailee and bailor instead of Debtor and Secured Party, check the appropriate box in item 5. Note: Filing of an agricultural lien on a UCC-1 in Mississippi may not secure a party in the collateral. The Mississippi UCC-1F is the appropriate form for secured transactions where the collateral is farm products.

6. If this Financing Statement is filed as a fixture filing or if the collateral consists of timber to be cut or as-extracted collateral, complete items 1-5, check the box in item 6, and complete the required information (items 13, 14 and/or 15) on Addendum (Form MS UCC1Ad). \*THESE TYPES OF FILINGS ARE TO BE FILED IN THE CHANCERY CLERK'S OFFICE IN THE COUNTY WHERE THE RELATED REAL ESTATE IS LOCATED.

7. This item is optional. Check appropriate box in item 7 to request Search Report (s) on all or some of the Debtors named in this Financing Statement. The Report will list all Financing Statements on file against the designated Debtor on the date of the Report, including this Financing Statement. There is an additional \$5.00 fee per debtor if a search is requested.

8. This item is optional and is for filer's use only. For filer's convenience of reference, filer may enter in item 8 any identifying information (e.g. Secured Party's loan number, law firm file number, Debtor's name or other identification, state in which form is being filed, etc.) that filer may find useful.

**Instructions for  
Mississippi UCC Financing Statement Addendum (Form MS UCC1Ad)**

9. Insert name of first Debtor shown on Financing Statement to which this Addendum is related, exactly as shown in item 1 of Financing Statement.
10. Miscellaneous: Under certain circumstances, additional information not provided on a Financing Statement may be required. Use this space to provide such additional information or to comply with such requirements; otherwise, leave blank.
11. If this Addendum adds an additional Debtor, complete item 11 in accordance with instruction 1 on Financing Statement. To add more than one additional Debtor, either use an additional Addendum form for each additional Debtor or replicate for each additional debtor the formatting of Financing Statement item 1 on an 8-1/2 X 11 inch sheet (showing at the top of the sheet the name of the first Debtor shown on the Financing Statement), and in either case give complete information for each additional Debtor in accordance with Instruction 1 on Financing Statement. All additional Debtor information, especially the name, must be presented in proper format exactly identical to the format of item 1 of Financing Statement.
12. If this Addendum adds an additional Secured Party, complete item 12 in accordance with Instruction 3 on Financing Statement. In the case of a total assignment of the Secured Party's interest before the filing of this Financing Statement, if filer has given the name and address of the Total Assignee in item 3 of the Financing Statement, filer may give the Assignor S/Ps name and address in item 12.
- 13-15. If collateral is timber to be cut or as-extracted collateral, or if this Financing Statement is filed as a fixture filing, check appropriate box in item 13; provide description of real estate in item 14; and if Debtor is not a record owner of the described real estate, also provide, in item 15, the name and address of a record owner. Also provide collateral description in item 4 of Financing Statement. Also check box 6 on Financing Statement. Description of real estate must be sufficient under the applicable law of the jurisdiction where the real estate is located.
16. Use this space to provide continued description of collateral, if you cannot complete description in item 4 of Financing Statement.
17. If Debtor is a trust or a trustee acting with respect to property held in trust or is a decedent's estate, check the appropriate box.
18. If Debtor is a transmitting utility or if the Financing Statement relates to a Manufactured-Home Transaction or a Public-Finance Transition as defined in the applicable Commercial Code, check the appropriate box.

**Mississippi County Numbers (#)**

<i>County #</i>	<i>County</i>
1	Adams
2	Alcorn
3	Amite
4	Attala
5	Benton
6	Bolivar
7	Calhoun
8	Carroll
9	Chickasaw
10	Choctaw
11	Claiborne
12	Clarke
13	Clay
14	Coahoma
15	Copiah
16	Covington
17	DeSoto
18	Forrest
19	Franklin
20	George
21	Greene
22	Grenada
23	Hancock
24	Harrison
25	Hinds
26	Holmes
27	Humphreys
28	Issaquena

<i>County #</i>	<i>County</i>
29	Itawamba
30	Jackson
31	Jasper
32	Jefferson
33	Jefferson Davis
34	Jones
35	Kemper
36	Lafayette
37	Lamar
38	Lauderdale
39	Lawrence
40	Leake
41	Lee
42	Leflore
43	Lincoln
44	Lowndes
45	Madison
46	Marion
47	Marshall
48	Monroe
49	Montgomery
50	Neshoba
51	Newton
52	Noxubee
53	Oktibbeha
54	Panola
55	Pearl River
56	Perry

<i>County #</i>	<i>County</i>
57	Pike
58	Pontotoc
59	Prentiss
60	Quitman
61	Rankin
62	Scott
63	Sharkey
64	Simpson
65	Smith
66	Stone
67	Sunflower
68	Tallahatchie
69	Tate
70	Tippah
71	Tishomingo
72	Tunica
73	Union
74	Walthall
75	Warren
76	Washington
77	Wayne
78	Webster
79	Wilkinson
80	Winston
81	Yalobusha
82	Yazoo

**Mississippi - UCC1 FINANCING STATEMENT (IN LIEU)**

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]
B. SEND ACKNOWLEDGMENT TO: (Name and Address)

**THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY**

**1. DEBTOR'S EXACT FULL LEGAL NAME** - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME					
OR	1b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
1c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	1d. COUNTY #
1d. TAX ID #: SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	1e. TYPE OF ORGANIZATION	1f. JURISDICTION OF ORGANIZATION	1g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE	

**2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME** - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME					
OR	2b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	2d. COUNTY #
2d. TAX ID #: SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE	

**3. SECURED PARTY'S NAME** (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME					
OR	3b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY

4. This FINANCING STATEMENT covers the following collateral:

5. ALTERNATIVE DESIGNATION [if applicable]:	<input type="checkbox"/> LESSEE/LESSOR	<input type="checkbox"/> CONSIGNEE/CONSIGNOR	<input type="checkbox"/> BAILEE/BAILOR	<input type="checkbox"/> SELLER/BUYER	<input type="checkbox"/> AG. LIEN	<input type="checkbox"/> NON-UCC FILING
6. <input type="checkbox"/> This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Addendum	<input type="checkbox"/> [if applicable]	7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [ADDITIONAL FEE] (optional)		<input type="checkbox"/> All Debtors	<input type="checkbox"/> Debtor 1	<input type="checkbox"/> Debtor 2
8. OPTIONAL FILER REFERENCE DATA						

**Mississippi - UCC1 FINANCING STATEMENT ADDENDUM (In Lieu)**

**FOLLOW INSTRUCTIONS (front and back) CAREFULLY**

**9. NAME OF FIRST DEBTOR (1a or 1b) ON RELATED FINANCING STATEMENT**

9a. ORGANIZATION'S NAME		
OR	9b. INDIVIDUAL'S LAST NAME	FIRST NAME
		MIDDLE NAME, SUFFIX

**10. MISCELLANEOUS:**

**THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY**

**11. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one name (11a or 11b) - do not abbreviate or combine names**

11a. ORGANIZATION'S NAME				
OR	11b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
11c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
				11d. COUNTY #
11d. TAX ID #:	SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	11e. TYPE OF ORGANIZATION	11f. JURISDICTION OF ORGANIZATION
			11g. ORGANIZATIONAL ID #, if any	<input type="checkbox"/> NONE

**12.  ADDITIONAL SECURED PARTY'S or  ASSIGNOR S/P'S NAME - insert only one name (12a or 12b)**

12a. ORGANIZATION'S NAME				
OR	12b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
12c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
				COUNTRY

**13.** This FINANCING STATEMENT covers  timber to be cut or  as-extracted collateral, or is filed as a  fixture filing.

**14.** Description of real estate:

**16.** Additional collateral description:

**15.** Name and address of a RECORD OWNER of above-described real estate (if Debtor does not have a record interest):

**17.** Check only if applicable and check only one box.  
 Debtor is a  Trust or  Trustee acting with respect to property held in trust or  Decedent's Estate

**18.** Check only if applicable and check only one box.

Debtor is a TRANSMITTING UTILITY

Filed in connection with a Manufactured-Home Transaction — effective 30 years

Filed in connection with a Public-Finance Transaction — effective 30 years

**Instructions for  
Mississippi UCC Financing Statement In Lieu Filing (Form MS UCC1-In Lieu)**

Please type or laser-print this form. Be sure it is completely legible. Read all instructions, especially instruction 1; the correct Debtor name is crucial. Follow the instructions completely.

Fill in the form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

When properly completed, send the original copy only, with required fee, to the filing office. If you want an acknowledgment, complete item B. If you want to make a search request, complete item 7 (after reading instruction 7).

If you need to use attachments, use 8-1/2 X 11 inch sheets and put at the top of each sheet the name of the first Debtor, formatted exactly as it appears in item 1 of this form; you are encouraged to use Addendum (Form MS UCC1Ad).

Note: Because Mississippi law does not require the social security number, that field has been blocked out.

A. To assist filing offices that might wish to communicate with filer, filer may provide information in item A.

B. Complete item B if you want an acknowledgment sent to you.

1. Debtor name: Enter only one debtor name in item 1, an organization's name (1a) or an individual's name (1b). Enter Debtor's exact full legal name. Don't abbreviate.

1a. Organization Debtor. "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Debtor is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Debtors. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed charter documents to determine Debtor's correct name, organization type, and jurisdiction of organization.

1b. Individual Debtor. "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr, Mrs, Ms). Use suffix box only for titles of lineage (Jr, Sr, III) and not for other suffixes or titles (e.g., M.D.). Use a married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Debtor's family name (surname) in Last Name Box, first given name in First Name Box, and all additional given names in Middle Name box.

For both organization and individual Debtors: Don't use Debtor's trade name, DBA, AKA, FKA, Division name, etc, in place of or combined with Debtor's legal name; you may add such other names as additional Debtors if you wish (but this is neither required nor recommended).

1c. An address is always required for the Debtor named in 1a or 1b.

1d. County #. "County #" means the county identification number assigned to the Mississippi County in which the debtor is located. See the county code information at the end of these Instructions.

1e,f,g. "Additional information re organization Debtor" is always required. Type of organization as well as Debtor's exact legal name can be determined from Debtor's current filed charter document. In Mississippi, this information may be obtained by going to the Mississippi Secretary of State web site <http://www.sos.state.ms.us> and using "Corpsnap," or calling 601-359-1633. Organizational ID#, (ID # found in "Corpsnap," on SOS website) is assigned by the Secretary of State at the time the entity is formed; this is different from tax ID#. If agency does not assign organizational ID#, check box in item 1g indicating "none".

Note: If Debtor is a trust or a trustee acting with respect to property held in trust, enter Debtor's name in item 1 and attach Addendum (Form MS UCC1Ad) and check appropriate box in item 17. If Debtor is a decedent's estate, enter name of deceased individual in item 1b and attach Addendum (Form MS UCC1Ad) and check appropriate box in item 17. If Debtor is a transmitting utility or this Financing Statement is filed in connection with a Manufactured-Home Transaction or a Public-Finance Transaction as defined in

applicable Commercial Code, attach Addendum (Form MS UCC1Ad) and check appropriate box in item 18.

2. If an additional Debtor is included, complete item 2, determined and formatted per instruction 1. To include further additional Debtors, or one or more additional Secured Parties, attach either Addendum (Form MS UCC1Ad) or other additional pages(s), using correct name format. Follow instruction 1 for determining and formatting additional names.

3. Enter information for Secured Party or Total Assignee, determined and formatted per instruction 1. If there is more than one Secured Party, see Instruction 2. If there has been a total assignment of the Secured Party's interest prior to filing this form, you may either (1) enter Assignor S/P's name and address in item 3 and file an Amendment (Form UCC3) [see item 5 of that form]; or (2) enter Total Assignee's name and address in item 3 and, if you wish, also attaching Addendum (Form MS UCC1Ad) giving Assignor S/P's name and address in item 12.

4. Use item 4 to indicate the filing information of the original Financing Statement filed in another jurisdiction. A continuation statement cannot be used when the original filing is outside the state of Mississippi. For the "In Lieu of Continuation" to be effective the file date, file number, and original filing office must be listed.

5. If filer desires (at filer's option) to use titles of lessee and lessor, or consignee and consignor, or seller and buyer (in the case of accounts or chattel paper), or bailee and bailor instead of Debtor and Secured Party, check the appropriate box in item 5. Note: Filing of an agricultural lien on a UCC-1 in Mississippi may not secure a party in the collateral. The Mississippi UCC-1F is the appropriate form for secured transactions where the collateral is farm products.

6. If this Financing Statement is filed as a fixture filing or if the collateral consists of timber to be cut or as-extracted collateral, complete items 1-5, check the box in item 6, and complete the required information (items 13, 14 and/or 15) on Addendum (Form MS UCC1Ad). \*THESE TYPES OF FILINGS ARE TO BE FILED IN THE CHANCERY CLERK'S OFFICE IN THE COUNTY WHERE THE RELATED REAL ESTATE IS LOCATED.

7. This item is optional. Check appropriate box in item 7 to request Search Report (s) on all or some of the Debtors named in this Financing Statement. The Report will list all Financing Statements on file against the designated Debtor on the date of the Report, including this Financing Statement. There is an additional \$5.00 fee per debtor if a search is requested.

8. This item is optional and is for filer's use only. For filer's convenience of reference, filer may enter in item 8 any identifying information (e.g. Secured Party's loan number, law firm file number, Debtor's name or other identification, state in which form is being filed, etc.) that filer may find useful.

**Instructions for  
Mississippi UCC Financing Statement Addendum (Form MS UCC1Ad)**

9. Insert name of first Debtor shown on Financing Statement to which this Addendum is related, exactly as shown in item 1 of Financing Statement.
10. Miscellaneous: Use this space to provide such additional information to comply with the requirements as set fourth in item 4 of these instructions; otherwise, leave blank.
11. If this Addendum adds an additional Debtor, complete item 11 in accordance with instruction 1 on Financing Statement. To add more than one additional Debtor, either use an additional Addendum form for each additional Debtor the formatting of Financing Statement item 1 on an 8-1/2 X 11 inch sheet (showing at the top of the sheet the name of the first Debtor shown on the Financing Statement), and in either case give complete information for each additional Debtor in accordance with Instruction 1 on Financing Statement. All additional Debtor information, especially the name, must be presented in proper format exactly identical to the format of item 1 of Financing Statement.
12. If this Addendum adds an additional Secured Party, complete item 12 in accordance with Instruction 3 on Financing Statement. In the case of a total assignment of the Secured Party's interest before the filing of this Financing Statement, if filer has given the name and address of the Total Assignee in item 3 of the Financing Statement, filer may give the Assignor S/Ps name and address in item 12.
- 13-15. If collateral is timber to be cut or as-extracted collateral, or if this Financing Statement is filed as a fixture filing, check appropriate box in item 13; provide description of real estate in item 14; and if Debtor is not a record owner of the described real estate, also provide, in item 15, the name and address of a record owner. Also provide collateral description in item 4 of Financing Statement. Also check box 6 on Financing Statement. Description of real estate must be sufficient under the applicable law of the jurisdiction where the real estate is located.
16. Use this space to provide continued description of collateral, if you cannot complete description in item 4 of Financing Statement.
17. If Debtor is a trust or a trustee acting with respect to property held in trust or is a decedent's estate, check the appropriate box.
18. If Debtor is a transmitting utility or if the Financing Statement relates to a Manufactured-Home Transaction or a Public-Finance Transition as defined in the applicable Commercial Code, check the appropriate box.

**Mississippi County Codes**

<i>County Code</i>	<i>County</i>
1	Adams
2	Alcorn
3	Amite
4	Attala
5	Benton
6	Bolivar
7	Calhoun
8	Carroll
9	Chickasaw
10	Choctaw
11	Claiborne
12	Clarke
13	Clay
14	Coahoma
15	Copiah
16	Covington
17	DeSoto
18	Forrest
19	Franklin
20	George
21	Greene
22	Grenada
23	Hancock
24	Harrison
25	Hinds
26	Holmes
27	Humphreys
28	Issaquena

<i>County Code</i>	<i>County</i>
29	Itawamba
30	Jackson
31	Jasper
32	Jefferson
33	Jefferson Davis
34	Jones
35	Kemper
36	Lafayette
37	Lamar
38	Lauderdale
39	Lawrence
40	Leake
41	Lee
42	Leflore
43	Lincoln
44	Lowndes
45	Madison
46	Marion
47	Marshall
48	Monroe
49	Montgomery
50	Neshoba
51	Newton
52	Noxubee
53	Oktibbeha
54	Panola
55	Pearl River
56	Perry

<i>County Code</i>	<i>County</i>
57	Pike
58	Pontotoc
59	Prentiss
60	Quitman
61	Rankin
62	Scott
63	Sharkey
64	Simpson
65	Smith
66	Stone
67	Sunflower
68	Tallahatchie
69	Tate
70	Tippah
71	Tishomingo
72	Tunica
73	Union
74	Walthall
75	Warren
76	Washington
77	Wayne
78	Webster
79	Wilkinson
80	Winston
81	Yalobusha
82	Yazoo



# MISSISSIPPI UCC-1F

## Farm Product Filing

### Financing Statement

A. NAME & PHONE OF CONTACT AT FILER (optional)
B. SEND ACKNOWLEDGEMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a or 1b)- do not abbreviate or combine names.

OR	1a. ORGANIZATION'S NAME			
OR	1b. INDIVIDUALS LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
1c. MAILING ADDRESS		CITY	STATE	POSTAL ZIP CODE
1d. TAX ID #, SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	1e. TYPE OF ORGANIZATION	1f. JURISDICTION OF ORGANIZATION	1g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (2a or 2b)- do not abbreviate or combine names.

OR	2a. ORGANIZATION'S NAME			
OR	2b. INDIVIDUALS LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL ZIP CODE
2d. TAX ID #, SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P - Insert only one secured party name (3a. or 3b.))

OR	3a. ORGANIZATION'S NAME			
OR	3b. INDIVIDUALS LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS		CITY	STATE	POSTAL ZIP CODE

4. This Financing Statement covers the following Farm Products in accordance with the Food Security Act of 1985 (7 U.S.C. § 1631).

FARM PRODUCT DESCRIPTION	QUANTITY	CROP CODE	CROP YEAR	DESCRIPTION OF REAL ESTATE	COUNTY #

\_\_\_\_\_  
Signature of Debtors(s)

\_\_\_\_\_  
Signature of Secured Party  
MUST BE ORIGINALLY SIGNED



# MISSISSIPPI UCC-1F

## Farm Product Filing

## Financing Statement

### ADDENDUM

5. NAME OF FIRST DEBTOR (1a or 1b) on related UCC-1F or UCC-3F			
5a. ORGANIZATION'S NAME			
OR	5b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME, SUFFIX
6. NAME and ADDRESS of a RECORD OWNER of the real estate described (if DEBTOR is not the record owner.)			

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

7. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (7a or 7b)- do not abbreviate or combine names.				
7a. ORGANIZATION'S NAME				
OR	7b. INDIVIDUALS LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
7c. MAILING ADDRESS		CITY	STATE	POSTAL ZIP CODE
7d. TAX ID #, SSN OR EIN		7e. TYPE OF ORGANIZATION	7f. JURISDICTION OF ORGANIZATION	7g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE

8. <input type="checkbox"/> ADDITIONAL SECURED PARTY or <input type="checkbox"/> ASSIGNOR S/P's NAME - Insert only one name (8a or 8b)- insert only ONE name.				
8a. ORGANIZATION'S NAME				
OR	8b. INDIVIDUALS LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
8c. MAILING ADDRESS		CITY	STATE	POSTAL ZIP CODE
8d. TAX ID #, SSN OR EIN		8e. TYPE OF ORGANIZATION	8f. JURISDICTION OF ORGANIZATION	8g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE

9. ADDITIONAL COLLATERAL CONTINUED FROM BLOCK 4.					
<u>FARM PRODUCT DESCRIPTION</u>	<u>QUANTITY</u>	<u>CROP CODE</u>	<u>CROP YEAR</u>	<u>DESCRIPTION OF REAL ESTATE</u>	<u>COUNTY #</u>

10. ADDITIONAL COMMENTS
-------------------------

ADDITIONAL SHEETS ATTACHED

\_\_\_\_\_  
Signature of Debtors(s)

\_\_\_\_\_  
Signature of Secured Party  
MUST BE ORIGINALLY SIGNED

## Instructions for Mississippi UCC Financing Statement Farm Product Filing (Form MS UCC1-F)

Please type or laser-print this form. Be sure it is completely legible. Read all instructions, especially instruction 1; The correct debtor name is crucial. Follow instructions completely.

Fill in the form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

When properly completed, send the original copy only, with required fee, to the filing office. If you want an acknowledgment, complete item B. If you need to use attachments, use 8-1/2 X 11 inch sheets and put at the top of each sheet the name of the first Debtor, formatted exactly as it appears in item 1 of this form; you are encouraged to use Addendum (Form MS UCC-1FAd).

A. To assist the filing office should a need arise to communicate with filer, filer should provide information in item A.

B. Complete item B if you want an acknowledgment sent to you.

1. Debtor name: Enter only one debtor name in item 1, an organization's name (1a) or an individual's name (1b). Enter Debtor's exact full legal name. Don't abbreviate.

1a. Organization Debtor. "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Debtor is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Debtors. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed charter documents to determine Debtor's correct name, organization type, and jurisdiction of organization.

1b. Individual Debtor. "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr, Mrs, Ms). Use suffix box only for titles of lineage (Jr, Sr, III) and not for other suffixes or titles (e.g., M.D.). Use a married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Debtor's family name (surname) in Last Name Box, first given name in First Name Box, and all additional given names in Middle Name box. For both organization and individual Debtors: Don't use Debtor's trade name, DBA, AKA, FKA, Division name, etc, in place of or combined with Debtor's legal name; you may add such other names as additional Debtors if you wish (but this is neither required nor recommended).

1c. An address is always required for the Debtor named in 1a or 1b.

1d. Debtor's taxpayer identification number (tax ID#), social security number or employer identification number.

1e,f,g. "Additional information re organization Debtor" is always required. Type of organization and jurisdiction of organization as well as Debtor's exact legal name can be determined from Debtor's current filed charter document. Organizational ID#, if any, is assigned by the agency where the charter document was filed, this is different from tax ID#. If agency does not assign organizational ID#, check box in item 1g indicating "none." Note: If Debtor is a trust or a trustee acting with respect to property held in trust, enter Debtor's name in item 1 and attach Addendum (Form MS UCC-1FAd) and state that status in Item 10. If Debtor is a decedent's estate, enter name of deceased individual in item 1b and attach Addendum (Form MS UCC-1FAd) and state debtor is deceased in the comments. Enter the county for the LOCATION of the debtor, this may not necessarily be the same county number used in block 4. \*

2. If an additional Debtor is included, complete item 2, determined and formatted per instruction 1. To include further additional Debtors, or one or more additional Secured Parties, attach either Addendum (Form MS UCC-1FAd) or other additional pages(s), using the correct name format. Follow instruction 1 for determining and formatting additional names.

3. Enter information for Secured Party or Total Assignee, determined and formatted per instruction 1. If there is more than one Secured Party, see Instruction 2. If there has been a total assignment of the Secured Party's interest prior to filing this form, you may either (1) enter Assignor S/P's name and address in item 3 and file an Amendment (Form UCC-F3)[see item 5 of that form]; or (2) enter Total Assignee's name and address in item 3 and, if you wish, also attaching Addendum (Form MS UCC-1FAd) giving Assignor S/P's name and address in item 8.

4. Use item 4 to indicate the collateral covered by this Financing Statement. The following must be included for a valid farm product financing statement: Farm Product description, quantity of product, crop code, crop year, description of real estate, and county code for the county in which the crop is produced.\*

5. Insert name of first Debtor shown on Financing Statement to which this Addendum is related, exactly as shown in item 1 of the UCC-1F Financing Statement.

6. If Debtor is not the owner of record of the real estate associated with the Farm Product, enter the record owner's complete name and address.

7. If this Addendum adds an additional Debtor, complete item 7 in accordance with instruction 1 on Financing Statement. To add more than one additional Debtor, either use an additional Addendum form for each additional Debtor or replicate for each additional debtor the formatting of Financing Statement item 1 on an 8-1/2 X 11 inch sheet (showing at the top of the sheet the name of the first Debtor shown on the Financing Statement), and in either case give complete information for each additional Debtor in accordance with Instruction 1 on Financing Statement. All additional Debtor information, especially the name, must be presented in proper format exactly identical to the format of item 1 of Financing Statement.

8. If this Addendum adds an additional Secured Party, complete item 8 in accordance with Instruction 3 on Financing Statement. In the case of a total assignment of the Secured Party's interest before the filing of this Financing Statement, if filer has given the name and address of the Total Assignee in item 3 of the Financing Statement, filer may give the Assignor S/Ps name and address in item 8.

9. Use this space to provide continued description of collateral, if you cannot complete description in item 4 of UCC-1F financing Statement.

10. Use this space to enter any comments, including comments related to item 1 e, f, or g.

**\*NOTE: THE COUNTY CODE FOR THE DEBTOR USED IN BLOCK "1" MAY NOT NECESSARILY BE THE SAME AS THE COUNTY NUMBER FOR THE LOCATION OF THE CROP IN ITEM 4. FAILURE TO USE THE CORRECT COUNTY NUMBER IN ITEM 4 MAY RESULT IN THE FILING OF AN INEFFECTIVE FINANCING STATEMENT**

Mississippi - UCC3 FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]
B. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE #

1b. This FINANCING STATEMENT AMENDMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS.

2. TERMINATION: Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the Secured Party authorizing this Termination Statement.

3. CONTINUATION: Effectiveness of the Financing Statement identified above with respect to security interest(s) of the Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.

4. ASSIGNMENT (full or partial): Give name of assignee in item 7a or 7b and address of assignee in item 7c; and also give name of assignor in item 9.

5. AMENDMENT (PARTY INFORMATION): This Amendment affects Debtor or Secured Party of record. Check only one of these two boxes.

Also check one of the following three boxes and provide appropriate information in items 6 and/or 7.

CHANGE name and/or address: Give current record name in item 6a or 6b; also give new name (if name change) in item 7a or 7b and/or new address (if address change) in item 7c. DELETE name: Give record name to be deleted in item 6a or 6b. ADD name: Complete item 7a or 7b, and also item 7c; also complete items 7d-7g (if applicable).

6. CURRENT RECORD INFORMATION:

6a. ORGANIZATION'S NAME

OR 6b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

7. CHANGED (NEW) OR ADDED INFORMATION:

7a. ORGANIZATION'S NAME

OR 7b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

7c. MAILING ADDRESS CITY STATE POSTAL CODE 7d. COUNTY #

7d. TAX ID #: SSN OR EIN ADD'L INFO RE ORGANIZATION DEBTOR 7e. TYPE OF ORGANIZATION 7f. JURISDICTION OF ORGANIZATION 7g. ORGANIZATIONAL ID #, if any NONE

8. AMENDMENT (COLLATERAL CHANGE): check only one box.

Describe collateral deleted or added, or give entire restated collateral description, or describe collateral assigned.

9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT (name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor which adds collateral or adds the authorizing Debtor, or if this is a Termination authorized by a Debtor, check here and enter name of DEBTOR authorizing this Amendment.

9a. ORGANIZATION'S NAME

OR 9b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX

10. OPTIONAL FILER REFERENCE DATA

Mississippi - UCC3AD FINANCING STATEMENT AMENDMENT ADDENDUM

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

11. INITIAL FINANCING STATEMENT FILE # (same as item 1a on Amendment form)

12. NAME OF PARTY AUTHORIZING THIS AMENDMENT (same as item 9 on Amendment form)

12a. ORGANIZATION'S NAME

OR

12b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME, SUFFIX

13. Use this space for additional information

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

**Instructions for  
Mississippi UCC Financing Statement (Form MS UCC-3)**

Please type or laser-print this form. Be sure it is completely legible. Read all instructions, especially instruction 1a; correct file number of initial filing statement is crucial. Follow instructions completely.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

An Amendment may relate to only one financing statement. Do not enter more than one number on item 1a.

When properly completed, send the original copy only, with required fee, to filing office. If you want an acknowledgment, complete item B.

If you need to use attachments, use 8-1/2 X 11 inch sheets and put at the top of each sheet "AMENDMENT" and the name of the file number of initial financing statement to which this Amendment relates; you are encouraged to use Amendment Addendum (Form MS UCC3 Ad).

**Note: Because Mississippi law does not require the social security number, that field has been blocked out.**

Always complete items 1a and 9.

A. To assist filing offices that might wish to communicate with filer, filer may provide information in item A. This item is optional.

B. Complete item B if you want an acknowledgment sent to you.

1a. File number: Enter file number of initial financing statement to which this Amendment relates. Enter only one file number.

1b. Only if this Amendment is to be filed or recorded in the real estate records, check box 1b and also, in item 13 of Amendment Addendum, enter Debtor's name in proper format exactly identical to the format of item 1 of financing statement and the name of record owner if debtor does not have a record interest.

Note Show purpose of this Amendment by checking box 2, 3, 4, 5, (in item 5 you must check two boxes) or 8; a Continuations (item 3). Filer may have to pay a separate fee for each purpose.

2. To terminate the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 2. See Instruction 9 below.

3. To continue the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 3. See Instruction 9 below.

4. To assign (i) all of assignor's interest under the identified financing statement, or (ii) a partial interest in the security interest covered by the identified financing statement; (iii) assignor's full interest in some (but not all) of the collateral covered by the identified financing statement: Check box in item 4 and enter name of assignee in item 7a if assignee is an organization or in item 7b formatted as indicated, if assigned is an individual. Complete 7a or 7b, but not both. Also enter assignee's address in item 7c. Also enter name of assignor in item 9. If partial Assignment affects only some (but not all) of the collateral covered by the identified financing statement, filer may check appropriate box in item 8 and indicate affected collateral in item 8.

5,6,7 To change the name and or address of a party; Check box in item 5 to indicate whether this amendment amends information relating to a Debtor or a secured party; also check boxes in item 5 to indicate this is name and/or address change; also enter name of affected party (current record name, in case of a name change in the item 6a or 6b as appropriate and also give new name 7a or 7b (and/or new address (7c) in item 7.

5,6 To delete a party: check box in item 5 to indicate that this is a deletion of a Debtor or a Secured Party; also check box in item 5 to indicate that this is a deletion of a party; and also enter name (6a or 6b) of deleted party in item 6.

5,7. To add a party: Check box in item 5 to indicate whether adding a Debtor or Secured Party; also check box in item 5 to indicate that this is an additional of a party; also enter all required information in item 7: name (7a or 7b) and address (7c) also if adding a Debtor, county code of the debtor's residence (7d) Debtor information 7(e-g) if added Debtor is an organization. Note: The preferred method for filing against a new Debtor (an individual or organization not previously of record as a Debtor under this file number) is to file a new Financing Statement.

8. Collateral Change. To change the collateral covered by the identified financing statement, describe the change in item 8. This may be accomplished either by describing the collateral to be added or deleted, or by setting forth in full the collateral to be added or deleted, by setting forth in full the collateral description as it is to be effective after the filing of this Amendment, indicating clearly the method chosen (check the appropriate box). If the space in item 8 is insufficient, use item 13 of the Amendment Addendum (Form MS UCC3 Ad). A partial release of collateral is a deletion. If due to a full release of all collateral, filer no longer claims a security interest under the identified financing statement, check box 2 (Termination) and not box 8 (Collateral Change). If a partial assignment consists of the assignment of some (but not all) of the collateral covered by the identified financing statement, filer may indicate the assigned collateral in item 8, check the appropriate box in item 8, and also comply with instruction 4 above.

9. Always enter the name of party of record authorizing this Amendment; in most cases this will be a Secured Party of record. If more than one authorizing Secured Party, give additional name(s), properly formatted, in item 13 of Amendment Addendum (Form MS UCC3 Ad). If the indicated financing statement refers to the parties as lessee and lessor, or consignee and consignor, or seller and buyer, instead of Debtor and Secured Party, references in this Amendment shall be deemed likewise so to refer to the parties. If this is an Amendment authorized by a Debtor, that adds collateral or adds a Debtor, or if this is a Termination authorized by a Debtor, check the box in item 9 and enter the name, properly formatted, of the Debtor authorizing this Amendment, and if this Amendment or Termination is to be filed or recorded in the real estate records, also enter, in item 13 of the Amendment Addendum, name of Secured Party of record.

10. This item is optional and is for filer's use only. For filer's convenience of reference, filer may enter in item 8 any identifying information (e.g. Secured Party's loan number, law firm file number, Debtor's name or other identification, state in which form is being filed, etc.) that filer may find useful.



# MISSISSIPPI UCC-3F

## Farm Product Filing Financing Statement AMENDMENT

**A. NAME & PHONE OF CONTACT AT FILER (optional)**

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**B. SEND ACKNOWLEDGEMENT TO: (Name and Address)**

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THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

**1. INITIAL FINANCING STATEMENT FILE #**

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2,  **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the Secured Party authorizing this Termination Statement .

3,  **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to security interest(s) of the Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.

4,  **ASSIGNMENT (full or partial):** Give name of assignee in item 7a or 7b and address of assignee in item 7c; and also give name of assignor above signature line.

5 **AMENDMENT (PARTY INFORMATION):** This amendment affects  Debtor OR  Secured Party of record. Check only ONE of these two boxes.

Also check ONE of the following three boxes AND provide appropriate information in item 6 and/or 7.

**CHANGE** name and/or address: Give current record name in item 6a or 6b; also give new name (if name change) in item 7a or 7b and/or new address (if address change) in item 7c.  **DELETE** name: give record name to be deleted in item 6a or 6b.  **ADD** name: complete item 7a or 7c; and 7d-g(if applicable)

**6. CURRENT RECORD INFORMATION:**

6a. ORGANIZATION'S NAME

---

OR

6b. INDIVIDUALS LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
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**7. CHANGED (NEW) OR ADDED INFORMATION**

7a. ORGANIZATION'S NAME

---

OR

7b. INDIVIDUALS LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
---------------------------	------------	-------------	--------

7c. MAILING ADDRESS

CITY	STATE	POSTAL ZIP CODE	COUNTY
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7d. TAX ID #, SSN OR EIN	ADD'L INFO RE ORGANIZATION DEBTOR	7e. TYPE OF ORGANIZATION	7f. JURISDICTION OF ORGANIZATION	7g. ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE
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**8. AMENDMENT (COLLATERAL CHANGE); check only ONE box.**

Describe collateral  deleted or  added, or give entire  restated collateral description, or describe collateral  assigned.

FARM PRODUCT DESCRIPTION	QUANTITY	CROP CODE	CROP YEAR	DESCRIPTION OF REAL ESTATE	COUNTY CODE

\_\_\_\_\_  
Signature of Debtors(s) IF REQUIRED

\_\_\_\_\_  
Name of Secured Party

\_\_\_\_\_  
Signature of Secured Party---MUST BE ORIGINALLY SIGNED

## Instructions for Mississippi UCC Financing Statement (Form MS UCC-3F)

Please type or laser-print this form. Be sure it is completely legible.

Read all instructions, especially instruction 1a; correct file number of initial filing statement is crucial. Follow instructions completely.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

An Amendment may relate to only one financing statement. Do not enter more than one number on item 1a.

When properly completed, send the original copy only, with required fee, to the filing office. If you want an acknowledgment, complete item B.

If you need to use attachments, use 8-1/2 X 11 inch sheets and put at the top of each sheet "AMENDMENT" and the name of the file number of initial financing statement to which this Amendment relates.

A. To assist filing offices that might wish to communicate with filer, filer may provide information in item A. This item is optional.

B. Complete item B if you want an acknowledgment sent to you.

1 File number: Enter file number of the initial financing statement to which this Amendment relates. Enter only one file number.

**Note Show purpose of this Amendment by checking box 2, 3, 4, 5, (in item 5 you must check two boxes) or 8; a Continuations (item 3). Filer may have to pay a separate fee for each purpose.**

2. To terminate the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 2.

3. To continue the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 3.

4. To assign (i) all of assignor's interest under the identified financing statement, or (ii) a partial interest in the security interest covered by the identified financing statement; (iii) assignor's full interest in some (but not all) of the collateral covered by the identified financing statement: Check box in item 4 and enter name of assignee in item 7a if assignee is an organization or in item 7b formatted as indicated, if assigned is an individual. Complete 7a or 7b, but not both. Also enter assignee's address in item 7c. Also enter name of assignor in item 9. If partial Assignment affects only some (but not all) of the collateral covered by the identified financing statement, filer may check appropriate box in item 8 and indicate affected collateral in item 8.

5,6,7 To change the name and or address of a party; Check box in item 5 to indicate whether this amendment amends information relating to a Debtor or a secured party; also check boxes in item 5 to indicate this is name and/or address change; also enter name of affected party (current record name, in case of a name change in the item 6a or 6b as appropriate and also give new name 7a or 7b (and/or new address (7c) in item 7.

5,6 To delete a party: check box in item 5 to indicate that this is a deletion of a Debtor or a Secured Party; also check box in item 5 to indicate that this is a deletion of a party; and also enter name (6a or 6b) of deleted party in item 6.

5,7. To add a party: Check box in item 5 to indicate whether adding a Debtor or Secured Party: also check box in item 5 to indicate that this is an additional of a party; also enter all required information in item 7: name (7a or 7b) and address (7c) also if adding a Debtor, The Debtor's taxpayer identification number (tax ID#), social security number or employer identification number (7d) Debtor information 7(e-g) if added Debtor is an organization. Note: The preferred method for filing against a new Debtor (an individual or organization not previously of record as a Debtor under this file number) is to file a new UCC-1F Financing Statement.

8. Collateral Change: Use to indicate the change in collateral covered by this amendment. The following must be included for a valid farm product financing statement: Farm Product description, quantity of product, crop code, crop year, description of real estate and county code. This document must be signed by the debtor in some instances but always by the secured party. Always enter the name of party of record authorizing this Amendment; in most cases this will be a Secured Party of record

**\*NOTE: THE COUNTY CODE FOR USED IN THE AREA TO IDENTIFY THE DEBTOR MAY NOT NECESSARILY BE THE SAME AS THE COUNTY CODE FOR THE LOCATION OF THE CROP. FAILURE TO USE THE CORRECT COUNTY CODE IN IDENTIFYING THE COUNTY OF THE CROP MAY RESULT IN THE FILING OF AN INEFFECTIVE FINANCING STATEMENT**

[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

## UCC5 Correction Statement

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF PERSON FILING THIS STATEMENT [optional]
B. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. Identification of the RECORD to which this CORRECTION STATEMENT relates.

1a. TYPE OF RECORD	1b. FILE # OF INITIAL FINANCING STATEMENT
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2a.  RECORD is inaccurate.  
Provide the basis for the belief of the person identified in item 4 that the RECORD identified in item 1 is inaccurate and indicate the manner in which the person believes the RECORD should be amended to cure the inaccuracy.

2b.  RECORD was wrongfully filed.  
Provide the basis for the belief of the person identified in item 4 that the RECORD identified in item 1 was wrongfully filed.

3. If this CORRECTION STATEMENT relates to a RECORD filed [or recorded] in a filing office described in Section 9-501(a)(1) and this CORRECTION STATEMENT is filed in such a filing office, provide the date [and time] on which the INITIAL FINANCING STATEMENT identified in item 1b above was filed [or recorded].

3a. DATE	3b. TIME
----------	----------

4. NAME OF PERSON AUTHORIZING THE FILING OF THIS CORRECTION STATEMENT — The RECORD identified in item 1 must be indexed under this name.

4a. ORGANIZATION'S NAME			
OR			
4b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

## Instructions for National Correction Statement (Form UCC5)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions, especially Instructions 1a and 1b; correct identification of the initial Record to which this Correction Statement relates is crucial. Follow Instructions completely.

Fill in form very carefully. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

When properly completed, send Filing Office Copy to filing office. If you want an acknowledgment, complete item B and, if filing in a filing office that returns an acknowledgment copy furnished by filer, you may also send Acknowledgment Copy; otherwise detach. Always detach Debtor and Secured Party Copies.

A. To assist filing offices that might wish to communicate with filer, filer may provide information in item A. This item is optional.

B. Complete item B if you want an acknowledgment sent to you. If filing in a filing office that returns an acknowledgment copy furnished by filer, present simultaneously with this form a carbon or other copy of this form for use as an acknowledgment copy.

General — You must always complete items 1 and 4 and either 2a or 2b. You may also be required to complete item 3.

1a. Indicate type of Record to which this Correction Statement relates (e.g., Financing Statement or Amendment). You may also insert additional information that you believe will assist in identifying the Record (e.g., the filing date and/or record number of the Record).

1b. **File number:** Enter file number of initial financing statement to which the Record that is the object of this Correction Statement relates. Enter only one file number.

2. If this Correction Statement is filed based on the filer's belief that the Record identified in item 1 is inaccurate, check box 2a, provide the basis for that belief, and indicate the manner in which the Record should be amended to cure the inaccuracy.

If this Correction Statement is filed based on the filer's belief that the Record identified in item 1 was wrongfully filed, check box 2b and provide the basis for that belief.

3. If this Correction Statement relates to a Record filed [or recorded] in a filing office described in Section 9-501(a)(1) and this Correction Statement is filed in such a filing office, provide the date [and time] on which the Initial Financing Statement identified in item 1b above was filed [or recorded].

4. Always enter name of the person who authorized the filing of this Correction Statement. This name must be the same as the name under which the Record is indexed.

**INFORMATION REQUEST**

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT [optional]	
B. RETURN TO: (Name and Address)	
<div style="border: 1px solid black; width: 100%; height: 100%; position: relative;"> <span style="position: absolute; top: 5px; left: 5px;">┌</span> <span style="position: absolute; top: 5px; right: 5px;">┐</span> <span style="position: absolute; bottom: 5px; left: 5px;">└</span> <span style="position: absolute; bottom: 5px; right: 5px;">┘</span> </div>	

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR NAME to be searched - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

	1a. ORGANIZATION'S NAME			
OR	1b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

2. INFORMATION OPTIONS relating to UCC filings and other notices on file in the filing office that include as a Debtor name the name identified in item 1:

- 2a. SEARCH RESPONSE  CERTIFIED (Optional)  
 Select one of the following two options:  ALL (Check this box to request a response that is complete, including filings that have lapsed.)  UNLAPSED
- 2b. COPY REQUEST  CERTIFIED (Optional)  
 Select one of the following two options:  ALL  UNLAPSED
- 2c. SPECIFIED COPIES ONLY  CERTIFIED (Optional)

Record Number	Date Record Filed (if required)	Type of Record and Additional Identifying Information (if required)

3. ADDITIONAL SERVICES:

4. DELIVERY INSTRUCTIONS (request will be completed and mailed to the address shown in item B unless otherwise instructed here):

- 4a.  Pick Up  
 4b.  Other

Specify desired method here (if available from this office); provide delivery information (e.g., delivery service's name, addressee's account # with delivery service, addressee's phone #, etc.)

## Instructions for National Information Request (Form UCC11)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions. Follow Instructions completely.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice.

Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

When properly completed, send form parts 1 and 2 (labeled Filing Office Copy (1) and (2)), with required fee, to filing office. Always detach Requestor Copy.

Filing office may offer additional information options. Contact filing office or use form specially designed by filing office to obtain additional information options.

A. To assist filing officers that might wish to communicate with requestor, requestor may provide information in item A. This item is optional.

B. Enter name and address of requestor in item B. This item is NOT optional.

1. **Debtor name:** Enter only one Debtor name in item 1, an organization's name (1a) or an individual's name (1b). Enter Debtor's exact full legal name. Don't abbreviate.

1a. **Organization Debtor.** "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Debtor is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Debtors. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed charter documents to determine Debtor's correct name, organization type, and jurisdiction of organization.

1b. **Individual Debtor.** "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr., Mrs., Ms.). Use suffix box only for titles of lineage (Jr., Sr., III) and not for other suffixes or titles (e.g., M.D.). Use married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Debtor's family name (surname) in Last Name box, first given name in First Name box, and all additional given names in Middle Name box.

For both **organization and individual Debtors:** Don't use Debtor's trade name, DBA, AKA, FKA, Division name, etc. in place of or combined with Debtor's legal name; you may add such other names as additional Debtors if you wish (but this is neither required nor recommended).

2. Information options relating to UCC filings and other notices on file in the filing office that include as a Debtor name the name identified in item 1. Please note that it is permissible to select an option in 2a and also check an option in 2b. Check the "CERTIFIED (Optional)" box appropriately in items 2a, 2b, or 2c.

2a. Check appropriate box in item 2a; the box "ALL" if you are requesting a search of all active records, including lapsed filings, or the box "UNLAPSED" if you requesting a search of only active records that have not lapsed.

2b. Check appropriate box in item 2b to request copies of records appearing on the search response; the box "ALL" if you are requesting copies of all active records, including lapsed filings, or the box "UNLAPSED" if you are requesting copies of only active records that have not lapsed.

2c. Complete item 2c if you are ordering copies of specific records by record number.

3. Some filing offices offer service options in addition to those offered in item 2. These may be shown on the face of this form or may otherwise be publicized by the particular filing office. **Caution:** if any of these additional service options introduces a search criterion (e.g., limiting search to named Debtor at an address in a specified city and state) that narrows the scope of the search, this may result in an incomplete search (that fails to list all filings against the named Debtor) and you may fail to learn information that might be of value to you.

4. **Delivery Instructions:** Unless otherwise instructed, filing office will mail information to the name and address in item B. If information will be picked up from the filing office, check the "Pick Up" box. Contact filing office concerning availability of other delivery options. For other than mail or pick up, check the "Other" box and specify the other delivery method that you are requesting. If requesting delivery service, provide delivery service's name and requestor's account number to bill for delivery charge. Filing office will not deliver by delivery service unless prepaid waybill or account number for billing is provided.

# CENTRAL FILING REGISTRATION FORM

Eric Clark  
Secretary of State  
P O Box 136  
Jackson, MS 39205 – 0136

Registrant  
Business Name: \_\_\_\_\_ Date: \_\_\_/\_\_\_/\_\_\_

Contact Person  
for Registrant \_\_\_\_\_ Title \_\_\_\_\_

Mailing  
Address: \_\_\_\_\_ Phone: (\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_

Address  
Cont. \_\_\_\_\_ Email  
Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

REGISTRANT TYPE: [ ] Buyer [ ] Commission Merchant [ ] Selling Agent

What is your interest in receiving a list of liens?

- 
1. Type of List / Service Requested:  
[ ] MASTER LIST – All Farm Products for the entire state.  
[ ] SPECIFIC LIST – Selected Collateral: [ \_ \_ \_ \_ ] (See Appendix “C”)  
County Restrictions: (Write names of counties below. (See Appendix “B”)

2. For lists, how listed: [ ] Debtor Name [ ] Tax Identification Number

3. Media for Lists:  
[ ] Paper (\$2 per page of report) [ ] Compact Disk (\$25 per CD)  
[ ] Internet Access (unlimited standard reports for \$100 Annual Fee)

PLEASE NOTE: Lists are issued monthly, approximately the 5th day of each month.

REGISTRANT SIGNATURE: \_\_\_\_\_

**Mississippi CountyNumbers**

<i>County #</i>	<i>County</i>
1	Adams
2	Alcorn
3	Amite
4	Attala
5	Benton
6	Bolivar
7	Calhoun
8	Carroll
9	Chickasaw
10	Choctaw
11	Claiborne
12	Clarke
13	Clay
14	Coahoma
15	Copiah
16	Covington
17	DeSoto
18	Forrest
19	Franklin
20	George
21	Greene
22	Grenada
23	Hancock
24	Harrison
25	Hinds
26	Holmes
27	Humphreys
28	Issaquena

<i>County #</i>	<i>County</i>
29	Itawamba
30	Jackson
31	Jasper
32	Jefferson
33	Jefferson Davis
34	Jones
35	Kemper
36	Lafayette
37	Lamar
38	Lauderdale
39	Lawrence
40	Leake
41	Lee
42	Leflore
43	Lincoln
44	Lowndes
45	Madison
46	Marion
47	Marshall
48	Monroe
49	Montgomery
50	Neshoba
51	Newton
52	Noxubee
53	Oktibbeha
54	Panola
55	Pearl River
56	Perry

<i>County #</i>	<i>County</i>
57	Pike
58	Pontotoc
59	Prentiss
60	Quitman
61	Rankin
62	Scott
63	Sharkey
64	Simpson
65	Smith
66	Stone
67	Sunflower
68	Tallahatchie
69	Tate
70	Tippah
71	Tishomingo
72	Tunica
73	Union
74	Walthall
75	Warren
76	Washington
77	Wayne
78	Webster
79	Wilkinson
80	Winston
81	Yalobusha
82	Yazoo

**MISSISSIPPI FARM PRODUCT COLLATERAL CODES**

**COLLATERAL CODES. If the collateral is not listed below, please enter the collateral name. The code will be assigned by the filing office.**

101	Apples	126	Corn	151	Mint	176	Rye
102	Apricots	127	Corn, Sweet	152	Mushrooms	177	Sorghum
103	Artichokes	128	Cotton	153	Mustards	178	Soybeans
104	Asparagus	129	Cucumbers	154	Nectarines	179	Spinach
105	Avocados	130	Dates	155	Nutmeg	180	Sugar Beets
106	Bananas	131	Eggplant	156	Oats	181	Sugar Cane
107	Barley	132	Escarole	157	Olives	182	Sunflower
108	Beans, Butter	133	Figs	158	Onions	183	Sweet
109	Beans, Dry	134	Flaxseed	159	Oranges	184	Tangelos
110	Beans, Lima	135	Garlic	160	Papayas	185	Tangerines
111	Beans, Snap	136	Grapes (& Raisins)	161	Peaches	186	Taro
112	Beans, Waxed	137	Grapefruit	162	Peanuts	187	Tea
113	Beets	138	Hay	163	Pears	188	Tobacco
114	Berries, Black	139	Hops	164	Peas, Dry	189	Tomatoes
115	Berries, Blue	140	Irish Potatoes	165	Peas, Field	190	Trees
116	Berries, Straw	141	Kiwi	166	Peas, Green	191	Turnips
117	Broccoli	142	Legumes	167	Pecans	192	Walnuts
118	Brussel Sprouts	143	Lemons	168	Peppers	193	Wheat
119	Cabbage	144	Lettuce	169	Persimmons	194	Muscadine
120	Carrots	145	Limes	170	Pineapple	195	Pumpkins
121	Cauliflower	146	Maple Syrup	171	Plums (& Prunes)	196	Squash
122	Celery	147	Melon, Cantaloupes	172	Pomegranates	197	Corn Silage
123	Cherries	148	Melon, Honey Dew	173	Popcorn	198	Alfalfa
124	Coffee	149	Melons, Water	174	Rape Seed	199	Okra
125	Collards	150	Milo	175	Rice		
<b><u>LIVESTOCK</u></b>							
201	Cattle/calves	207	Goats	213	Quail	219	Parrots-Birds
202	Catfish/Fish	208	Guineas	214	Turkeys	220	Turtles
203	Chickens	209	Hogs	215	Earthworms	221	Emu
204	Ducks	210	Horses	216	Shellfish	222	Ostrich
205	Eggs Hatching	211	Lambs & Sheep	217	Honey Bees	223	Rhea
206	Geese	212	Mules	218	Rabbits-Hares		
<b><u>OTHER</u></b>							
301	Cheese	305	Honey	309	Wool	313	Kenaf
302	Eggs	306	Milk	310	(Reserved)		
303	Flowers	307	Mohair	311	Cotton Seed		
304	Grass	308	Shrubbery	312	Pelts & Products		